FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Prin	nary Business Name: LIDO			CRD Number: 269866		
Othe	er-Than-Annual Amendment - All Sec	tions		Rev. 10/2021		
1/2	4/2024 11:38:59 AM					
WA	·		missions may result in denial of y ing periodic amendments. See Fo	our application, revocation of your registration, or criminal rm ADV General Instruction 4.		
Iten	n 1 Identifying Information					
		3		ct you. If you are filing an <i>umbrella registration</i> , the information to assist you with filing an <i>umbrella registration</i> .		
A.	Your full legal name (if you are a sole LIDO ADVISORS, LLC	proprietor, your last, fi	rst, and middle names):			
B.	(1) Name under which you primarily of LIDO	conduct your advisory be	usiness, if different from Item 1.A			
	List on Section 1.B. of Schedule D any	additional names under	which you conduct your advisory b	pusiness.		
	(2) If you are using this Form ADV to	register more than one	e investment adviser under an um	abrella registration, check this box		
	If you check this box, complete a Scheo	dule R for each relying ac	dviser.			
C.	If this filing is reporting a change in you name change is of ☐ your legal name or ☐ your primary		A.) or primary business name (Ite	em 1.B.(1)), enter the new name and specify whether the		
D.	(1) If you are registered with the SEC(2) If you report to the SEC as an exe		, and the second	100433		
	(3) If you have one or more Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers: CIK Number					
	1650150					
E.	(1) If you have a number ("CRD Numb	per") assigned by the F	INRA's CRD system or by the IARE	D system, your CRD number: 269866		
	If your firm does not have a CRD numb	per, skip this Item 1.E. D	Oo not provide the CRD number of a	one of your officers, employees, or affiliates.		
	(2) If you have additional CDD Number	ore your additional CDF	l numboro.			
	(2) If you have additional <i>CRD</i> Number	ers, your additional <i>CRD</i>	No Information Filed			
			No mormation med			
F.	Principal Office and Place of Business					
١.	(1) Address (do not use a P.O. Box):					
	Number and Street 1:		Number and Street 2:			
	1875 CENTURY PARK EAST		SUITE 950			
	City:	State:	Country:	ZIP+4/Postal Code:		
	LOS ANGELES	California	United States	90067		
	If this address is a private reside	nce, check this box:				
	you are applying for registration, o which you are applying for registra	or are registered, with or ation or with whom you a an exempt reporting adv	ne or more state securities authori are registered. If you are applying f	iness, at which you conduct investment advisory business. If ties, you must list all of your offices in the state or states to for SEC registration, if you are registered only with the SEC, or fices in terms of numbers of employees as of the end of your		
	(2) Days of week that you normally on Monday - Friday Other:	conduct business at you	ur principal office and place of busin	ness:		
	Normal business hours at this loo 9AM-5PM	cation:				
	(3) Telephone number at this locatio 310-278-8232	n:				

(4) Facsimile number at this location, if any:

310-278-8267

		ber of offices, other than your <i>prir</i> recently completed fiscal year?	ncipal office and place of bus	<i>iness</i> , at which you conduct investment advisory busin	ess as of	
G.	Mailing address, if differen	it from your <i>principal office and plac</i>	ce of business address:			
	Number and Street 1:		Number and Street 2			
	City:	State:	Country:	ZIP+4/Postal Code:		
	oity.	State.	Country.	ZII +4/1 Ostal Gode.		
	If this address is a private	e residence, check this box:				
Н.	If you are a sole proprieto	or, state your full residence addres	ss, if different from your <i>pri</i>	ncipal office and place of business address in Item 1.F.:		
	Number and Street 1:		Number and Street 2	:		
	City:	State:	Country:	ZIP+4/Postal Code:		
					Yes	No
I.	Do you have one or more LinkedIn)?	websites or accounts on publicly a	available social media platfo	orms (including, but not limited to, Twitter, Facebook a	ind 👩	0
	If a website address serves addresses for all of the other available social media platfo	s as a portal through which to acces er information. You may need to list	ss other information you hav t more than one portal addre content. Do not provide the i	publicly available social media platforms on Section 1.1. on the published on the web, you may list the portal without less. Do not provide the addresses of websites or accounts andividual electronic mail (e-mail) addresses of employees	listing s on public	
J.		contact information of your Chief (Compliance Officer, if you have on		are an <i>exempt reporting adviser</i> , you must provide the c	contact	
	Name:	compliance officer, if you have off	Other titles, if any:	e Item T.K. Delow.		
			Facsimile number, if a	2004		
	Telephone number: Number and Street 1:		Number and Street 2			
		State:	Country:	ZIP+4/Postal Code:		
	City:	State.	Country.	ZIP+4/Postal Code.		
	(2) If your Chief Complian	npany Act of 1940 that you advise imber (if any):	oyed by any <i>person</i> other t	han you, a <i>related person</i> or an investment company rence officer services to you, provide the <i>person's</i> name	_	
K.	•	•	•	icer is authorized to receive information and respond t	to questic	ons
	-	may provide that information here				
	Name:		Titles:	D/4		
	Telephone number: Number and Street 1:		Facsimile number, if a Number and Street 2			
	City:	State:	Country:	ZIP+4/Postal Code:		
	oity.	State.	Country.	ZII +4/1 Ostal Gode.		
	Electronic mail (e-mail) a	ddress, if contact person has one:			Yes	No
L.	•	all of the books and records you a our <i>principal office and place of busir</i>	·	Section 204 of the Advisers Act, or similar state law,		0
	If "yes," complete Section	1.L. of Schedule D.			Yes	No
M.	Are you registered with a	foreign financial regulatory authorit	ty?			•
	•	registered with a foreign financial re s," complete Section 1.M. of Schedu		you have an affiliate that is registered with a foreign finar	ncial	
					Yes	No
N.	Are you a public reporting	company under Sections 12 or 15	(d) of the Securities Excha	nge Act of 1934?	0	\odot
					Yes	No
Ο.	•	more in assets on the last day of imate amount of your assets: 1 \$10 billion	your most recent fiscal yea	r?	0	•

C \$50 billion or more	9			
	O. only, "assets" refers to your total a on the balance sheet for your most re		e on behalf of clients. Determine your total	'assets using
P. Provide your <i>Legal Enti</i>	ty Identifier if you have one:			
A legal entity identifier is identifier.	s a unique number that companies u	se to identify each other in the financial	marketplace. You may not have a legal er	ntity
ECTION 1.B. Other Busine	ess Names			
List your other business na name.	ames and the jurisdictions in which y	ou use them. You must complete a sepa	arate Schedule D Section 1.B. for each bus	siness
Name: COLORADO FINANC	IAL MANAGEMENT, A LIDO COMPANY			
Jurisdictions				
☑ AL	☑ IL	₽ NE	₽ sc	
□ AK	☑ IN	₽ NV	▼ SD	
✓ AZ	☑ IA	₽ NH	₩ TN	
□ AR	☑ KS	☑ NJ	▼ TX	
☑ CA	☑ KY	☑ NM	☑ UT	
☑ CO	☑ LA	₩ NY	▼ VT	
☑ CT	□ ME	₽ NC	□ VI	
☑ DE	☑ MD	□ ND	☑ ∨A	
☑ DC	☑ MA	№ он	☑ WA	
☑ FL	☑ MI	□ок	□ wv	
☑ GA	☑ MN	☑ OR	₩ WI	
□ GU	☑ MS	₽ PA	☑ WY	
☑ HI	☑ MO	₽ PR	☐ Other:	
☑ ID	☑ MT	□ RI		
List your other business na	ames and the jurisdictions in which y	ou use them. You must complete a sepa	arate Schedule D Section 1.B. for each bus	siness
name.				
Name: OAKHURST STRATEG	GIES, LLC			
Jurisdictions				
☑ AL	₽ IL	₽ NE	▼ SC	
□ AK	☑ IN	₽ NV	☑ SD	
☑ AZ	☑ IA	₽ NH	₩ TN	
□ AR	⊠ KS	⋈ NJ	₩ TX	
☑ CA	▼ KY	₩ NM	☑ 1∧	
☑ co	☑ LA	⋈ NY	☑ ∨⊤	
☑ CT	□ ME	☑ NC	∥ ⊑ ∨ı	
☑ DE	☑ MD	□ ND	▼ VA	
☑ DC	☑ MA	Г ОН	☑ WA	
▽ FL	☑ MI	□ок	□ wv	
☑ GA	☑ MN	☑ OR	☑ WI	
□GU	☑ MS	₽ PA	☑ WY	
☑ HI	™ MO	₽ PR	☐ Other:	
☑ ID	☑ MT	□ RI		
	And IVII	E IXI		

 $_{
m C}$ \$10 billion to less than \$50 billion

You must complete a separate Schedule D Section if you are an exempt reporting adviser, list only the					
Number and Street 1: 1905 NW CORPORATE BOULEVARD		Number and Street 2: SUITE 110			
City: BOCA RATON	State: Florida	Country: United States	ZIP+4/Postal Code: 33431		
If this address is a private residence, check this bo	x: 🗖				
Telephone Number: 800-301-5436	Facsimile Num	ber, if any:			
If this office location is also required to be registered adviser on the Uniform Branch Office Registration F		3	eranch office location for a broker-dealer or investment imber here:		
How many <i>employees</i> perform investment advisory 6	functions from this c	office location?			
Are other business activities conducted at this office (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable deposit (3) Insurance broker or agent (4) Commodity pool operator or commodity trade (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	artment or division o	f a bank)	egistration)		
Describe any other <i>investment-related</i> business activities conducted from this office location:					
	1.F. for each location	n. If you are applying for SEC	ss, at which you conduct investment advisory business. registration, if you are registered only with the SEC, or employees).		
You must complete a separate Schedule D Section	1.F. for each location	n. If you are applying for SEC	registration, if you are registered only with the SEC, or		
You must complete a separate Schedule D Section if you are an <i>exempt reporting adviser</i> , list only the Number and Street 1: 4800 HAMPDEN LANE City:	1.F. for each location	n. If you are applying for SEC ffices (in terms of numbers of Number and Street 2:	registration, if you are registered only with the SEC, or		
You must complete a separate Schedule D Section if you are an <i>exempt reporting adviser</i> , list only the Number and Street 1: 4800 HAMPDEN LANE City:	1.F. for each location largest twenty-five of State:	n. If you are applying for SEC ffices (in terms of numbers of Number and Street 2: SUITE 200 Country:	registration, if you are registered only with the SEC, or employees). ZIP+4/Postal Code:		
You must complete a separate Schedule D Section if you are an exempt reporting adviser, list only the Number and Street 1: 4800 HAMPDEN LANE City: BETHESDA If this address is a private residence, check this bo	1.F. for each location largest twenty-five of State:	n. If you are applying for SEC ffices (in terms of numbers of Number and Street 2: SUITE 200 Country: United States	registration, if you are registered only with the SEC, or employees). ZIP+4/Postal Code:		
You must complete a separate Schedule D Section if you are an exempt reporting adviser, list only the Number and Street 1: 4800 HAMPDEN LANE City: BETHESDA If this address is a private residence, check this bo Telephone Number: 800-301-5436	1.F. for each location largest twenty-five of State: Maryland x: Facsimile Number, if ed with FINRA or a second content of the seco	n. If you are applying for SEC ffices (in terms of numbers of Number and Street 2: SUITE 200 Country: United States	registration, if you are registered only with the SEC, or employees). ZIP+4/Postal Code: 20814 branch office location for a broker-dealer or investment		
You must complete a separate Schedule D Section if you are an exempt reporting adviser, list only the Number and Street 1: 4800 HAMPDEN LANE City: BETHESDA If this address is a private residence, check this bo Telephone Number: 800-301-5436 If this office location is also required to be registered.	1.F. for each location largest twenty-five of State: Maryland x: Facsimile Number, if ed with FINRA or a storm (Form BR), plea	n. If you are applying for SEC ffices (in terms of numbers of Number and Street 2: SUITE 200 Country: United States any: tate securities authority as a base provide the CRD Branch Number 2: SEC SUITE 2: SUITE	registration, if you are registered only with the SEC, or employees). ZIP+4/Postal Code: 20814 branch office location for a broker-dealer or investment		

Describe any other *investment-related* business activities conducted from this office location:

·	1.F. for each location	. If you are applying for	usiness, at which you conduct investment advisory business. SEC registration, if you are registered only with the SEC, or ers of employees).			
Number and Street 1:		Number and Street 2:				
2121 N. CALIFORNIA BLVD.		SUITE 290				
City: WALNUT CREEK	State: California	Country: United States	ZIP+4/Postal Code: 94596			
If this address is a private residence, check this be	ox:					
Telephone Number: 800-301-5436						
If this office location is also required to be register adviser on the Uniform Branch Office Registration		•	s a branch office location for a broker-dealer or investment the Number here:			
How many <i>employees</i> perform investment advisory	y functions from this o	office location?				
Are other business activities conducted at this offi (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable dep (3) Insurance broker or agent (4) Commodity pool operator or commodity tract (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	partment or division of	f a bank)	om registration)			
Describe any other investment-related business ac	tivities conducted fror	m this office location:				
	1.F. for each location	. If you are applying for	usiness, at which you conduct investment advisory business. SEC registration, if you are registered only with the SEC, or ers of employees).			
Number and Street 1: 3500 LENOX ROAD		Number and Street 2: SUITE 1500				
City: ATLANTA	State: Georgia	Country: United States	ZIP+4/Postal Code: 30326			
If this address is a private residence, check this be	ox: 🗆					
Telephone Number: 800-301-5436	Facsimile Number, if	fany:				
If this office location is also required to be register adviser on the Uniform Branch Office Registration		•	s a branch office location for a broker-dealer or investment the Number here:			
How many <i>employees</i> perform investment advisory	y functions from this o	ffice location?				
Are other business activities conducted at this offi ☐ (1) Broker-dealer (registered or unregistered) ☐ (2) Bank (including a separately identifiable dep ☐ (3) Insurance broker or agent ☐ (4) Commodity pool operator or commodity trace ☐ (5) Registered municipal advisor ☐ (6) Accountant or accounting firm	partment or division of	f a bank)	om registration)			

(7) Lawyer or law firm

Describe any other investment-related busin	ness activities conducted fro	om this office location:			
	Section 1.F. for each location	on. If you are applying for SEC r	registration, if you are registered only with the SEC, or employees).		
Number and Street 1: 157 CHURCH STREET		Number and Street 2: 19TH FLOOR			
City: NEW HAVEN	State: Connecticut	Country: United States	ZIP+4/Postal Code: 06510		
If this address is a private residence, check	this box:				
Telephone Number: 800-301-5436	Facsimile Number, if a	any:			
If this office location is also required to be a adviser on the Uniform Branch Office Regist	•		ranch office location for a broker-dealer or investment mber here:		
How many <i>employees</i> perform investment a	dvisory functions from this	office location?			
Are other business activities conducted at t (1) Broker-dealer (registered or unregist (2) Bank (including a separately identifia (3) Insurance broker or agent (4) Commodity pool operator or commod (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	ered) ble department or division	of a bank)	gistration)		
Describe any other investment-related busing	ness activities conducted fro	om this office location:			
	Section 1.F. for each location	on. If you are applying for SEC r	es, at which you conduct investment advisory business. registration, if you are registered only with the SEC, or employees).		
Number and Street 1: 421 FAYETTEVILLE STREET		Number and Street 2 SUITE 1100	2:		
City: RALEIGH	State: North Carolina	Country: United States	ZIP+4/Postal Code: 27601		
If this address is a private residence, check	this box:				
Telephone Number: 800-301-5436	Facsimile Number, if an	y:			
If this office location is also required to be registered with FINRA or a <i>state securities authority</i> as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the <i>CRD</i> Branch Number here:					
How many <i>employees</i> perform investment a	dvisory functions from this	office location?			
Are other business activities conducted at this office location? (check all that apply) (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of a bank) (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)					

☐ (6) Accountant or accounting firm ☐ (7) Lawyer or law firm						
Describe any other investment-related business activities conducted from this office location:						
	tion 1.F. for each lo	cation. If you are applying for SI	ness, at which you conduct investment advisory business. EC registration, if you are registered only with the SEC, or sof employees).			
Number and Street 1: 231 PUBLIC SQUARE		Number and Street 2: SUITE 300				
City: FRANKLIN	State: Tennessee	Country: United States	ZIP+4/Postal Code: 37064			
If this address is a private residence, check thi	s box:					
Telephone Number: 800-301-5436	Facsimile Numbe	er, if any:				
If this office location is also required to be reginated adviser on the Uniform Branch Office Registration		_	a branch office location for a broker-dealer or investment Number here:			
How many <i>employees</i> perform investment advi	sory functions from	this office location?				
Are other business activities conducted at this office location? (check all that apply) (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of a bank) (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm Describe any other investment-related business activities conducted from this office location:						
	tion 1.F. for each lo	cation. If you are applying for SI	iness, at which you conduct investment advisory business. EC registration, if you are registered only with the SEC, or sof employees).			
Number and Street 1: 20 N. CLARK STREET		Number and Street 2: SUITE 1250				
City: CHICAGO	State: Illinois	Country: United States	ZIP+4/Postal Code: 60602			
If this address is a private residence, check this	s box:					
Telephone Number: 800-301-5436	Facsimile Nur	mber, if any:				
If this office location is also required to be reginated adviser on the Uniform Branch Office Registration		3	a branch office location for a broker-dealer or investment Number here:			
How many <i>employees</i> perform investment advi	sory functions from	this office location?				
Are other business activities conducted at this office location? (check all that apply) (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of a bank) (3) Insurance broker or agent						

(4) Commodity pool operator or commo	odity trading advisor (wh	nether registered or exempt fro	m registration)
(6) Accountant or accounting firm			
(b) Accountant of accounting mini			
Describe any other investment-related bus	siness activities conducte	ed from this office location:	
	Section 1.F. for each lo	cation. If you are applying for S	siness, at which you conduct investment advisory business. SEC registration, if you are registered only with the SEC, or rs of employees).
Number and Street 1: 325 ST. PAUL STREET		Number and Street 2: SUITE 3100	
City:	State:	Country:	ZIP+4/Postal Code:
DALLAS	Texas	United States	75201
If this address is a private residence, chec	ck this box:		
The time dual ease is a private residence, enec	ar this sex.		
Telephone Number: 800-301-5436	Facsimile Nur	mber, if any:	
If this office location is also required to be adviser on the Uniform Branch Office Regis	•		s a branch office location for a broker-dealer or investment h Number here:
How many <i>employees</i> perform investment 4	advisory functions from	this office location?	
Are other business activities conducted at	this office location? (che	eck all that apply)	
\square (1) Broker-dealer (registered or unregi			
\square (2) Bank (including a separately identif	iable department or divis	sion of a bank)	
(3) Insurance broker or agent			
(4) Commodity pool operator or commodification (5) Registered municipal advisor	odity trading advisor (wh	nether registered or exempt fro	m registration)
(6) Accountant or accounting firm			
(c) Accountant of accounting min			
Describe any other investment-related bus	siness activities conducte	ed from this office location:	
	Section 1.F. for each lo	cation. If you are applying for S	siness, at which you conduct investment advisory business. SEC registration, if you are registered only with the SEC, or rs of <i>employees</i>).
Number and Street 1.		Number and Street 2	
Number and Street 1: 7047 EAST GREENWAY PARKWAY		Number and Street 2 SUITE 250	
City:	State:	Country:	ZIP+4/Postal Code:
SCOTTSDALE	Arizona	United States	85254
If this address is a private residence, chec	ck this box:		
Telephone Number: 800-301-5436	Facsimile N	umber, if any:	
If this office location is also required to be adviser on the Uniform Branch Office Regis	•	•	s a branch office location for a broker-dealer or investment h Number here:
How many <i>employees</i> perform investment	advisory functions from	this office location?	
Are other business activities conducted at		eck all that apply)	
│ ☐ (1) Broker-dealer (registered or unregi	stereu)		

\square (2) Bank (including a separately identifiable	department or divis	sion of a bank)		
(3) Insurance broker or agent				
(4) Commodity pool operator or commodity	trading advisor (wh	nether registered or exempt fro	om registration)	
(5) Registered municipal advisor				
☐ (6) Accountant or accounting firm☐ (7) Lawyer or law firm				
(7) Lawyer or law IIIII				
Describe any other investment-related business	s activities conducte	ed from this office location:		
	tion 1.F. for each lo	cation. If you are applying for	siness, at which you conduct investment advisory bus SEC registration, if you are registered only with the Sers of employees).	
Number and Street 1: 111 N ORANGE AVENUE		Number and Street 2: 8TH FLOOR		
City:	State:	Country:	ZIP+4/Postal Code:	
ORLANDO	Florida	United States	32801	
If this address is a private residence, check thi	s box:			
Telephone Number: 800-301-5436	Facsimile Nur	mber, if any:		
If this office location is also required to be regi adviser on the Uniform Branch Office Registrati		_	s a branch office location for a broker-dealer or invest h Number here:	tment
How many <i>employees</i> perform investment advis	sory functions from	this office location?		
Are other business activities conducted at this (1) Broker-dealer (registered or unregistere (2) Bank (including a separately identifiable (3) Insurance broker or agent (4) Commodity pool operator or commodity (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	d) department or divis trading advisor (wh	sion of a bank) nether registered or exempt fro	om registration)	
	tion 1.F. for each lo	cation. If you are applying for	usiness, at which you conduct investment advisory bus SEC registration, if you are registered only with the S ars of <i>employees</i>).	
Number and Street 1: 3001 N ROCKY POINT DRIVE EAST		Number and Street SUITE 200	2:	
City: TAMPA	State: Florida	Country: United States	ZIP+4/Postal Code: 33607	
If this address is a private residence, check thi	s box:			
Telephone Number: 800-301-5436	Facsimile	Number, if any:		
If this office location is also required to be regi adviser on the Uniform Branch Office Registrati		•	s a branch office location for a broker-dealer or invest h Number here:	tment
How many <i>employees</i> perform investment advis	sory functions from	this office location?		

Are other business activities conducted at ti	ills office location? (ci	ieck all that apply)		
\square (1) Broker-dealer (registered or unregist	ered)			
\square (2) Bank (including a separately identifia	ble department or div	ision of a bank)		
(3) Insurance broker or agent				
\square (4) Commodity pool operator or commod	ity trading advisor (w	hether registered or exempt from	om registration)	
(5) Registered municipal advisor				
(6) Accountant or accounting firm				
(7) Lawyer or law firm				
(/) Lawyer or law mm				
Describe any other <i>investment-related</i> busir	ness activities conduct	ed from this office location:		
	•		usiness, at which you conduct investment advis SEC registration, if you are registered only with	•
f you are an <i>exempt reporting adviser</i> , list o				0_0, 0.
Number and Street 1:		Number and Street 2:		
136 E SOUTH TEMPLE		SUITE 1400		
City:	State:	Country:	ZIP+4/Postal Code:	
SALT LAKE CITY	Utah	United States	84111	
If this address is a private residence, check	this box:			
Telephone Number:	Facsimile Nu	umber, if any:		
800-301-5436				
If this office location is also required to be radviser on the Uniform Branch Office Regist	•	-	s a branch office location for a broker-dealer o ch Number here:	r investment
How many <i>employees</i> perform investment a	dvisory functions fron	n this office location?		
1				
Are other business activities conducted at t	his office location? (ch	neck all that apply)		
$\overline{\ }$ (1) Broker-dealer (registered or unregist	ered)			
\square (2) Bank (including a separately identifia	ble department or div	ision of a bank)		
(3) Insurance broker or agent				
(4) Commodity pool operator or commod	ity trading advisor (w	hether registered or exempt fro	om registration)	
(5) Registered municipal advisor		·	,	
(6) Accountant or accounting firm				
(7) Lawyer or law firm				
(7) Lawyer of law fifth				
Describe any other <i>investment-related</i> busir	ness activities conduct	ed from this office location:		
Describe any other investment-related busin	less activities conduct	led from this office location.		
	Section 1.F. for each l	ocation. If you are applying for	usiness, at which you conduct investment advisuable SEC registration, if you are registered only with ers of employees).	•
Number and Street 1: 1980 POST OAK BLVD.		Number and Street 2: SUITE 100		
City:	State:	Country:	ZIP+4/Postal Code:	
HOUSTON	Texas	United States	77056	
If this address is a private residence, check	this box:			
Telephone Number:	Facsimile Nu	umber, if any:		
800-301-5436				
If this office location is also required to be radviser on the Uniform Branch Office Regist	-		s a branch office location for a broker-dealer c ch Number here:	r investment

How many *employees* perform investment advisory functions from this office location?

Are other business activities conducted a	at this office location? (chec	k all that apply)					
\square (1) Broker-dealer (registered or unreg		., 5:					
(2) Bank (including a separately identifiable department or division of a bank)							
(3) Insurance broker or agent							
(4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)							
(5) Registered municipal advisor							
(6) Accountant or accounting firm							
(c) Nessantant of accounting in the							
Describe any other <i>investment-related</i> bu	usiness activities conducted	from this office location:					
	•	•	ess, at which you conduct investment advisory				
You must complete a separate Schedule if you are an <i>exempt reporting adviser</i> , lis			C registration, if you are registered only with the femployees).	ne SEC, or			
Number and Street 1:		Number and Street 2:					
3655 NOBEL DRIVE		SUITE 630					
City: SAN DIEGO	State: California	Country: United States	ZIP+4/Postal Code: 92122				
SAN DIEGO	California	United States	92122				
If this address is a private residence, che	eck this box:						
Telephone Number:	Facsimile Number	r, if anv:					
800-301-5436		,					
How many <i>employees</i> perform investment 7 Are other business activities conducted at (1) Broker-dealer (registered or unregion (2) Bank (including a separately identified (3) Insurance broker or agent (4) Commodity pool operator or commodity (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	at this office location? (checogistered) ifiable department or division modity trading advisor (whe	k all that apply) on of a bank) ther registered or exempt from r	registration)				
	D Section 1.F. for each loca	ation. If you are applying for SEC	ess, at which you conduct investment advisory cregistration, if you are registered only with the employees).				
Number and Street 1: 4840 PEARL EAST CIRCLE		Number and Street 2: SUITE 300E					
City:	State:	Country:	ZIP+4/Postal Code:				
BOULDER	Colorado	United States	80301				
If this address is a private residence, che	eck this box:						
Telephone Number: 800-301-5436	Facsimile Numbe	r, if any:					

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many <i>employees</i> perform investment advis- 17	ory functions from this offic	ce location?				
Are other business activities conducted at this office location? (check all that apply) (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of a bank) (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm						
Describe any other <i>investment-related</i> business	activities conducted from t	this office location:				
Complete the following information for each offi	ce other than your <i>princi</i> n	al office and place of hus	siness, at which you conduct investment advisory business.			
	on 1.F. for each location. I	f you are applying for S	SEC registration, if you are registered only with the SEC, or			
Number and Street 1: 477 MADISON AVENUE		Number and Street 2: 6TH FLOOR				
City: MANHATTAN	State: New York	Country: United States	ZIP+4/Postal Code: 10022			
If this address is a private residence, check this	box:					
Telephone Number: 800-301-5436	Facsimile Number, if any	y:				
If this office location is also required to be regis adviser on the Uniform Branch Office Registration		•	a branch office location for a broker-dealer or investment Number here:			
How many <i>employees</i> perform investment advise	ory functions from this offic	ce location?				
Are other business activities conducted at this office location? (check all that apply) (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of a bank) (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm						
Describe any other <i>investment-related</i> business	activities conducted from t	this office location:				
	on 1.F. for each location. I	f you are applying for S	siness, at which you conduct investment advisory business. SEC registration, if you are registered only with the SEC, or s of <i>employees</i>).			
Number and Street 1: 255 S KING STREET		Number and Street SUITE 800	2:			
City: SEATTLE	State: Washington	Country: United States	ZIP+4/Postal Code: 98104			
If this address is a private residence, check this	box:					
Telephone Number: Facsimile Number, if any: 800-301-5436						

If this office location is also required to be registe adviser on the Uniform Branch Office Registration		•	ranch office location for a broker-dealer or investment mber here:
How many <i>employees</i> perform investment advisor 1	y functions from this off	fice location?	
Are other business activities conducted at this off (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable de (3) Insurance broker or agent (4) Commodity pool operator or commodity traction (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm Describe any other investment-related business accounting firm	partment or division of a	a bank) registered or exempt from re	gistration)
	1.F. for each location.	If you are applying for SEC	es, at which you conduct investment advisory business. registration, if you are registered only with the SEC, or employees).
Number and Street 1: 315 MONTGOMERY STREET		Number and Street 2: SUITE 905	
City: SAN FRANCISCO	State: California	Country: United States	ZIP+4/Postal Code: 94104
If this address is a private residence, check this b	ox:		
Telephone Number: 800-301-5436	Facsimile Number, if ar	ny:	
If this office location is also required to be registe adviser on the Uniform Branch Office Registration		•	ranch office location for a broker-dealer or investment mber here:
How many <i>employees</i> perform investment advisor 1	y functions from this off	fice location?	
Are other business activities conducted at this off (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable de (3) Insurance broker or agent (4) Commodity pool operator or commodity tra (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	partment or division of	a bank)	gistration)
Describe any other investment-related business ac	ctivities conducted from	this office location:	
	n 1.F. for each location.	If you are applying for SEC	es, at which you conduct investment advisory business. registration, if you are registered only with the SEC, or employees).
Number and Street 1: 4695 MACARTHUR COURT		Number and Street 2: 11TH FLOOR	
City: NEWPORT BEACH	State: California	Country: United States	ZIP+4/Postal Code: 92660
If this address is a private residence, check this b	ox:		

Telephone Number: 800-301-5436	Facsimile Number, if any	y:	
If this office location is also required to be register adviser on the Uniform Branch Office Registration F		•	ranch office location for a broker-dealer or investment mber here:
How many <i>employees</i> perform investment advisory	functions from this offi	ce location?	
Are other business activities conducted at this office	ce location? (check all th	nat apply)	
(1) Broker-dealer (registered or unregistered)			
(2) Bank (including a separately identifiable dep	artment or division of a	bank)	
☐ (3) Insurance broker or agent☐ (4) Commodity pool operator or commodity trace	ling advisor (whether re	aistered or exempt from re	egistration)
(5) Registered municipal advisor	mig davisor (who there is	gistered or exempt from re	gistiation
\square (6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business ac	tivities conducted from	this office location:	
		·	ss, at which you conduct investment advisory business.
You must complete a separate Schedule D Section if you are an exempt reporting adviser, list only the			registration, if you are registered only with the SEC, or employees).
Number and Street 1: 7 WEST FIGUEROA STREET		Number and Street 2: SUITE 200	
City: SANTA BARBARA	State: California	Country: United States	ZIP+4/Postal Code: 93101
If this address is a private residence, check this bo	ox:		
Telephone Number:	Facsimile Number, if an	y:	
800-301-5436			
If this office location is also required to be register adviser on the Uniform Branch Office Registration F		•	ranch office location for a broker-dealer or investment mber here:
How many <i>employees</i> perform investment advisory	functions from this offi	ce location?	
Are other business activities conducted at this office	se location? (check all th	nat annly)	
(1) Broker-dealer (registered or unregistered)	oo loodholl. (ollook dil ti	iat apply)	
\square (2) Bank (including a separately identifiable dep	artment or division of a	bank)	
(3) Insurance broker or agent			
(4) Commodity pool operator or commodity trac	ling advisor (whether re	gistered or exempt from re	egistration)
(5) Registered municipal advisor			
☐ (6) Accountant or accounting firm☐ (7) Lawyer or law firm			
(/) Lawyer of law IIIII			
Describe any other investment-related business ac	tivities conducted from	this office location:	
	1.F. for each location. I	f you are applying for SEC	es, at which you conduct investment advisory business. registration, if you are registered only with the SEC, or employees).
Number and Street 1: 301 N. LAKE AVENUE		Number and Street 2: SUITE 308	
City:	State:	Country:	ZIP+4/Postal Code:

United States

California

PASADENA

91101

If this address is a private residence, cl	neck this box:		
Telephone Number: 800-301-5436	Facsimile Number, if a	any:	
If this office location is also required to adviser on the Uniform Branch Office Re	<u> </u>	•	nch office location for a broker-dealer or investment per here:
How many <i>employees</i> perform investment	ent advisory functions from this o	ffice location?	
Are other business activities conducted (1) Broker-dealer (registered or unre (2) Bank (including a separately iden	egistered)		
(3) Insurance broker or agent	·	·	
(4) Commodity pool operator or com	modity trading advisor (whether	registered or exempt from regis	stration)
(5) Registered municipal advisor			
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other investment-related by	ousiness activities conducted fron	n this office location:	
	e D Section 1.F. for each location	. If you are applying for SEC req	at which you conduct investment advisory business. gistration, if you are registered only with the SEC, or <i>mployees</i>).
Number and Street 1: 75 STATE STREET		Number and Street 2: 1ST FLOOR	
City:	State:	Country:	ZIP+4/Postal Code:
BOSTON	Massachusetts	United States	02109
If this address is a private residence, cl	neck this box:		
Telephone Number: 800-301-5436	Facsimile Number, if any:		
If this office location is also required to adviser on the Uniform Branch Office Re	<u> </u>	•	nch office location for a broker-dealer or investment per here:
How many <i>employees</i> perform investment	ent advisory functions from this o	ffice location?	
Are other business activities conducted \Box (1) Broker-dealer (registered or unre		that apply)	
\square (2) Bank (including a separately iden	tifiable department or division of	a bank)	
\square (3) Insurance broker or agent			
\square (4) Commodity pool operator or com	modity trading advisor (whether	registered or exempt from regis	stration)
\square (5) Registered municipal advisor			
\square (6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other investment-related by	ousiness activities conducted fron	n this office location:	
Complete the following information for	each office, other than your princ	cinal office and place of business	at which you conduct investment advisory business.
	e D Section 1.F. for each location	. If you are applying for SEC req	gistration, if you are registered only with the SEC, or

Number and Street 2:

ZIP+4/Postal Code:

SUITE 1200

Country:

State:

Number and Street 1:

6000 FAIRVIEW ROAD

City:

CHARLOTTE	North Carolina	United States	28210
If this address is a private residence, check th	is box:		
Telephone Number: 800-301-5436	Facsimile Number, if any:		
If this office location is also required to be reg adviser on the Uniform Branch Office Registrat		•	
How many <i>employees</i> perform investment advi	sory functions from this office loca	ation?	
Are other business activities conducted at this (1) Broker-dealer (registered or unregistered)	ed)		
(2) Bank (including a separately identifiable	department or division of a bank)		
 □ (3) Insurance broker or agent □ (4) Commodity pool operator or commodity □ (5) Registered municipal advisor 	trading advisor (whether registered	ed or exempt from registration)	
☐ (6) Accountant or accounting firm ☐ (7) Lawyer or law firm			
Describe any other <i>investment-related</i> busines	s activities conducted from this of	fice location:	
Complete the following information for each of You must complete a separate Schedule D Sec if you are an <i>exempt reporting adviser</i> , list only	tion 1.F. for each location. If you	are applying for SEC registration	n, if you are registered only with the SEC, or
Number and Street 1: 110 TRADERS CROSS		Number and Street 2: 1ST FLOOR	
City: BLUFFTON	State: South Carolina	Country: United States	ZIP+4/Postal Code: 29909
If this address is a private residence, check th	is box:		
Telephone Number: 800-301-5436	Facsimile Number, if any:		
If this office location is also required to be reg adviser on the Uniform Branch Office Registrat		-	
How many <i>employees</i> perform investment advi	sory functions from this office loca	ation?	
Are other business activities conducted at this \Box (1) Broker-dealer (registered or unregistered		oly)	
\square (2) Bank (including a separately identifiable	department or division of a bank)		
\square (3) Insurance broker or agent			
\square (4) Commodity pool operator or commodity \square (5) Registered municipal advisor	trading advisor (whether registered	ed or exempt from registration)	
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> busines	s activities conducted from this of	fice location:	

SECTION 1.I. Website Addresses

List your website addresses, including addresses for accounts on publicly available social media platforms where you control the content (including, but not limited to, Twitter, Facebook and/or LinkedIn). You must complete a separate Schedule D Section 1.I. for each website or account on a publicly available social media platform.

Address of Website/Account on Publicly Available Social Media Platform: HTTP://WWW.LINKEDIN.COM/COMPANY/126294 Address of Website/Account on Publicly Available Social Media Platform: HTTP://WWW.LIDOADVISORS.COM SECTION 1.L. Location of Books and Records Complete the following information for each location at which you keep your books and records, other than your principal office and place of business. You must complete a separate Schedule D, Section 1.L. for each location. Name of entity where books and records are kept: **TRELLO** Number and Street 1: Number and Street 2: 55 BROADWAY 25TH FLOOR ZIP+4/Postal Code: City: State: Country: **NEW YORK** New York **United States** 10006 If this address is a private residence, check this box: \Box Telephone Number: Facsimile number, if any: +49 711 17 0 This is (check one): one of your branch offices or affiliates. a third-party unaffiliated recordkeeper. other. Briefly describe the books and records kept at this location. **CLOUD STORAGE** Name of entity where books and records are kept: LIDO ADVISORS Number and Street 1: Number and Street 2: 315 MONTGOMERY STREET SUITE 905 ZIP+4/Postal Code: State: Country: SAN FRANCISCO California **United States** 94104 If this address is a private residence, check this box: \Box Telephone Number: Facsimile number, if any: 800-301-5436 This is (check one): one of your branch offices or affiliates. o a third-party unaffiliated recordkeeper. other. Briefly describe the books and records kept at this location. **CLIENT FILES** Name of entity where books and records are kept: SMARSH Number and Street 1: Number and Street 2: 851 SW 6TH AVENUE 800 ZIP+4/Postal Code: City: State: Country: **PORTLAND** Oregon **United States** 97204

If this address is a private residence, check this	box:			
Telephone Number: 866-762-7741	Facsimile number	r, if any:		
This is (check one): one of your branch offices or affiliates.				
o a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at t ELECTRONIC COMMUNICATIONS ARCHIVAL	his location.			
Name of entity where books and records are ke ADDEPAR	ept:			
Number and Street 1: 303 BRYANT STREET		Number and Street 2	:	
City: MOUNTAIN VIEW	State: California	Country: United States	ZIP+4/Postal Code: 94041	
If this address is a private residence, check this	box:			
Telephone Number: 855-464-6268	Facsimile number,	if any:		
This is (check one): one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at t WEALTH MANAGEMENT PLATFORM - DATA AGGRE		AND PERFORMANCE REPOR	TING	
Name of entity where books and records are ke GLOBAL RELAY	ept:			
Number and Street 1: 222 CAMBIE STREET		Number and Street 2ND FLOOR	2:	
City: VANCOUVER	State:	Country: Canada	ZIP+4/Postal Code: V6B 2<0	
If this address is a private residence, check this	box:			
Telephone Number: 866-484-6630	Facsimile nur 604-608-294	_		
This is (check one): one of your branch offices or affiliates.				
o a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at t ELECTRONIC STORAGE OF EMAILS AND LINKED				

Name of entity where books and records are kept: SALESFORCE

Number and Street 1: Number and Street 2: 415 MISSION STREET 3RD FLOOR City: State: Country: ZIP+4/Postal Code: SAN FRANCISCO California **United States** 94105 If this address is a private residence, check this box: $\ \square$ Telephone Number: Facsimile number, if any: 1-800-667-6389 This is (check one): one of your branch offices or affiliates. o a third-party unaffiliated recordkeeper. other. Briefly describe the books and records kept at this location. CUSTOMER RELATIONSHIP MANAGEMENT - CLIENT INFORMATION Name of entity where books and records are kept: LASERFICHE Number and Street 1: Number and Street 2: 3545 LONG BEACH BOULEVARD State: Country: ZIP+4/Postal Code: City: LONG BEACH California **United States** 90807 If this address is a private residence, check this box: \Box Telephone Number: Facsimile number, if any: 562-988-1688 This is (check one): one of your branch offices or affiliates. o a third-party unaffiliated recordkeeper. other. Briefly describe the books and records kept at this location. ORGANIZE AND FILE OF ALL COMPANY DOCUMENTS Name of entity where books and records are kept: MICROSOFT Number and Street 1: Number and Street 2: ONE MICROSOFT WAY ZIP+4/Postal Code: City: State: Country: REDMOND Washington **United States** 98052 If this address is a private residence, check this box: \Box Telephone Number: Facsimile number, if any: 425-882-8080 This is (check one): one of your branch offices or affiliates. a third-party unaffiliated recordkeeper. other. Briefly describe the books and records kept at this location. CLOUD STORAGE, EMAIL MESSAGING

			N	o Information Filed		
			IV	o iniormation riled		
n 2 S	FC R	egistration/Reporting				
pons regi	es to strat	this Item help us (and you) d	dating amendment to your	eligible to register with the SEC SEC registration. If you are filing	·	
ann prov	<i>ual u_l</i> vides	odating amendment to your SE information to help you determ	C registration and you are	t least one of the Items 2.A.(1) no longer eligible to register wire matively respond to each of the	th the SEC, check Item 2	
		adviser):				
V	(1)	are a large advisory firm that		, , , , , , , , , , , , , , , , , , ,		
			-	illion (in U.S. dollars) or more;		
		(b) has regulatory assets und amendment and is registed	•	lion (in U.S. dollars) or more at	the time of filing its mos	t recent <i>annual updating</i>
	(2)	are a mid-sized advisory firm million (in U.S. dollars) and yo		ts under management of \$25 n	illion (in U.S. dollars) or	more but less than \$100
		(a) not required to be registed of business; or	ered as an adviser with the	state securities authority of the	state where you mainta	ain your <i>principal office and p</i>
		(b) not subject to examination	n by the <i>state securities au</i>	uthority of the state where you i	naintain your <i>principal of</i>	fice and place of business;
		Click HERE for a list of sta authority.	tes in which an investment a	adviser, if registered, would not b	e subject to examination	by the state securities
	(3)	Reserved				
	(4)	have your principal office and	place of business outside th	ne United States;		
	(5)	are an investment adviser (or subadviser) to an inves	stment company registered und	ler the Investment Comp	pany Act of 1940;
	(6)			ected to be a business develor n the election, and you have at		
	(7)	are a pension consultant wit in rule 203A-2(a);	h respect to assets of plan	s having an aggregate value of	at least \$200,000,000 t	hat qualifies for the exemp
	(8)			s, is controlled by, or is under co ce of business is the same as th		vestment adviser that is
		If you check this box, complete	Section 2.A.(8) of Schedule	e D.		
	(9)	are an adviser relying on rule	e 203A-2(c) because you e :	xpect to be eligible for SEC re	jistration within 120 da	ays;
		If you check this box, complete	e Section 2.A.(9) of Schedule	e D.		
	(10)	are a multi-state adviser tha	at is required to register in	15 or more states and is relying	on rule 203A-2(d);	
		If you check this box, complete	Section 2.A. (10) of Schedu	le D.		
	(11)	are an Internet adviser relyi	ng on rule 203A-2(e);			
	(12)	have received an SEC order	exempting you from the p	rohibition against registration w	ith the SEC;	
		If you check this box, complete	e Section 2.A.(12) of Schedu	le D.		
	(13)	are no longer eligible to rem	ain registered with the SE	2.		
ate Se	ecuri	ties Authority Notice Filings	and State Reporting by Ex	rempt Reporting Advisers		
file of re like add to t	with eport to re itiona he SI	the SEC. These are called <i>notice</i> is and any amendments they fixed in the ceive notice of this and all substantial state(s), check the box(es) is	ce filings. In addition, exemple with the SEC. If this is a sequent filings or reports ynext to the state(s) that you	ovide to state securities authoring provide to state securities authoring provided advisers may be reconsisted in initial application or report, consumment to the SEC. If this is the would like to receive notice of the security of the sec	uired to provide state se neck the box(es) next to an amendment to direct f this and all subsequent	the state(s) that you would your notice filings or reports you subr
	isdic	ions				
	AL		☑ IL	☑ NE	☑ SC	
	AK		☑ IN	▽ NV	▽ SE	`

	☑ AZ	IA IA	☑ NH	☑ TN	
	□ AR	☑ KS	M	☑ TX	
	☑ CA	☑ KY	☑ _{NM}	☑ UT	
	☑ CO	☑ LA	☑ NY	☑ VT	
	☑ CT	□ ME	☑ NC	□ VI	
	☑ DE	✓ MD	□ ND	✓ VA	
	☑ DC	✓ MA	☑ OH	₩ WA	
	☑ FL	☑ MI	ОК	□ wv	
	☑ GA	☑ MN	☑ OR	☑ WI	
	□ GU	▼ MS	☑ PA	☑ WY	
	☑ HI	☑ MO	☑ PR		
	☑ ID	☑ MT	□ RI		
			n going to a state that currently receives ust be filed before the end of the year (De		
SECT	ION 2.A.(8) Related Adviser				
		$203\Delta - 2(h)$ from the prohibition on red	gistration because you control, are contr	rolled by or are under common control	
with		•	gistration because you <i>control</i> , are <i>control</i> are control are co	•	
Nam	e of Registered Investment Adviser				
CRD	Number of Registered Investment Adv	riser			
SEC -	Number of Registered Investment Adv	riser			
	101101010	=	D 11 11 111 100 D		
	ION 2.A.(9) Investment Adviser Exp				
If yo	u are relying on rule 203A-2(c), the ex	kemption from the prohibition on regis	tration available to an adviser that exp	<u> </u>	
If yo withi	u are relying on rule 203A-2(c), the ex n 120 days, you are required to make	kemption from the prohibition on regis certain representations about your el	tration available to an adviser that exp ligibility for SEC registration. By checking	<u> </u>	
If yo withi	u are relying on rule 203A-2(c), the ex n 120 days, you are required to make ned to have made the required repres	cemption from the prohibition on regis certain representations about your el entations. You must make both of the	tration available to an adviser that exp ligibility for SEC registration. By checkingse representations:	ng the appropriate boxes, you will be	
If yo withi	u are relying on rule 203A-2(c), the ex n 120 days, you are required to make ned to have made the required repres am not registered or required to be re	kemption from the prohibition on regis certain representations about your el entations. You must make both of the egistered with the SEC or a state secu	tration available to an adviser that exp ligibility for SEC registration. By checkinese representations: rities authority and I have a reasonable	ng the appropriate boxes, you will be	
If yo within deen	u are relying on rule 203A-2(c), the ex n 120 days, you are required to make ned to have made the required repres am not registered or required to be re egister with the SEC within 120 days a	cemption from the prohibition on regist certain representations about your elentations. You must make both of the egistered with the SEC or a state securifier the date my registration with the	tration available to an adviser that expligibility for SEC registration. By checkinese representations: rities authority and I have a reasonable SEC becomes effective.	ng the appropriate boxes, you will be expectation that I will be eligible to	
If yo within deen	u are relying on rule 203A-2(c), the ex n 120 days, you are required to make ned to have made the required repres am not registered or required to be re egister with the SEC within 120 days a undertake to withdraw from SEC regis	kemption from the prohibition on regist certain representations about your elentations. You must make both of the egistered with the SEC or a state securifier the date my registration with the stration if, on the 120th day after my registration.	tration available to an adviser that exp ligibility for SEC registration. By checkinese representations: rities authority and I have a reasonable	ng the appropriate boxes, you will be expectation that I will be eligible to	
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If y	ou are filing an umbrella registration, the information in Item 3 should be provided for the filing adviser only.
A.	
	Corporation Solo Proprietorship
	Sole Proprietorship
	C Limited Liability Partnership (LLP)
	O Partnership
	Limited Liability Company (LLC)
	C Limited Partnership (LP)
	Other (specify):
	If you are changing your response to this Item, see Part 1A Instruction 4.
B.	In what month does your fiscal year end each year? DECEMBER
C.	Under the laws of what state or country are you organized?
	State Country
	Delaware United States
	If you are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, provide the name of the state or country where you reside.
	If you are changing your response to this Item, see Part 1A Instruction 4.
14	an A Sugaragiana
rter	m 4 Successions Yes No
A.	Are you, at the time of this filing, succeeding to the business of a registered investment adviser, including, for example, a change of your structure or legal status (e.g., form of organization or state of incorporation)?
	If "yes", complete Item 4.B. and Section 4 of Schedule D.
B.	Date of Succession: (MM/DD/YYYY)
	If you have already reported this succession on a previous Form ADV filing, do not report the succession again. Instead, check "No." See Part 1A Instruction 4.
SEC	CTION 4 Successions
	No Information Filed
	No Illioritation i lieu
-	
	m 5 Information About Your Advisory Business - Employees, Clients, and Compensation
	sponses to this Item help us understand your business, assist us in preparing for on-site examinations, and provide us with data we use when making ulatory policy. Part 1A Instruction 5.a. provides additional guidance to newly formed advisers for completing this Item 5.
En	nployees
_	you are organized as a sole proprietorship, include yourself as an employee in your responses to Item 5.A. and Items 5.B.(1), (2), (3), (4), and (5). If an apployee performs more than one function, you should count that employee in each of your responses to Items 5.B.(1), (2), (3), (4), and (5).
A.	Approximately how many <i>employees</i> do you have? Include full- and part-time <i>employees</i> but do not include any clerical workers.

B. (1) Approximately how many of the *employees* reported in 5.A. perform investment advisory functions (including research)?

(3) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser*

(2) Approximately how many of the *employees* reported in 5.A. are registered representatives of a broker-dealer?

Item 3 Form of Organization

representatives?

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- (4) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives* for an investment adviser other than you?
- (5) Approximately how many of the *employees* reported in 5.A. are licensed agents of an insurance company or agency?
- (6) Approximately how many firms or other *persons* solicit advisory *clients* on your behalf?

In your response to Item 5.B. (6), do not count any of your employees **and count a firm only once – do not count each of the firm's** employees that solicit on your behalf.

Clients

In your responses to Items 5.C. and 5.D. do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.

- C. (1) To approximately how many *clients* for whom you do not have regulatory assets under management did you provide investment advisory services during your most recently completed fiscal year?
 - (2) Approximately what percentage of your *clients* are non-*United States persons*?
- D. For purposes of this Item 5.D., the category "individuals" includes trusts, estates, and 401(k) plans and IRAs of individuals and their family members, but does not include businesses organized as sole proprietorships.

The category "business development companies" consists of companies that have made an election pursuant to section 54 of the Investment Company Act of 1940. Unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, do not answer (1)(d) or (3)(d) below.

Indicate the approximate number of your *clients* and amount of your total regulatory assets under management (reported in Item 5.F. below) attributable to each of the following type of *client*. If you have fewer than 5 *clients* in a particular category (other than (d), (e), and (f)) you may check Item 5.D.(2) rather than respond to Item 5.D.(1).

The aggregate amount of regulatory assets under management reported in Item 5.D.(3) should equal the total amount of regulatory assets under management reported in Item 5.F.(2)(c) below.

If a *client* fits into more than one category, select one category that most accurately represents the *client* to avoid double counting *clients* and assets. If you advise a registered investment company, business development company, or pooled investment vehicle, report those assets in categories (d), (e), and (f) as applicable.

Type of <i>Client</i>	(1) Number of Client(s)	(2) Fewer than 5 Clients	(3) Amount of Regulatory Assets under Management
(a) Individuals (other than high net worth individuals)	453		\$ 101,093,164
(b) High net worth individuals	3832		\$ 10,582,126,541
(c) Banking or thrift institutions		P	\$ 644,923,712
(d) Investment companies	1		\$ 90,170,062
(e) Business development companies			\$
(f) Pooled investment vehicles (other than investment companies and business development companies)	15		\$ 369,696,299
(g) Pension and profit sharing plans (but not the plan participants or government pension plans)			\$
(h) Charitable organizations	81		\$ 95,188,614
(i) State or municipal <i>government entities</i> (including government pension plans)			\$
(j) Other investment advisers			\$
(k) Insurance companies	5		\$ 3,124,121
(I) Sovereign wealth funds and foreign official institutions			\$
(m) Corporations or other businesses not listed above	256		\$ 954,942,084
(n) Other: ERISA POOLED PLANS	60		\$ 260,802,145

Compensation Arrangements

- E. You are compensated for your investment advisory services by (check all that apply):
 - ☑ (1) A percentage of assets under your management
 - (2) Hourly charges

1 53	(3)	Subscription fees (for	·	odical)			
	, ()	Fixed fees (other than	n subscription fees)				
		Commissions Performance-based fee	25				
	(-)	Other (specify):	23				
	,						
		nation About Your Adv		gulatory Assets Under	r Management		
Regula	atory A	Assets Under Managem	ent				V . N
E (4)							Yes No
		·	,		ervices to securities portfol		⊙ ○
(2)) If ye	s, what is the amount o	of your regulatory as	_	ent and total number of acc		
				U.S. Dollar Amount		Total Number of Accounts	
	Disc	cretionary:	(a)	\$ 12,642,502,617	(d)	12,459	
	Non	-Discretionary:	(b)	\$ 459,564,125	(e)	182	
	Tota	al:	(c)	\$ 13,102,066,742	(f)	12,641	
		1A Instruction 5.b. explopleting this Item.	lains how to calculate	your regulatory assets	under management. You m	ust follow these instructions carefully wh	nen
(3)		t is the approximate am non- <i>United States persol</i>	•	gulatory assets under	management (reported in	Item 5.F.(2)(c) above) attributable to a	clients who
		mation About Your Adv	isory Business - Ad	visory Activities			
Adviso	_						
	_	pe(s) of advisory service		neck all that apply.			
▽		Financial planning ser Portfolio management		/or small husingsses			
V		· ·			usiness development comp	anies" that have made an election purs	suant to
	(-)	section 54 of the Inve					
	(' /	Portfolio management	t for pooled investm	ent vehicles (other tha	n investment companies)		
₽	(5)	_		er than small business	ses) or institutional <i>clients</i>	(other than registered investment com	panies and
	1 (1)	other pooled investme					
□	(- /	Pension consulting se Selection of other adv		ate fund managers)			
	` '	Publication of periodic		ite rana managers)			
		Security ratings or pri					
	. (/	Market timing services					
P	()	Educational seminars	/workshops				
	(12)	Other(specify):					
In	vestme		O, including as a suba	adviser. If you check Ite	m 5.G.(3), report the 811 o	ract to an investment company registere r 814 number of the investment compan	
H. If	you pr	ovide financial planning	services, to how ma	any <i>clients</i> did you prov	vide these services during	your last fiscal year?	
(0						
	1 - 1	10					
	11 -	- 25					
6	26 -	- 50					
	51 -	- 100					
	101	- 250					
	251	- 500					
(Mor	e than 500					
		nore than 500, how man und to the nearest 500)					
	-	responses to this Item 5. se investors.	H., do not include as	"clients" the investors	in a private fund you advise	, unless you have a separate advisory re	lationship
							Yes No
		ou participate in a <i>wrap</i>	, 3				0 0
(2) If you	u participate in a <i>wrap fe</i>	<i>ee program</i> , what is t	the amount of your rec	gulatory assets under man	agement attributable to acting as:	
	(a) <i>sp</i>	oonsor to a wrap fee prog	gram				

	(b) portrollo manager for a <i>wrap fee program?</i>		
	\$ (c) <i>sponsor</i> to and portfolio manager for the same <i>wrap fee program</i> ? \$		
	If you report an amount in Item 5.1.(2)(c), do not report that amount in Item 5.1.(2)(a) or Item 5.1.(2)(b).		
	If you are a portfolio manager for a wrap fee program, list the names of the programs, their sponsors and related information in Section 5.1.(2) of Sci	hedule	D.
	If your involvement in a wrap fee program is limited to recommending wrap fee programs to your clients, or you advise a mutual fund that is offered wrap fee program, do not check Item 5.I.(1) or enter any amounts in response to Item 5.I.(2).		
J.	(1) In response to Item 4.B. of Part 2A of Form ADV, do you indicate that you provide investment advice only with respect to limited types of investments?		No ⊙
	(2) Do you report <i>client</i> assets in Item 4.E. of Part 2A that are computed using a different method than the method used to compute your regulatory assets under management?	0	•
K.	Separately Managed Account Clients		
	(1) Do you have regulatory assets under management attributable to <i>clients</i> other than those listed in Item 5.D.(3)(d)-(f) (separately managed account <i>clients</i>)?	Yes ©	No O
	If yes, complete Section 5.K.(1) of Schedule D.		
	(2) Do you engage in borrowing transactions on behalf of any of the separately managed account clients that you advise?	•	0
	If yes, complete Section 5.K.(2) of Schedule D.		
	(3) Do you engage in derivative transactions on behalf of any of the separately managed account clients that you advise?	•	0
	If yes, complete Section 5.K.(2) of Schedule D.		
	(4) After subtracting the amounts in Item 5.D.(3)(d)-(f) above from your total regulatory assets under management, does any custodian hold ten percent or more of this remaining amount of regulatory assets under management?	•	0
	If yes, complete Section 5.K.(3) of Schedule D for each custodian.		
L.	Marketing Activities	Vos	. No
	(1) Do any of your advertisements include:	165	NU
	(a) Performance results?	•	0
	(b) A reference to specific investment advice provided by you (as that phrase is used in rule 206(4)-1(a)(5))?	•	0
	(c) Testimonials (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	0	•
	(d) Endorsements (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	•	0
	(e) Third-party ratings?	•	0
	(2) If you answer "yes" to L(1)(c), (d), or (e) above, do you pay or otherwise provide cash or non-cash compensation, directly or indirectly, in connection with the use of <i>testimonials</i> , <i>endorsements</i> , or <i>third-party ratings</i> ?	0	0
	(3) Do any of your advertisements include hypothetical performance?	•	0
	(4) Do any of your advertisements include predecessor performance?	•	0

SECTION 5.G.(3) Advisers to Registered Investment Companies and Business Development Companies

\$

If you check Item 5.G.(3), what is the SEC file number (811 or 814 number) of each of the registered investment companies and business development companies to which you act as an adviser pursuant to an advisory contract? You must complete a separate Schedule D Section 5.G.(3) for each registered investment company and business development company to which you act as an adviser.

SEC File Number 811 - 23084

Provide the regulatory assets under management of all *parallel managed accounts* related to a registered investment company (or series thereof) or business development company that you advise.

No Information Filed

SECTION 5.1.(2) Wrap Fee Programs

No Information Filed

SECTION 5.K.(1) Separately Managed Accounts

After subtracting the amounts reported in Item 5.D.(3)(d)-(f) from your total regulatory assets under management, indicate the approximate percentage of this remaining amount attributable to each of the following categories of assets. If the remaining amount is at least \$10 billion in regulatory assets under management, complete Question (a). If the remaining amount is less than \$10 billion in regulatory assets under management, complete Question (b).

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date. Each column should add up to 100% and numbers should be rounded to the nearest percent.

Investments in derivatives, registered investment companies, business development companies, and pooled investment vehicles should be reported in those categories. Do not report those investments based on related or underlying portfolio assets. Cash equivalents include bank deposits, certificates of deposit, bankers' acceptances and similar bank instruments.

Some assets could be classified into more than one category or require discretion about which category applies. You may use your own internal methodologies and the conventions of your service providers in determining how to categorize assets, so long as the methodologies or conventions are consistently applied and consistent with information you report internally and to current and prospective clients. However, you should not double count assets, and your responses must be consistent with any instructions or other guidance relating to this Section.

Ass	et Type	Mid-year	End of year
(i)	Exchange-Traded Equity Securities	47 %	47 %
(ii)	Non Exchange-Traded Equity Securities	0 %	0 %
(iii)	U.S. Government/Agency Bonds	2 %	3 %
(iv)	U.S. State and Local Bonds	2 %	2 %
(v)	Sovereign Bonds	0 %	0 %
(vi)	Investment Grade Corporate Bonds	2 %	2 %
(vii)	Non-Investment Grade Corporate Bonds	0 %	0 %
(viii)	Derivatives	5 %	4 %
(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	8 %	7 %
(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	22 %	24 %
(xi)	Cash and Cash Equivalents	12 %	10 %
(xii)	Other	0 %	0 %

Generally describe any assets included in "Other"

) As	set Type	End of year
(i)	Exchange-Traded Equity Securities	%
(ii)	Non Exchange-Traded Equity Securities	%
(iii	U.S. Government/Agency Bonds	%
(iv) U.S. State and Local Bonds	%
(v)	Sovereign Bonds	%
(vi) Investment Grade Corporate Bonds	%
(vi	i) Non-Investment Grade Corporate Bonds	%
(vi	ii) Derivatives	%

(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	%
(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development	%
	Companies)	
(xi)	Cash and Cash Equivalents	%
(xii)	Other	%

Generally describe any assets included in "Other"

SECTION 5.K.(2) Separately Managed Accounts - Use of Borrowingsand Derivatives

No information is required to be reported in this Section 5.K.(2) per the instructions of this Section 5.K.(2)

If your regulatory assets under management attributable to separately managed accounts are at least \$10 billion, you should complete Question (a). If your regulatory assets under management attributable to separately managed accounts are at least \$500 million but less than \$10 billion, you should complete Question (b).

(a) In the table below, provide the following information regarding the separately managed accounts you advise. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise. End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of borrowings for the accounts included in column 1.

In column 3, provide aggregate *gross notional value* of derivatives divided by the aggregate regulatory assets under management of the accounts included in column 1 with respect to each category of derivatives specified in 3(a) through (f).

You may, but are not required to, complete the table with respect to any separately managed account with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

(i) Mid-Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings		(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative	(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative	
Less than 10%	\$ 89,990,308	\$ 0	0 %	0 %	0 %	6 %	0 %	0 %	
10-149%	\$ 764,686,112	\$ 1,443,165	0 %	0 %	0 %	72 %	0 %	0 %	
150% or more	\$ 658,141,484	\$ 5,055,968	0 %	0 %	0 %	237 %	0 %	0 %	

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which borrowings and derivatives are used in the management of the separately managed accounts that you advise.

(ii) End of Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings		(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative	(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative	
Less than 10%	\$ 11,317,920	\$ 0	0 %	0 %	0 %	10 %	0 %	0 %	
10-149%	\$ 940,101,025	\$ 12,846,061	0 %	0 %	0 %	61 %	0 %	0 %	
150% or more	\$ 595,955,997	\$ 18,064,898	0 %	0 %	0 %	215 %	0 %	0 %	

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which borrowings and derivatives are used in the management of the separately managed accounts that you advise.

(b) In the table below, provide the following information regarding the separately managed accounts you advise as of the date used to calculate your

regulatory assets under management for purposes of your *annual updating amendment*. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of *borrowings* for the accounts included in column 1.

You may, but are not required to, complete the table with respect to any separately managed accounts with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings
Less than 10%	\$	\$
10-149%	\$	\$
150% or more	\$	\$

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

Complete a separate Schedule D Section 5.K.(3) for each custodian that holds ten percent or more of your aggregate separately managed account

SECTION 5.K.(3)	Custodians for	Separately	y Managed	Accounts
-----------------	-----------------------	------------	-----------	-----------------

regulat	ory assets under management.			
(a)	Legal name of custodian:			
	FIDELITY BROKERAGE SERVI	CES LLC		
(b)	Primary business name of cu	ustodian:		
	FIDELITY BROKERAGE SERVI	CES LLC		
(c)	The location(s) of the custod	lian's office(s) responsible for <i>custody</i> of the asse	ets:	
	City: SMITHFIELD	State: Rhode Island	Country: United States	
				Yes No
(d)	Is the custodian a related pe	erson of your firm?		0 0
(e)	If the custodian is a broker-o	dealer, provide its SEC registration number (if an	ny)	
(f)	If the custodian is not a brokany)	ker-dealer, or is a broker-dealer but does not ha	ve an SEC registration number, provide its lega	al entity identifier (if
(g)	What amount of your regula	tory assets under management attributable to s	separately managed accounts is held at the cus	stodian?
	\$ 9,457,048,138			

tem 6	Otner	Business	Activities

In this Item, we request information about your firm's other business activities.

(14) other financial product salesperson (specify):

A.	You	are a	ctively engaged in business as a (check all that apply):
		(1)	broker-dealer (registered or unregistered)
		(2)	registered representative of a broker-dealer
	V	(3)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
		(4)	futures commission merchant
		(5)	real estate broker, dealer, or agent
		(6)	insurance broker or agent
		(7)	bank (including a separately identifiable department or division of a bank)
		(8)	trust company
		(9)	registered municipal advisor
		(10)	registered security-based swap dealer
		(11)	major security-based swap participant
		(12)	accountant or accounting firm
		(13)	lawyer or law firm

		Ye	s No
B.	(1)	Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment advice)?	0
	(2)	If yes, is this other business your primary business?	•
		If "yes," describe this other business on Section 6.B.(2) of Schedule D, and if you engage in this business under a different name, provide that name	
	(3)	Do you sell products or provide services other than investment advice to your advisory <i>clients</i> ?	s No
		If "yes," describe this other business on Section 6.B.(3) of Schedule D, and if you engage in this business under a different name, provide that name	⊋.
SEC ⁻	TION	N 6.A. Names of Your Other Businesses	
		No Information Filed	
EC.	TION	Visit 6.B.(2) Description of Primary Business	
		e your primary business (not your investment advisory business):	
Des	cribe	your primary business (not your investment advisory business).	
If y	ou er	ngage in that business under a different name, provide that name:	
		4 6.B.(3) Description of Other Products and Services	
		e other products or services you sell to your <i>client</i> . You may omit products and services that you listed in Section 6.B.(2) above. ION AND NETWORKING SERVICES VIA LIDO TAX, LLC	
lf v	011.00	ngage in that business under a different name, provide that name:	
_		DNSULTING GROUP, LLC AND LIDO TAX, LLC	
יטוב	0 001	NOULTING GROUP, LEG AND LIDO TAX, LLG	
_			
		inancial Industry Affiliations	
		tem, we request information about your financial industry affiliations and activities. This information identifies areas in which conflicts of interest in Etween you and your <i>clients.</i>	may
Α.	This	s part of Item 7 requires you to provide information about you and your related persons, including foreign affiliates. Your related persons are all of	your
	advi	isory affiliates and any person that is under common control with you.	
	You	have a related person that is a (check all that apply):	
		(1) broker-dealer, municipal securities dealer, or government securities broker or dealer (registered or unregistered)	
		(2) other investment adviser (including financial planners)(3) registered municipal advisor	
		(4) registered security-based swap dealer	
		(5) major security-based swap participant	
	$\overline{\mathbf{r}}$	(6) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)	
		(7) futures commission merchant	
	V	(8) banking or thrift institution(9) trust company	
	~	(10) accountant or accounting firm	
		(11) lawyer or law firm	
		(12) insurance company or agency	
		(13) pension consultant	
		(14) real estate broker or dealer(15) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	
	~	(16) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	
		te that I tem 7.A. should not be used to disclose that some of your employees perform investment advisory functions or are registered representatives	
		ker-dealer. The number of your firm's employees who perform investment advisory functions should be disclosed under Item 5.B.(1). The number of y n's employees who are registered representatives of a broker-dealer should be disclosed under Item 5.B.(2).	uui
	111		
		te that if you are filing an umbrella registration, you should not check Item 7.A.(2) with respect to your relying advisers, and you do not have to comple	ete
	Sec	ction 7.A. in Schedule D for your relying advisers. You should complete a Schedule R for each relying adviser.	
	For	each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. of	
		each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. or nedule D.	

You do not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in connection with advisory services you provide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or business to the related person, and the related person does not refer prospective clients or business to you; (4) you do not share supervised persons or premises with the related person; and (5) you have no reason to believe that your relationship with the related person otherwise creates a conflict of interest with your clients.

If you engage in other business using a name that is different from the names reported in Items 1.A. or 1.B.(1), complete Section 6.A. of Schedule D.

You must complete Section 7.A. of Schedule D for each related person acting as qualified custodian in connection with advisory services you provide to your clients (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.

SEC	TION 7.A. Financial Industry Affiliations		
Coı	mplete a separate Schedule D Section 7.A. for each <i>related person</i> listed in Item 7.A.		
1.	Legal Name of <i>Related Person</i> : ENTERPRISE TRUST & INVESTMENT COMPANY		
2.	Primary Business Name of <i>Related Person</i> : ENTERPRISE TRUST & INVESTMENT COMPANY		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners)		
	(c) ☐ registered municipal advisor (d) ☐ registered security-based swap dealer (e) ☐ major security-based swap participant		
	 (f) □ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) □ futures commission merchant (h) □ banking or thrift institution (i) ▼ trust company 		
	 (j) □ accountant or accounting firm (k) □ lawyer or law firm (l) □ insurance company or agency 		
	 (m) pension consultant (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
		Yes	
6.	Do you control or are you controlled by the related person?	⊙	0
7.	Are you and the related person under common control?	\odot	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1: City: State: Country: ZIP+4/Postal Code:	asse	ets:
	If this address is a private residence, check this box:	Yes	No
9.	(a) If the related person is an investment adviser, is it exempt from registration?	0	
	(b) If the answer is yes, under what exemption?		
10.	(a) Is the related person registered with a foreign financial regulatory authority?(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register		•
11.	No Information Filed Do you and the <i>related person</i> share any <i>supervised persons</i> ?	•	0

 \circ

12. Do you and the *related person* share the same physical location?

	_	al Name of <i>Related Person</i> : D ADVISORS COLOSSUS TIGER GLOBAL FEEDER FUND I GP, LLC		
2.		nary Business Name of <i>Related Person</i> : D ADVISORS COLOSSUS TIGER GLOBAL FEEDER FUND I GP, LLC		
8.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or Othe	er		
ļ.	Rela	ted Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any): No Information Filed		
	Dolo	ted Person is: (check all that apply)		
).	(a) (b)	broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners)		
	. ,	registered municipal advisor registered security-based swap dealer		
		major security-based swap participant		
	• •	commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant		
	10,	banking or thrift institution		
	` '	□ trust company		
	(j)	accountant or accounting firm		
		lawyer or law firm		
	()	☐ insurance company or agency ☐ pension consultant		
	` ,	pension consultant real estate broker or dealer		
	` ,	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	. No
).	Do y	you control or are you controlled by the related person?	•	С
' .	Are	you and the related person under common control?	•	0
3.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	О
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>class</i>	ients' ass	ets
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
			Yes	s No
).		If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption?	0	С
	(6)	if the driswer is yes, under what exemption:		
0.		Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is re- No Information Filed	gistered.	
1.	Do y	you and the related person share any supervised persons?	•	0
2	Do y	you and the <i>related person</i> share the same physical location?	•	С

Legal Name of *Related Person*:
 LIDO UNCAPPED DIVERSIFIED GP, LLC

۷.	LIDO UNCAPPED DIVERSIFIED GP, LLC				
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)				
	or Other				
	Other				
4.	Related Person's (a) CRD Number (if any):				
	(b) CIK Number(s) (if any):				
	No Information Filed				
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (i) trust company (j) accountant or accounting firm (k) lawyer or law firm (l) insurance company or agency (m) pension consultant (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles				
	(p) ☑ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No		
6.	Do you control or are you controlled by the related person?	•	С		
7.	Are you and the related person under common control?	•	С		
8.	 (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i>'s office responsible for <i>custody</i> of your <i>clients</i>. Number and Street 2: 	0			
	City: State: Country: ZIP+4/Postal Code:				
	If this address is a private residence, check this box: \square	Yes	No		
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	O		
	(b) If the answer is yes, under what exemption?				
10.	(a) Is the related person registered with a foreign financial regulatory authority?(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	C tered.	0		
11.	No Information Filed Do you and the <i>related person</i> share any <i>supervised persons</i> ?	•	_		
		٠			
12.	Do you and the related person share the same physical location?	•	С		
1.	Legal Name of <i>Related Person</i> : LIDO UNCAPPED PLATINUM GP, LLC				
2.	Primary Business Name of <i>Related Person</i> : LIDO UNCAPPED PLATINUM GP, LLC				
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)				
	or.				

	Othe	er er		
4.	Rela (a)	cted Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
	(2)	No Information Filed		
5.	Rela (a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (o) (p)	ted Person is: (check all that apply) □ broker-dealer, municipal securities dealer, or government securities broker or dealer □ other investment adviser (including financial planners) □ registered municipal advisor □ registered security-based swap dealer □ major security-based swap participant ☑ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) □ futures commission merchant □ banking or thrift institution □ trust company □ accountant or accounting firm □ lawyer or law firm □ insurance company or agency □ pension consultant □ real estate broker or dealer □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
			Yes	No
6.	Do y	you control or are you controlled by the related person?	•	О
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	(a) (b)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	_
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:	asse	ets:
	()		Yes	
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	0
10	(b) (a)	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority?	_	_
10.	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register	C ed.	•
		No Information Filed		
11.	Do y	you and the related person share any supervised persons?	•	0
12.	Do y	you and the <i>related person</i> share the same physical location?	•	0
1.	_	al Name of <i>Related Person</i> : D UNCAPPED QUARTERLY GP, LLC		
2.		nary Business Name of <i>Related Person</i> : D UNCAPPED QUARTERLY GP, LLC		
3.	Rela -	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.	Rela (a)	centred Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any):		

5.	Related Person is: (check all that apply) (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer (b) □ other investment adviser (including financial planners) (c) □ registered municipal advisor (d) □ registered security-based swap dealer (e) □ major security-based swap participant (f) ▼ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) □ futures commission merchant (h) □ banking or thrift institution (i) □ trust company		
	 (j) accountant or accounting firm (k) lawyer or law firm (l) insurance company or agency (m) pension consultant (n) real estate broker or dealer 		
	 (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
		Yes	No
6.	Do you control or are you controlled by the related person?	•	С
7.	Are you and the related person under common control?	•	C
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	,
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:	asse	ets
		Yes	No
9.	(a) If the related person is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	0	С
	(b) If the answer is yes, under what exemption:		
10.	(a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i>?(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register.No Information Filed	c red.	•
11.	Do you and the related person share any supervised persons?	•	C
12.	Do you and the <i>related person</i> share the same physical location?	•	C
1.	Legal Name of <i>Related Person</i> : LIDO POWERLIFT GP, LLC		
2.	Primary Business Name of <i>Related Person</i> : LIDO POWERLIFT GP, LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a) Double broker-dealer, municipal securities dealer, or government securities broker or dealer		

(b) \square other investment adviser (including financial planners)

	 (c) ☐ registered municipal advisor (d) ☐ registered security-based swap dealer (e) ☐ major security-based swap participant (f) ☑ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) ☐ futures commission merchant (h) ☐ banking or thrift institution (i) ☐ trust company (j) ☐ accountant or accounting firm (k) ☐ lawyer or law firm (l) ☐ insurance company or agency (m) ☐ pension consultant (n) ☐ real estate broker or dealer (o) ☐ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) ☑ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
		⁄es	No
6.	Do you control or are you controlled by the related person?	•	0
7.	Are you and the related person under common control?	•	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> and Street 1: Number and Street 2:	asse	ts:
	City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box: N	⁄es	No
9.	(a) If the related person is an investment adviser, is it exempt from registration?	0	0
	(b) If the answer is yes, under what exemption?		
10.	 (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed 		•
11.	. Do you and the related person share any supervised persons?	•	0
12.	. Do you and the <i>related person</i> share the same physical location?	•	0
1.	Legal Name of <i>Related Person</i> : LIDO UNCAPPED GP, LLC		
2.	Primary Business Name of <i>Related Person</i> : LIDO UNCAPPED GP, LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer (b) □ other investment adviser (including financial planners) (c) □ registered municipal advisor (d) □ registered security-based swap dealer (e) □ major security-based swap participant (f) ▼ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) □ futures commission merchant (h) □ banking or thrift institution		

	 (i)		
	(I) Insurance company or agency		
	(m) □ pension consultant(n) □ real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) ☑ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	. No
6.	Do you control or are you controlled by the related person?	•	0
7.	Are you and the related person under common control?	•	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> Number and Street 1: Number 2:	s'ass	ets:
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
		Yes	No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	0
	(b) If the answer is yes, under what exemption?		
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registe No Information Filed	ered.	
11.	Do you and the related person share any supervised persons?	•	0
12.	Do you and the related person share the same physical location?	•	0
1.	Legal Name of <i>Related Person</i> : LIDO INTERNATIONAL PARTNERS, LTD		
2.	Primary Business Name of <i>Related Person</i> : LIDO INTERNATIONAL PARTNERS, LTD		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
1	Related Person's		
4.	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply) (a) D broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) other investment adviser (including financial planners)		
	(c) ☐ registered municipal advisor (d) ☐ registered security-based swap dealer		
	(d) L registered security-based swap dealer(e) D major security-based swap participant		
	(f) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) ☐ futures commission merchant(h) ☐ banking or thrift institution		
	(h) □ banking or thrift institution(i) □ trust company		
	(j) accountant or accounting firm		
	(k) lawyer or law firm		

	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(p) = openeen, general partner, managing member (er equivalent) or poenea investment vendee	Yes	s No
6.	Do you control or are you controlled by the related person?	0	•
7.	Are you and the related person under common control?	0	•
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	_	_
0.	 (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i>? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i>. 	0	© C
	Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:	Yes	s No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?		•
	(b) If the answer is yes, under what exemption?		
10.	(a) Is the related person registered with a foreign financial regulatory authority?	•	0
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is regi	istered.	
	Name of Country/English Name of Foreign Financial Regulatory Authority Dubai - Dubai Financial Services Authority		
11.	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	0	•
12.	Do you and the <i>related person</i> share the same physical location?	0	•
1.	Legal Name of <i>Related Person</i> : IDB LIDO WEALTH, LLC		
2.	Primary Business Name of <i>Related Person</i> : IDB LIDO WEALTH, LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	801 - 126779 or		
	Other		
4.	Related Person's		
	(a) CRD Number (if any): 322488		
	(b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply)		
Ο.	(a) Droker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) vother investment adviser (including financial planners)		
	(c) \square registered municipal advisor		
	(d) \square registered security-based swap dealer		
	(e) major security-based swap participant		
	(f) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) futures commission merchant		
	(h) □ banking or thrift institution(i) □ trust company		
	(j) \square accountant or accounting firm		
	(k) \square lawyer or law firm		
	(I) Insurance company or agency		
	(m) pension consultant		
	(n) real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Vac	s No
6.	Do you control or are you controlled by the related person?		S IVO
		-	-

7.	Are you and the related person under common control?	•	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c) If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>client</i> Number and Street 1: City: State: Country: ZIP+4/Postal Code:	s'ass	ets:
	If this address is a private residence, check this box:	Ves	. No
9.	(a) If the related person is an investment adviser, is it exempt from registration?		•
	(b) If the answer is yes, under what exemption?		
10.	. (a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is regist No Information Filed	ered.	
11.	. Do you and the related person share any supervised persons?	\odot	0
12.	. Do you and the <i>related person</i> share the same physical location?	•	0
1.	Legal Name of <i>Related Person</i> : LIDO TAX, LLC		
2.	Primary Business Name of <i>Related Person</i> : LIDO TAX, LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply)		
	 (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer (b) □ other investment adviser (including financial planners) (c) □ registered municipal advisor 		
	(d) \square registered security-based swap dealer		
	(e) □ major security-based swap participant(f) □ commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) utures commission merchant		
	(h) □ banking or thrift institution(i) □ trust company		
	(j) ✓ accountant or accounting firm		
	(k) ☐ lawyer or law firm (l) ☐ insurance company or agency		
	(m) pension consultant		
	(n) ☐ real estate broker or dealer (a) ☐ spansor or syndicator of limited partnerships (or equivalent), excluding peopled investment vehicles		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			No
6.	Do you control or are you controlled by the related person?	•	0
7.	Are you and the related person under common control?	•	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not	0	0

required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person*?

	(c)	Number and Street 1: City:	State:	Number Country:	and Street 2:	son's office responsible for <i>custody</i> of your ZIP+4/Postal Code:	clients' asse	ts:
		If this address is a private r	esidence, check this	box: L			Yes	No
9.	(a) (b)	If the <i>related person</i> is an inv		it exempt from registrati	on?		0	0
10.		Is the <i>related person</i> register If the answer is yes, list the	•	in English of each <i>foreign</i>		authority with which the related person is	O registered.	•
11.	Doy	you and the related person sha	are any <i>supervised p</i>				•	0
12.	Doy	ou and the <i>related person</i> sha	are the same physic	al location?			•	0
1.	_	al Name of <i>Related Person</i> : HURST CAPITAL ADVISORS, LI	LC					
2.		ary Business Name of <i>Related</i> HURST CAPITAL ADVISORS, LI						
3.		ted Person's SEC File Number - 120027	(if any) (e.g., 801-,	8-, 866-, 802-)				
	or Oth	er						
4.	Rela (a)	ted Person's CRD Number (if any): 311802						
	(b)	CIK Number(s) (if any):		No Inforr	mation Filed			
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (n) (o) (p)	other investment advised registered municipal adding registered security-based switches major security-based switches commodity pool operated futures commission mer banking or thrift instituted trust company accountant or accounting lawyer or law firm insurance company or a pension consultant real estate broker or desponsor or syndicator or sponsor, general partners.	al securities dealer, der (including financial visor ed swap dealer wap participant or or commodity traction g firm agency ealer f limited partnershiper, managing members	ding advisor (whether reg s (or equivalent), excludi er (or equivalent) of poole	gistered or exempt	ent vehicles	Yes	
6.	Do y	ou <i>control</i> or are you <i>controlle</i>	ed by the related per	rson?			0	•
7.	Are	you and the <i>related person</i> un	der common <i>control</i>	?			o	•
8.	(a)	•	·	3		ory services you provide to <i>clients</i> ? 18.(a) above, have you overcome the	0	
	(b)		ot operationally inde	pendent (pursuant to rule	e 206(4)-2(d)(5)) f	rom the related person and thus are not	0	O
	(c)	If you have answered "yes" Number and Street 1:	to question 8.(a) at	·	n of the <i>related per</i> and Street 2:	son's office responsible for <i>custody</i> of your	clients' asse	ts:
		City:	State:	Country:		ZIP+4/Postal Code:		
		If this address is a private r	esidence, check this	DOX:			Yes	No
9.	(a)	If the related person is an inv	estment adviser, is	it exempt from registrati	on?		0	\odot

	(b)	If the answer is yes, under what exemption?		
10.	(a) (b)	Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register	o red.	0
11.	Do y	No Information Filed you and the <i>related person</i> share any <i>supervised persons</i> ?	•	(
12.	Do y	you and the <i>related person</i> share the same physical location?	•	
				_
1.	_	al Name of <i>Related Person</i> : ORADO FINANCIAL MANAGEMENT, LLC		
2.		orange Business Name of <i>Related Person</i> : ORADO FINANCIAL MANAGEMENT LLC		
3.	801	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 56204		
	or Othe	er e		
4.	Rela (a)	cred Person's CRD Number (if any):		
	(b)	113216 CIK Number(s) (if any):		
		No Information Filed		
	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (n) (o) (p)		Yes	
6.	Do y	you control or are you controlled by the related person?	⊙	(
7.	Are	you and the related person under common control?	•	C
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	ø
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:	asse	÷ts
			Yes	N
9.	(a) (b)	If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption?	0	9
10.	(a) (b)	Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register. No Information Filed	o red.	6
 _{11.}	Do v	you and the related person share any supervised persons?	_	,

12.	. Do you and the <i>related person</i> share the same physical location?	•	0
1.	Legal Name of <i>Related Person</i> : LIDO ADVISORS COLOSSUS MASTER FUND GP, LLC		
2.	Primary Business Name of <i>Related Person</i> : LIDO ADVISORS COLOSSUS MASTER FUND GP, LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a)	Yes	No
6.	Do you control or are you controlled by the related person?	•	0
7.	Are you and the related person under common control?	•	0
8.	 (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>? 	0	
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clie</i> Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:	ents' asse Yes	
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	O	
10.	. (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is regi	C istered.	•
11.	No Information Filed . Do you and the <i>related person</i> share any <i>supervised persons</i> ?	•	0
12.	. Do you and the <i>related person</i> share the same physical location?	•	0

1. Legal Name of *Related Person*:

	LIDO CAP AND GAP GP, LLC	
2.	Primary Business Name of <i>Related Person</i> : LIDO CAP AND GAP GP	
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	
	- or	
	Other	
4.	Related Person's	
	(a) CRD Number (if any):	
	(b) CIK Number(s) (if any): No Information Filed	
	No midmation riled	
5.	Related Person is: (check all that apply)	
	(a) Droker-dealer, municipal securities dealer, or government securities broker or dealer	
	(b) other investment adviser (including financial planners)	
	(c) registered municipal advisor (d) registered security-based swap dealer	
	(e) major security-based swap participant	
	(f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)	
	(g) futures commission merchant	
	(h) Danking or thrift institution	
	(i) □ trust company(j) □ accountant or accounting firm	
	(j) accountant or accounting firm (k) lawyer or law firm	
	(I) Insurance company or agency	
	(m) pension consultant	
	(n) are real estate broker or dealer	
	 (o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) ☑ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 	
	(p) 2 spensor, general partner, managing member (or equivalent) or pooled investment venicles	Yes N
6.	Do you control or are you controlled by the related person?	⊙ (
7.	Are you and the related person under common control?	0 0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	
0.	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the	0 6
	presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0 0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your calculations.	<i>lients'</i> assets
	Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:	
	If this address is a private residence, check this box: \Box	
		Yes N
9.		0 0
	(b) If the answer is yes, under what exemption?	
10). (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	0.6
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is re No Information Filed	
11	I. Do you and the related person share any supervised persons?	⊙ (
12	2. Do you and the <i>related person</i> share the same physical location?	© (
1.	Legal Name of <i>Related Person</i> : LIDO PRIVATE FUNDS, LLC	
2.		
	LIDO PRIVATE FUNDS	
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	

	-			
	or Othe	ner er		
	5.4			
4.	<i>ке</i> а (а)	ated Person's CRD Number (if any):		
	4.5			
	(b)	CIK Number(s) (if any): No Information Filed		
5.	Rela	ated Person is: (check all that apply)		
Ο.	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c) (d)	registered municipal advisor registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h) (i)	banking or thrift institutiontrust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(l) (m)	insurance company or agency pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Voc	. No
6.	Do y	you control or are you controlled by the related person?	⊙	O
7.	Are	you and the related person under common control?	•	0
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	_	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the	0	0
		presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	·	
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> Number and Street 1: Number and Street 2:	ass	ets:
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square	Yes	. No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?		0
	(b)	If the answer is yes, under what exemption?		
10	(a)	Is the related person registered with a foreign financial regulatory authority?	_	_
10.	(a) (b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registe	red.	•
	(-)	No Information Filed		
11.	Do y	you and the related person share any supervised persons?	\odot	0
12.	Do y	you and the <i>related person</i> share the same physical location?	•	0
ten	n 7 <i>F</i>	Private Fund Reporting		
			Yes	s No
В. А	Are y	ou an adviser to any <i>private fund</i> ?	•	0
1	f "ye	es," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the	next	

sentence and in Instruction 6 of the Instructions to Part 1A. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting adviser, and another SEC-registered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund in Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you are a subadviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. You must, instead, complete Section 7.B.(2) of Schedule D.

In either case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabetical code, or similar designation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same code or designation in place of the fund's name.

	Funds per Page: 15 Total Funds: 15		
	PRIVATE FUND		
	RIVATE FORD		
fc	ormation About the <i>Private Fund</i>		
	(a) Name of the private fund:		
	LIDO ADVISORS COLOSSUS MASTER FUND, L.P CB III OFFSHORE		
	(b) <i>Private fund</i> identification number: (include the "805-" prefix also)		
	805-2107872261		
	Under the laws of what state or country is the <i>private fund</i> organized:		
	State: Country:		
	Delaware United States		
	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	LIDO ADVISORS COLOSSUS MASTER FUND GP, LLC		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
ļ.	The private fund (check all that apply; you must check at least one):		
١.	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1		
١.			
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed	940 Ye s	
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement?	940	
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed	940 Ye s	
	 □ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 □ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 □ List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. □ No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>? 	940 Ye:	
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ? No Information Filed	Yes O	s I
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ? No Information Filed (c) Is this a "feeder fund" in a master-feeder arrangement?	940 Ye:	s I
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ? No Information Filed	Yes O	s I
	☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the Envestment Company Act of 1 ☐ (2) qualifies for the Envestment Company Act of 1 ☐ (2) qualifies for the Envestment Company Act of 1 ☐ (2) qualifies for the Envestment Company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the Envestment Company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the Envestment Company under section 3(c)(7) of the Investment Company Act of 1 ☐ (2) qualifies for the Envestment Company under section 3(c)(7) of the Investment Company Act of 1 ☐ (2) qualifies for the Envestment Company under section 3(c)(7) of the Investment Company Act of 1 ☐ (2) qualifies for the Envestment Company act of 1 ☐ (2) qualifies for the Envestment Company act of 1 ☐ (2) qualifies for the Envestment Company act of 1 ☐ (2) qualifies for the Envestment Company act of 1 ☐ (3) qualifies for the Envestment Company act of 1 ☐ (3) qualifies for the Envestment Company act of 1 ☐ (3) qualifies for the Envestment Company act of 1 ☐ (3) qualifies for the Envestment Company act of 1 ☐ (3) qualifies for the Envestment Company	Yes O	s I
	☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the Investment Company Act of 1 ☐ (3) qualifies for the Investment Company Act of 1 ☐ (4) ☐ (2) qualifies for the Investment Company Act of 1 ☐ (3) qualifies for the Investment Company Act of 1 ☐ (4) ☐ (4) ☐ (4) ☐ (5) ☐ (6) ☐ (6) ☐ (6) ☐ (7) ☐	Yes O	s I
	☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the Envestment Company Act of 1 ☐ (2) qualifies for the Envestment Company Act of 1 ☐ (2) qualifies for the Envestment Company Act of 1 ☐ (2) qualifies for the Envestment Company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the Envestment Company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the Envestment Company under section 3(c)(7) of the Investment Company Act of 1 ☐ (2) qualifies for the Envestment Company under section 3(c)(7) of the Investment Company Act of 1 ☐ (2) qualifies for the Envestment Company under section 3(c)(7) of the Investment Company Act of 1 ☐ (2) qualifies for the Envestment Company act of 1 ☐ (2) qualifies for the Envestment Company act of 1 ☐ (2) qualifies for the Envestment Company act of 1 ☐ (2) qualifies for the Envestment Company act of 1 ☐ (3) qualifies for the Envestment Company act of 1 ☐ (3) qualifies for the Envestment Company act of 1 ☐ (3) qualifies for the Envestment Company act of 1 ☐ (3) qualifies for the Envestment Company act of 1 ☐ (3) qualifies for the Envestment Company	Yes O	s I
	(a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? No Information Filed (c) Is this a "feeder fund" in a master-feeder arrangement? (d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? No Information Filed	Yes C	S
	☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 ☐ (2) qualifies for the Investment Company Act of 1 ☐ (3) qualifies for the Investment Company Act of 1 ☐ (4) ☐ (2) qualifies for the Investment Company Act of 1 ☐ (3) qualifies for the Investment Company Act of 1 ☐ (4) ☐ (4) ☐ (4) ☐ (5) ☐ (6) ☐ (6) ☐ (6) ☐ (7) ☐	Yes C	s I
	□ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 □ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 □ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 □ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 □ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 □ (2) qualifies for the exclusion from the definition of investment Company under section 3(c)(7) of the Investment Company Act of 1 □ (2) qualifies for the exclusion from the definition of investment Company under section 3(c)(7) of the Investment Company Act of 1 □ (2) qualifies for the Investment Company act of 1 □ (3) qualifies for the Investment Company act of 1 □ (4) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? □ (2) Is this a "feeder fund" in a master-feeder arrangement? □ (3) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? □ (3) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund? □ (3) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund? □ (5) If yes, what is the name and private fund? □ (6) If yes, what is the name and private fund? □ (7) If yes, what is the name and private fund? □ (8) If yes, what is the name and private fund? □ (9) If yes, what is the name and private fund? □ (9) If yes, what is the name and private fund? □ (9) If yes, what is the name and private fund? □ (9) If yes, what is the name and private fund? □ (9) If yes, what is the name and priv	Yes O	s 1
	□ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 □ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 □ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 □ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 □ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 □ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 □ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 □ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 □ (2) qualifies for the Investment Company Act of 1 □ (3) Investment Government Government Filed □ (4) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? □ (3) Investment Government Governm	Yes O	. (1
	(a) Is this a "feeder fund" in a master-feeder arrangement? (b) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund in vests? No Information Filed (c) Is this a "feeder fund" in a master-feeder arrangement? (d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? Name of private fund: Private fund: Private fund: Private fund: NoTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for a master-feeder arrangement according to t	Yes O	s I

assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued

multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	\odot	0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	•	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the private fund?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other <i>private</i> PRIVATE CREDIT FUND	te fun	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> :		
	\$ 6,080,000		
<u>Ow</u>	<u>nership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 37		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	<u>ur Advisory Services</u>		
		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	\odot
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	•
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	answ	er
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	•	0
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 1%		

02	-46774		
.D\			
KVI	CE PROVIDERS		
itors			
		Υ	es
(a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?		⊙
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?		⊙
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auc you must complete questions (b) through (f) separately for each auditing firm.	iting ii	rm,
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm: MARCUM LLP		
	(c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country):		
	City: State: Country:		
	LOS ANGELES California United States	Yes	: Na
	(d) Is the auditing firm an independent public accountant?	•	О
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	C
	If yes, Public Company Accounting Oversight Board-Assigned Number: 688		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	O
			es
_	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	(⊙
h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	iniqo k	onsí
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the repor	t is ava	ailab
e B	<u>roker</u>		
		Υ	es
(a)	Does the <i>private fund</i> use one or more prime brokers?		0
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	the <i>pi</i>	ivat:
	No Information Filed		
di	u <u>n</u>		

If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private

fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

21. Has the *private fund* ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?

Yes No

 \odot \circ

 \circ

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> use fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.					
(b)	Legal name of custodian: MIDFIRST BANK				
(c)	Primary business name of custodian 1ST CENTURY BANK	:			
(d)			ne <i>private fund's</i> assets (city, state and cou	ıntry):	
	City: LOS ANGELES	State: California	Country: United States		
	LOS ANGLES	Camornia	offited States		
(e)	Is the custodian a related person of y	your firm?			
(f)	If the custodian is a broker-dealer, p	provide its SEC registration n	umber (if any):		
	- CRD Number (if any):				
(a)	If the custodian is not a broker-deal	ler. or is a broker-dealer but	does not have an SEC registration number	r, provide its <i>legal en</i> t	
(9)	identifier (if any)	ion, or is a broker dealer but	adds not have an else registration harmser	, provide na logal em	
	337KMNHEWWWR6B7Q7W10				
	r the <i>private fund</i> use an administrator	other than your firm?			
Does f the	the <i>private fund</i> use an administrator e answer to question 26.(a) is "yes," r	respond to questions (b) thro	ough (f) below. If the <i>private fund</i> uses mor	re than one administr	
Does f the must	the <i>private fund</i> use an administrator	respond to questions (b) throe eparately for each administra		re than one administr	
Does f the must Addi	the <i>private fund</i> use an administrator answer to question 26.(a) is "yes," recomplete questions (b) through (f) so itional Administrator Information:	respond to questions (b) throeparately for each administration of the content of	nrough (f) below. If the <i>private fund</i> uses m		
Does If the must Addi If t adr	the <i>private fund</i> use an administrator answer to question 26.(a) is "yes," recomplete questions (b) through (f) so itional Administrator Information: 1 the answer to question 26.(a) is "yes, ministrator, you must complete question."	respond to questions (b) throeparately for each administration of the content of	nrough (f) below. If the <i>private fund</i> uses m		
Does If the must Addi If t adr	the <i>private fund</i> use an administrator answer to question 26.(a) is "yes," recomplete questions (b) through (f) so itional Administrator Information: 1 the answer to question 26.(a) is "yes,"	respond to questions (b) throeparately for each administration of the content of	nrough (f) below. If the <i>private fund</i> uses m		
Does If the must Addi If t adr (b)	the <i>private fund</i> use an administrator answer to question 26.(a) is "yes," recomplete questions (b) through (f) so itional Administrator Information: 10 the answer to question 26.(a) is "yes, ministrator, you must complete question Name of administrator: OPUS FUND SERVICES	respond to questions (b) throeparately for each administration of the content of	nrough (f) below. If the <i>private fund</i> uses m		
Addi If the must	the <i>private fund</i> use an administrator answer to question 26.(a) is "yes," recomplete questions (b) through (f) so itional Administrator Information: 1 the answer to question 26.(a) is "yes, ministrator, you must complete question Name of administrator: OPUS FUND SERVICES Location of administrator (city, state)	respond to questions (b) through respond to questions (b) through (f) separate e and country):	nrough (f) below. If the <i>private fund</i> uses many for each administrator.		
Does If the must Addi If t adr (b)	the <i>private fund</i> use an administrator answer to question 26.(a) is "yes," recomplete questions (b) through (f) so itional Administrator Information: 10 the answer to question 26.(a) is "yes, ministrator, you must complete question Name of administrator: OPUS FUND SERVICES	respond to questions (b) throeparately for each administration of the content of	nrough (f) below. If the <i>private fund</i> uses m	nore than one	
Does If the must Addi If t adr (b)	the <i>private fund</i> use an administrator answer to question 26.(a) is "yes," recomplete questions (b) through (f) so itional Administrator Information: 10 the answer to question 26.(a) is "yes, ministrator, you must complete question Name of administrator: OPUS FUND SERVICES Location of administrator (city, state City:	respond to questions (b) throeparately for each administrate. 1 Record(s) Filed. " respond to questions (b) thions (b) through (f) separate e and country): State: Illinois	nrough (f) below. If the <i>private fund</i> uses many for each administrator. Country:		
Does If the must Addi If t adr (b) (c)	the <i>private fund</i> use an administrator answer to question 26.(a) is "yes," recomplete questions (b) through (f) so itional Administrator Information: 1 the answer to question 26.(a) is "yes, ministrator, you must complete question Name of administrator: OPUS FUND SERVICES Location of administrator (city, state City: NAPERVILLE Is the administrator a related person	respond to questions (b) throeparately for each administrate. 1 Record(s) Filed. " respond to questions (b) thions (b) through (f) separate each administrate. State: Illinois	nrough (f) below. If the <i>private fund</i> uses many for each administrator. Country:	nore than one	
Does f the must Addi If t adr (b) (c)	the <i>private fund</i> use an administrator answer to question 26.(a) is "yes," recomplete questions (b) through (f) so the answer to question 26.(a) is "yes, ministrator, you must complete question Name of administrator: OPUS FUND SERVICES Location of administrator (city, state City: NAPERVILLE Is the administrator a <i>related person</i> Does the administrator prepare and	respond to questions (b) through respond to questions (b) through (f) respond to questions (b) the grant (b) the grant (c) through (f) separate (c) and country): State: Illinois of your firm?	arough (f) below. If the <i>private fund</i> uses many for each administrator. Country: United States	nore than one	

27. During your last fiscal year, what percentage of the private fund's assets (by value) was valued by a person, such as an administrator, that is not your related person?

100%

Include only those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such person.

Ma	rkete	<u>ers</u>	Yes	No
28.	(a)	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	O	⊙
		You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	r, or	~
		ases. If the private rand ases more than one marketer you must complete questions (b) through (g) separately for each marketer.		
		No Information Filed		
A. Pl	RIVA	TE FUND		
Info	rmat	tion About the <i>Private Fund</i>		
1.	(a)	Name of the <i>private fund</i> : LIDO ADVISORS COLOSSUS MASTER FUND, L.P CB III ONSHORE		
	(b)	Private fund identification number:		
		(include the "805-" prefix also) 805-7472923859		
2.	Und	der the laws of what state or country is the <i>private fund</i> organized:		
		State: Country: Delaware United States		
3.		Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): me of General Partner, Manager, Trustee, or Director		
		OO ADVISORS COLOSSUS MASTER FUND GP, LLC		
		DO ADVISORS COLOSSOS MASTER FOND OF, ELC		
	(b)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
		No Information Filed		
4	Tl			
4.		e private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	⊽	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
_				
5.	List	the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed		
			Yes	No
6.	(a)	Is this a "master fund" in a master-feeder arrangement?	0	\odot
	(b)	If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
		No Information Filed		
			Yes	No
	(c)	Is this a "feeder fund" in a master-feeder arrangement?	\circ	\odot
	(d)	If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
		Private fund identification number: (include the "805-" prefix also)		
		TE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section the master-feeder arrangement or reporting on the funds separately.	7.B.(1)
7.	_	ou are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for feeder funds answer the following questions:	eacl	า of

No Information Filed

	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No
8.	(a) Is this private fund a "fund of funds"?	\odot	0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	•	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	C hedge fund C liquidity fund C private equity fund C real estate fund C securitized asset fund C venture capital fund C Other private PRIVATE CREDIT FUND	te fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 15,160,000		
<u>Ow</u>	vnership		
12.	Minimum investment commitment required of an investor in the private fund:		
	\$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).	!	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 67		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 16%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
<u> Υοι</u>	ur Advisory Services		
17	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	
17.	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer	to	•
	question 17.(a) is "no," leave this question blank. No Information Filed		
		Yes	No
18	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	_	
10.	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the	C answ	⊙ ′er
	to question 18.(a) is "no," leave this question blank. No Information Filed		
		\ <u>'</u>	
10	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	Yes	
17.	rice your onorne continue to invocating the private runu:	(•)	\circ

NOTE: For purposes of this question, do not consider feeder funds of the private fund.

Porm D O21-46 SERVICE P Auditors 23. (a) (1) (2) If the you Ad (b) (c)	e private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? Deprovide the private fund's Form D file number (if any): Defile number 166729	Ye G	es No
1. Has the 2. If yes, p Form D 021-46 SERVICE P Add If the you Ad (t)	private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? Description of the private fund's Form D file number (if any): Description of the private fund's Form D file number (if any): Description of the private fund's financial statements subject to an annual audit? Are the private fund's financial statements subject to an annual audit? If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? The answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm. Description of the Securities Act of 1933? Description	Ye G	es No
2. If yes, p Form D 021-46 SERVICE P uditors 3. (a) (1) (2) If the your Ad If an (b)	PROVIDERS Are the <i>private fund's</i> financial statements subject to an annual audit? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditional Auditor Information: 1 Record(s) Filed.	Ye G	es No
Form D 021-46 SERVICE P uditors 3. (a) (1) (2) If the your Ad If an (t) (c)	Offile number 26729 PROVIDERS Are the <i>private fund's</i> financial statements subject to an annual audit? If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? The answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audition in must complete questions (b) through (f) separately for each auditing firm. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one	6	0
SERVICE Pounditors 3. (a) (1) (2) If the your Add (b) (c)	Are the <i>private fund's</i> financial statements subject to an annual audit? If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one	6	0
SERVICE Pauditors 3. (a) (1) (2) If the your Add (b) (c) (c)	Are the <i>private fund's</i> financial statements subject to an annual audit? If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audition must complete questions (b) through (f) separately for each auditing firm. ditional Auditor Information: 1 Record(s) Filed. The answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one	6	0
Auditors 23. (a) (1) (2) If the your of the policy of th	Are the <i>private fund's</i> financial statements subject to an annual audit? If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audition must complete questions (b) through (f) separately for each auditing firm. ditional Auditor Information: 1 Record(s) Filed. The answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one	6	0
(2) If the your Ad If an (k)	If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditional auditor Information: 1 Record(s) Filed. The answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one	6	0
(2) If the your Ad If an (k)	If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditional auditor Information: 1 Record(s) Filed. The answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one	6	0
(2) If the your Ad If an (k)	If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditional auditor Information: 1 Record(s) Filed. The answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one	•	0
Ad If the your Add (the content of the your Add (the your Add)	the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditional firm. ditional Auditor Information: 1 Record(s) Filed. The answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one		
Ad If an (k	must complete questions (b) through (f) separately for each auditing firm. ditional Auditor Information: 1 Record(s) Filed. f the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one	ing fir	m,
If and (k	the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one		
al (k			
(0	dutting firm, you must complete questions (b) through (i) separately for each additing firm.		
	D) Name of the auditing firm: MARCUM LLP		
	c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country:		
	LOS ANGELES California United States	.,	.
(0	d) Is the auditing firm an independent public accountant?	Yes ©	O
(6	e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 688		
(f	If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		Υe	s No
	the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> estors?	•	0
(h) Do	all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified of	opinic	ns?
•	Yes O No O Report Not Yet Received		
If y	ou check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report i	is ava	ilable.
rime Brok	<u>er</u>		
4 (-) D	the militate foundation and an arrange prime hardward.	Υe	s No
If th	es the <i>private fund</i> use one or more prime brokers? he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the duses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	he <i>pri</i>	
Tane	a assettion of the prime procest, you must complete questions (b) through (c) separately for each prime broker.		
	No Information Filed		

Additional Custodian Information	n : 1 Record(s) Filed.		
·		rough g) below for each custodian the <i>private fun</i> through (g) separately for each custodian.	nd uses. If the μ
(b) Legal name of custodian: MIDFIRST BANK			
(c) Primary business name of cu 1ST CENTURY BANK	stodian:		
(d) The location of the custodiar	's office responsible for <i>custody</i> of the	e private fund's assets (city, state and country):	
City: LOS ANGELES	State: California	Country: United States	
EGG / III GELEG	Gamornia	Sinted States	`
(e) Is the custodian a related pe	rson of your firm?		
(f) If the custodian is a broker-c	lealer, provide its SEC registration nu	mber (if any):	
- CRD Number (if any):			
identifier (if any) 337KMNHEWWWR6B7Q7W1	0		
337KMNHEWWWR6B7Q7W1			
337KMNHEWWWR6B7Q7W1 trator Does the <i>private fund</i> use an admir If the answer to question 26.(a) is	nistrator other than your firm?	ugh (f) below. If the <i>private fund</i> uses more than or.	one administra
337KMNHEWWWR6B7Q7W1 trator Does the <i>private fund</i> use an admir If the answer to question 26.(a) is	nistrator other than your firm? "yes," respond to questions (b) through (f) separately for each administrat	•	one administra
337KMNHEWWWR6B7Q7W1 trator Does the <i>private fund</i> use an admir If the answer to question 26.(a) is must complete questions (b) throu Additional Administrator Information 1 the answer to question 26.(a)	nistrator other than your firm? "yes," respond to questions (b) through (f) separately for each administrat ation: 1 Record(s) Filed.	or. ough (f) below. If the <i>private fund</i> uses more tha	
trator Does the <i>private fund</i> use an admir If the answer to question 26.(a) is must complete questions (b) throu Additional Administrator Information 1 the answer to question 26.(a)	nistrator other than your firm? "yes," respond to questions (b) through (f) separately for each administrate ation: 1 Record(s) Filed. is "yes," respond to questions (b) thr	or. ough (f) below. If the <i>private fund</i> uses more tha	
337KMNHEWWWR6B7Q7W1 trator Does the <i>private fund</i> use an admir If the answer to question 26.(a) is must complete questions (b) throu Additional Administrator Information administrator, you must complete (b) Name of administrator:	listrator other than your firm? "yes," respond to questions (b) through (f) separately for each administrate eation: 1 Record(s) Filed. is "yes," respond to questions (b) three questions (b) through (f) separately	or. ough (f) below. If the <i>private fund</i> uses more tha	
trator Does the private fund use an admir If the answer to question 26. (a) is must complete questions (b) throu Additional Administrator Information administrator, you must complete (b) Name of administrator: OPUS FUND SERVICES (c) Location of administrator (cit City:	"yes," respond to questions (b) through (f) separately for each administrate ation: 1 Record(s) Filed. is "yes," respond to questions (b) three questions (b) through (f) separately y, state and country): State:	or. ough (f) below. If the <i>private fund</i> uses more that for each administrator. Country:	
Trator Does the private fund use an admir If the answer to question 26. (a) is must complete questions (b) throu Additional Administrator Information and the answer to question 26. (a) administrator, you must complete (b) Name of administrator: OPUS FUND SERVICES (c) Location of administrator (cit	istrator other than your firm? "yes," respond to questions (b) through (f) separately for each administrate eation: 1 Record(s) Filed. is "yes," respond to questions (b) through (f) separately equestions (b) through (f) separately y, state and country):	or. ough (f) below. If the <i>private fund</i> uses more that for each administrator.	
trator Does the private fund use an admir If the answer to question 26. (a) is must complete questions (b) throu Additional Administrator Information administrator, you must complete (b) Name of administrator: OPUS FUND SERVICES (c) Location of administrator (cit City:	"yes," respond to questions (b) through (f) separately for each administrate ation: 1 Record(s) Filed. is "yes," respond to questions (b) three questions (b) through (f) separately y, state and country): State: Illinois	or. ough (f) below. If the <i>private fund</i> uses more that for each administrator. Country:	in one

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not

your related person?

Yes No

	rkete	<u>ers</u>		
28	(a)	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	Yes I	N
20.	(a)	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicito similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.		•
		No Information Filed		
A. P	RIVA	TE FUND		
Info	orma	tion About the <i>Private Fund</i>		
1.	(a)	Name of the <i>private fund</i> :		
		LIDO ADVISORS COLOSSUS MASTER FUND, L.P LANDMARK EQUITY XVII		
	(b)	Private fund identification number: (include the "805-" prefix also)		
		805-2407315630		
2.	Unc	der the laws of what state or country is the <i>private fund</i> organized:		
	0110	State: Country:		
		Delaware United States		
3.	(a)	Delaware United States Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
3.	Na	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Imperof General Partner, Manager, Trustee, or Director		
3.	Na	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
3.	Na LIE	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Imme of General Partner, Manager, Trustee, or Director DO ADVISORS COLOSSUS MASTER FUND GP, LLC		
3.	Na LIE	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Imme of General Partner, Manager, Trustee, or Director DO ADVISORS COLOSSUS MASTER FUND GP, LLC		
3.	Na LIE	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Imme of General Partner, Manager, Trustee, or Director DO ADVISORS COLOSSUS MASTER FUND GP, LLC If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed		
3.	Na LIE	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Imperiod General Partner, Manager, Trustee, or Director DO ADVISORS COLOSSUS MASTER FUND GP, LLC If filling an <i>umbrella registration</i> , identify the <i>filling adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed Perivate fund (check all that apply; you must check at least one):		
	Na LIE	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Imme of General Partner, Manager, Trustee, or Director DO ADVISORS COLOSSUS MASTER FUND GP, LLC If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed		
	Na LIE	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Imme of General Partner, Manager, Trustee, or Director DO ADVISORS COLOSSUS MASTER FUND GP, LLC If filling an <i>umbrella registration</i> , identify the <i>filling adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed Private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
4.	Na LIE	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Imme of General Partner, Manager, Trustee, or Director DO ADVISORS COLOSSUS MASTER FUND GP, LLC If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed Perivate fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
4.	Na LIE	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Imperiod General Partner, Manager, Trustee, or Director DO ADVISORS COLOSSUS MASTER FUND GP, LLC If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed Perivate fund (check all that apply: you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 If the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed	Yes [Jc
4.	Na LIE (b) The List	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Important of General Partner, Manager, Trustee, or Director DO ADVISORS COLOSSUS MASTER FUND GP, LLC If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed Perivate fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 It the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed	_	No
4 .	Na LIE (b) The List	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Imperiod General Partner, Manager, Trustee, or Director DO ADVISORS COLOSSUS MASTER FUND GP, LLC If filling an <i>umbrella registration</i> , identify the <i>filling adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed Perivate fund (check all that apply: you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 It the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed Is this a "master fund" in a master-feeder arrangement? If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	_	_
4 .	Na LIE (b) The List	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Important of General Partner, Manager, Trustee, or Director DO ADVISORS COLOSSUS MASTER FUND GP, LLC If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed Perivate fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 It the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed	_	_
4 .	Na LIE (b) The List	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Imperiod General Partner, Manager, Trustee, or Director DO ADVISORS COLOSSUS MASTER FUND GP, LLC If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed Private fund (check all that apply: you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 It the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed Is this a "master fund" in a master-feeder arrangement? If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ? No Information Filed	_	•
4 .	Na LIE (b) The List	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Interest of General Partner, Manager, Trustee, or Director DO ADVISORS COLOSSUS MASTER FUND GP, LLC If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed Perivate fund (check all that apply: you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 It the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed Is this a "master fund" in a master-feeder arrangement? If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ? No Information Filed	0	Vic
4 .	Na LIE (b) The List (a) (b)	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Interest of General Partner, Manager, Trustee, or Director ON ADVISORS COLOSSUS MASTER FUND GP, LLC If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed Perivate fund (check all that apply: you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 It the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed Is this a "master fund" in a master-feeder arrangement? If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ? No Information Filed	C Yes N	⊙

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1)

for the master-feeder arrangement or reporting on the funds separately.

Include only those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining any

100%

7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	eac	h of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it i multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No.
8.	(a) Is this private fund a "fund of funds"?	\odot	0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	\circ	⊙
_			No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	. What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	te fui	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 9,092,294		
<u>Ow</u>	vnership		
12.	. Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).		
13.	. Approximate number of the <i>private fund's</i> beneficial owners: 40		
14.	. What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	. (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	0	0
16.	. What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	ur Advisory Services		
		Yes	No
17.	(a) Are you a subadviser to this <i>private fund?</i> (b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer is greatly 17.(a) is "no " leave this greatly blank".	c to	•
	question 17.(a) is "no," leave this question blank. No Information Filed		
10			No -
18.	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the a to question 18.(a) is "no," leave this question blank.	C ansv	⊙ ver
	No Information Filed		

19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	•	0
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 1%		
Priv	vate Offering	Yes	s No
21.	Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	•	_
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number 021-465507		
B. SE	ERVICE PROVIDERS		
0			
Aud	<u>litors</u>	Ye	s No
23.	(a) (1) Are the private fund's financial statements subject to an annual audit?	•	0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audityou must complete questions (b) through (f) separately for each auditing firm.	ting firr	n,
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm: MARCUM LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		
	LOS ANGELES California United States	Voc	Na
	(d) Is the auditing firm an independent public accountant?	Yes ©	O
		~	
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number:		
	688		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
			s No
	(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	•	0
	(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	opinior	าร?
	⊙ Yes O No O Report Not Yet Received		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	t is avaii	lable.
<u>Prir</u>	ne Broker	•	
24.	(a) Does the <i>private fund</i> use one or more prime brokers?	Ye:	s No
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If	_	_

fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

No Information Filed

19. Are your *clients* solicited to invest in the *private fund*?

Yes No

0	4 -	-13	
CITIS	TO	α	ıan

Additional Custodian Information :	1 Record(s) Filed.		
•	•	through g) below for each custodian the <i>private fund</i> use (b) through (g) separately for each custodian.	es. If the <i>j</i>
(b) Legal name of custodian: MIDFIRST BANK			
(c) Primary business name of custo 1ST CENTURY BANK	odian:		
(d) The location of the custodian's	office responsible for <i>custody</i> of	the <i>private fund's</i> assets (city, state and country):	
City:	State:	Country:	
LOS ANGELES	California	United States	,
(e) Is the custodian a related perso	n of vour firm?		
	., e. jea		1
(f) If the custodian is a broker-dea	ler, provide its SEC registration	number (if any):	
-			
CRD Number (if any):			
(g) If the custodian is not a broker identifier (if any) 337KMNHEWWWR6B7Q7W10	-dealer, or is a broker-dealer bu	ut does not have an SEC registration number, provide its	legal entit
identifier (if any) 337KMNHEWWWR6B7Q7W10	-dealer, or is a broker-dealer bu	ut does not have an SEC registration number, provide its	legal entit
identifier (if any) 337KMNHEWWWR6B7Q7W10		ut does not have an SEC registration number, provide its	legal entii
identifier (if any) 337KMNHEWWWR6B7Q7W10 Strator Does the private fund use an administ	rator other than your firm?		
identifier (if any) 337KMNHEWWWR6B7Q7W10 Strator Does the private fund use an administ	rator other than your firm? es," respond to questions (b) th	arough (f) below. If the <i>private fund</i> uses more than one a	
identifier (if any) 337KMNHEWWWR6B7Q7W10 trator Does the private fund use an administ If the answer to question 26.(a) is "ye	rator other than your firm? es," respond to questions (b) th (f) separately for each administ	arough (f) below. If the <i>private fund</i> uses more than one a	
identifier (if any) 337KMNHEWWWR6B7Q7W10 Attrator Does the private fund use an administ If the answer to question 26.(a) is "you must complete questions (b) through Additional Administrator Information	rator other than your firm? es," respond to questions (b) th (f) separately for each administ on: 1 Record(s) Filed. "yes," respond to questions (b)	through (f) below. If the <i>private fund</i> uses more than one a	administra
identifier (if any) 337KMNHEWWWR6B7Q7W10 Strator Does the private fund use an administ If the answer to question 26. (a) is "yomust complete questions (b) through Additional Administrator Information Information If the answer to question 26. (a) is "you have been private fund use an administrator Information Infor	rator other than your firm? es," respond to questions (b) th (f) separately for each administ on: 1 Record(s) Filed. "yes," respond to questions (b)	through (f) below. If the <i>private fund</i> uses more than one a	administra
identifier (if any) 337KMNHEWWWR6B7Q7W10 Attrator Does the private fund use an administ If the answer to question 26.(a) is "ye must complete questions (b) through Additional Administrator Information If the answer to question 26.(a) is administrator, you must complete question 26.(b) Name of administrator:	rator other than your firm? es," respond to questions (b) th (f) separately for each administ on: 1 Record(s) Filed. "yes," respond to questions (b) questions (b) through (f) separate	through (f) below. If the <i>private fund</i> uses more than one a	administra
identifier (if any) 337KMNHEWWWR6B7Q7W10 Attrator Does the private fund use an administ If the answer to question 26. (a) is "you must complete questions (b) through Additional Administrator Information If the answer to question 26. (a) is administrator, you must complete question 26. (b) Name of administrator: OPUS FUND SERVICES (c) Location of administrator (city, City:	rator other than your firm? es," respond to questions (b) th (f) separately for each administ on: 1 Record(s) Filed. "yes," respond to questions (b) questions (b) through (f) separate state and country): State:	through (f) below. If the <i>private fund</i> uses more than one attrator. through (f) below. If the <i>private fund</i> uses more than on tely for each administrator. Country:	administra
identifier (if any) 337KMNHEWWWR6B7Q7W10 Attrator Does the private fund use an administ If the answer to question 26.(a) is "ye must complete questions (b) through Additional Administrator Information If the answer to question 26.(a) is administrator, you must complete question 26.(b) Name of administrator: OPUS FUND SERVICES (c) Location of administrator (city,	rator other than your firm? es," respond to questions (b) th (f) separately for each administ on: 1 Record(s) Filed. "yes," respond to questions (b) questions (b) through (f) separate	trough (f) below. If the <i>private fund</i> uses more than one attrator. through (f) below. If the <i>private fund</i> uses more than on tely for each administrator.	administra

(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) *private fund's* investors? If investor account statements are not sent to the (rest of the) *private fund's* investors, respond "not applicable."

27.	you 100 Incl rele	ring your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that ar <i>related person</i> ? Owegan to the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including) was the valuation determined by such <i>person</i> .	ny	
Ma	rkete			
			Yes	No
28.	(a)	Does the private fund use the services of someone other than you or your employees for marketing purposes?	\circ	\odot
		You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.		
		No Information Filed		
 А. Р	RIVA	TE FUND		
<u>I nfc</u>	rma	tion About the <i>Private Fund</i>		
1.	(a)	Name of the private fund:		
	(h)	LIDO ADVISORS COLOSSUS TIGER GLOBAL FEEDER FUND I, LP Private fund identification number:		
	(0)	(include the "805-" prefix also)		
		805-4463052353		
2.	Unc	der the laws of what state or country is the <i>private fund</i> organized:		
		State: Country:		
		Delaware United States		
3.	(a)	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Na	me of General Partner, Manager, Trustee, or Director		
	LIC	DO ADVISORS COLOSSUS TIGER GLOBAL FEEDER FUND I GP, LLC		
	(b)	If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> .		
		No Information Filed		
4.	The	e private fund (check all that apply; you must check at least one):		
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	V	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List	the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
		No Information Filed		
6.	(a)	Is this a "master fund" in a master-feeder arrangement?	Yes	No ©
		If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		٠
		No Information Filed		
	(0)	Is this a "fooder fund" in a master fooder arrangement?	Yes	_
		Is this a "feeder fund" in a master-feeder arrangement? If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	O	⊙
	(u)	Name of <i>private fund</i> :		
		Private fund identification number: (include the "805-" prefix also)		
		(

7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), the feeder funds answer the following questions:	for eac	ch of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	s No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	⊙	0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	ent	
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	•
_		Yes	s No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	vate fu	ınd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 9,990,138		
<u>Ow</u>	<u>nership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 250,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in organizational documents of the fund).	:he	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 47		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 20%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes	S No
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
<u> Υοι</u>	ur Advisory Services		
4 -			s No
1/.	(a) Are you a subadviser to this <i>private fund</i>?(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answ question 17.(a) is "no," leave this question blank.		•
	No Information Filed	_	
		Yes	s No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	_	•

	to question 18.(a) is "no," leave this question blank. No Information Filed			7
	No information riled	Y6	es No	_ o
19.	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	e	0)
	TE: For purposes of this question, do not consider feeder funds of the private fund.			
20.	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?			
Priv	Offering			
		Υє	es No	o
21.	s the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0	0)
22.	es, provide the <i>private fund's</i> Form D file number (if any):			
	rm D file number 1-441896			4
B. SE	CE PROVIDERS S	V	es No	0
23.	(1) Are the private fund's financial statements subject to an annual audit?		es 140	
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?		90	
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audit you must complete questions (b) through (f) separately for each auditing firm.			,
	Additional Auditor Information : 1 Record(s) Filed.			
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: WEAVER AND TIDWELL, LLP			
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):			
	City: State: Country: MANHATTAN BEACH California United States			
		Yes	No	
	(d) Is the auditing firm an independent public accountant?	⊙	0	
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0	
	If yes, Public Company Accounting Oversight Board-Assigned Number: 410			
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0	
	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?		es No	
	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	opinio	ons?	
	⊙ Yes O No O Report Not Yet Received			
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is ava	ailable	ì.
<u>Prir</u>	<u>Broker</u>	Υ,	es No	0

24. (a) Does the *private fund* use one or more prime brokers?

	NO IIIIOIIII	ation Filed	
<u>n</u>			
Does the <i>private fund</i> use any custodians	(including the prime brokers lis	ted above) to hold some or all of its assets?	
		gh (g) below for each custodian the <i>private fund</i> uses rough (g) separately for each custodian.	. If the <i>pi</i>
Additional Custodian Information : 1 F	Record(s) Filed.		
		ough g) below for each custodian the <i>private fund</i> use through (g) separately for each custodian.	es. If the ,
(b) Legal name of custodian: MIDFIRST BANK			
(c) Primary business name of custodia 1ST CENTURY BANK	ın:		
	,	private fund's assets (city, state and country):	
City: LOS ANGELES	State: California	Country: United States	
(e) Is the custodian a related person o	f your firm?		`
(f) If the custodian is a broker-dealer,	provide its SEC registration nur	nber (if any):	
- CRD Number (if any):			
		pes not have an SEC registration number, provide its	legal enti
(g) If the custodian is not a broker-de identifier (if any)	aler, or is a broker-dealer but do		
_	aler, or is a broker-dealer but do		
identifier (if any)	aler, or is a broker-dealer but do		
identifier (if any) 337KMNHEWWWR6B7Q7W10	aler, or is a broker-dealer but do		
identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administrate	or other than your firm?		
identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administrate	or other than your firm? ' respond to questions (b) throu	gh (f) below. If the <i>private fund</i> uses more than one a	ıdministra
identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administrate f the answer to question 26.(a) is "yes," nust complete questions (b) through (f)	or other than your firm? ' respond to questions (b) throu separately for each administrato		ıdministra
identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administrate of the answer to question 26.(a) is "yes," nust complete questions (b) through (f) Additional Administrator Information	or other than your firm? ' respond to questions (b) throuseparately for each administrates : 1 Record(s) Filed. s," respond to questions (b) throuse.	ough (f) below. If the <i>private fund</i> uses more than one	
identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administrate of the answer to question 26.(a) is "yes," nust complete questions (b) through (f) Additional Administrator Information If the answer to question 26.(a) is "yes"	or other than your firm? ' respond to questions (b) throuseparately for each administrates : 1 Record(s) Filed. s," respond to questions (b) throuse.	ough (f) below. If the <i>private fund</i> uses more than one	
identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administrate of the answer to question 26.(a) is "yes," must complete questions (b) through (f) Additional Administrator Information If the answer to question 26.(a) is "yes administrator, you must complete questions" (b) Name of administrator:	or other than your firm? ' respond to questions (b) throuseparately for each administrate : 1 Record(s) Filed. s," respond to questions (b) throstions (b) through (f) separately	ough (f) below. If the <i>private fund</i> uses more than one	
identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administrate of the answer to question 26.(a) is "yes," must complete questions (b) through (f) Additional Administrator Information If the answer to question 26.(a) is "yes administrator, you must complete question of the administrator of the answer to question 26.(b) Name of administrator: PANOPTIC FUND ADMINISTRATION	or other than your firm? ' respond to questions (b) throuseparately for each administrate : 1 Record(s) Filed. s," respond to questions (b) throstions (b) through (f) separately	ough (f) below. If the <i>private fund</i> uses more than one	

		(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."		
27.	your 1009 Inclurelev	ng your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is related person? What de only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any vant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including as the valuation determined by such <i>person</i> .	٦y	:
Maı	rkete	<u>rs</u>		
28.	(a) I	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	Yes	No ©
		You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	r, or	
		No Information Filed		
A. PI	RIVAT	E FUND		
<u>Info</u>	rmati	ion About the <i>Private Fund</i>		
1.	(b)	Name of the <i>private fund</i> : LIDO CAP AND CUSHION FUND (INSTITUTIONAL), LP <i>Private fund</i> identification number: (include the "805-" prefix also) 805-3230794501		
2.		er the laws of what state or country is the <i>private fund</i> organized: State: Country:		
	!	Delaware United States		
3.		Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
		ne of General Partner, Manager, Trustee, or Director O PRIVATE FUNDS, LLC		
	(b)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
		No Information Filed		
4	T 1			
4.		private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List	the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed		
			Yes	No
6.		Is this a "master fund" in a master-feeder arrangement?	0	⊙
	(b)	If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ? No Information Filed		
		NO INFORMATION FREE		
			Yes	No
		Is this a "feeder fund" in a master-feeder arrangement?	0	\odot
		If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		

	(include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.((1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	ır eac	h of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially al assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issue	ed
0	(a) Is this private fund a "fund of funds"?	Yes	
8.	(a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		•
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private of the	ate fui	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 38,606,964		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	Э	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 86		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 9%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	ur Advisory Services	.,	
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	No ⊙

(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to

Private fund identification number:

(b) If t					
(b) If t		No Information Filed			
(b) If t				Υe	es l
	any investment advisers (other than the investment advise		,	C	
	the answer to question 18.(a) is "yes," provide the name a question 18.(a) is "no," leave this question blank.	nd SEC file number, if	any, of the other advisers to the <i>private fund</i> . If t	he ans	SWE
		No Information Filed			
				Υe	es
Are you	ur clients solicited to invest in the private fund?			•)
NOTE:	For purposes of this question, do not consider feeder funds of	f the private fund.			
Approx 2%	timately what percentage of your <i>clients</i> has invested in the	e private fund?			
vate Off	fering			V	es
Has th	e private fund ever relied on an exemption from registration	n of its securities under	r Regulation D of the Securities Act of 1933?	6	
If yes,	provide the <i>private fund's</i> Form D file number (if any):				
Form	D file number				
021-2	93263				
<u>ditors</u>				Ye	es
(a) (1)	Are the <i>private fund's</i> financial statements subject to an a	nnual audit?		6	•)
(2)	If the answer to question 23.(a)(1) is "yes," are the finan	cial statements prepar	ed in accordance with U.S. GAAP?	6	•
you	the answer to question 23.(a)(1) is "yes," respond to quest u must complete questions (b) through (f) separately for each distinguished.		pelow. If the <i>private fund</i> uses more than one audi	ting fii	m,
AC	dditional Auditor Information : 1 Record(s) Filed.				
	f the answer to question 23.(a)(1) is "yes," respond to que auditing firm, you must complete questions (b) through (f)		•		
	(b) Name of the auditing firm:				
	WEAVER AND TIDWELL, LLP				
	(c) The location of the auditing firm's office responsible for City:	State:	country:		
	MANHATTAN BEACH	California	United States		
				Yes	No
	(d) Is the auditing firm an independent public accountant?			•	
	(d) Is the auditing firm an <i>independent public accountant</i> ? (e) Is the auditing firm registered with the Public Company	Accounting Oversight	Board?		c
			Board?	•	0
	(e) Is the auditing firm registered with the Public Company If yes, Public Company Accounting Oversight Board-Ass	signed Number:		•	
	(e) Is the auditing firm registered with the Public Company If yes, Public Company Accounting Oversight Board-Ass 410 (f) If "yes" to (e) above, is the auditing firm subject to reg	signed Number:		•	0
	(e) Is the auditing firm registered with the Public Company If yes, Public Company Accounting Oversight Board-Ass 410 (f) If "yes" to (e) above, is the auditing firm subject to reg	signed Number:		•	C C

If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.

Yes No

24. (a) Does the *private fund* use one or more prime brokers?

0 0

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the *private fund* uses. If the *private fund* uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

Additional Prime Broker Information: 1 Record(s) Filed.

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the *private fund* uses. If the *private fund* uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

(b) Name of the prime broker:

APEX CLEARING CORPORATION

(c) If the prime broker is registered with the SEC, its registration number:

8 - 23522

CRD Number (if any):

13071

(d) Location of prime broker's office used principally by the *private fund* (city, state and country):

City:

State:

Country:

DALLAS

Texas

United States

Yes No

(e) Does this prime broker act as custodian for some or all of the private fund's assets?

O

Custodian

Yes No

25. (a) Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets?

⊙ ⊙

If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

Additional Custodian Information: 2 Record(s) Filed.

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

(b) Legal name of custodian:

APEX CLEARING CORPORATION

(c) Primary business name of custodian:

APEX CLEARING CORPORATION

(d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):

City:

State:

Country:

DALLAX

Texas

United States

(e) Is the custodian a *related person* of your firm?

Yes No

 \odot

(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):

8 - 23522

CRD Number (if any):

13071

(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its *legal entity identifier* (if any)

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

		(0)	MIDFIRST BANK	•					
		(c)	Primary business name of 1ST CENTURY BANK	of custodian:					
		(d)	The location of the custo	dian's office responsik	ole for <i>custody</i> of	the <i>private fund</i> '	s assets (city, state and co	ountry):	
			City: LOS ANGELES		State: California		Country: United States		
			LOS / WOLLES		Camornia		officed States	Ye	s No
		(e)	Is the custodian a relate	d person of your firm?				0	•
		(f)	If the custodian is a brok	er-dealer, provide its	SEC registration	number (if any):			
			CRD Number (if any):						
		(g)	If the custodian is not a <i>identifier</i> (if any) 337KMNHEWWWR6B7Q7		broker-dealer bu	ut does not have	an SEC registration numbe	er, provide its <i>legal entity</i>	
			337KMINHEWWWR6B7Q	7 VV 1 O					
Adr	<u>minis</u>	tratoı							'es No
26.	(a)	Does	the private fund use an ac	dministrator other tha	n your firm?				© 0
			e answer to question 26.(a complete questions (b) the		•	•	If the private fund uses mo	ore than one administrato	r, you
		Addi	tional Administrator Info	ormation : 1 Record(s	s) Filed.				
			he answer to question 26 ninistrator, you must com			_	v. If the <i>private fund</i> uses i inistrator.	more than one	
		(b)	Name of administrator: PANOPTIC FUND ADMINIS	STRATION					
		(0)	Location of administrator	(situa state and sount	-m)				
		(C)	Location of administrator City:	-	ry): State:		Country:		
			LOS ANGELES		California		United States		
		(d)	Is the administrator a re	lated person of your fir	m?			Yes	
		(4)	13 the dammistrator a 7e	rated person of your file				0	•
		(e)	Does the administrator provided to all in				rivate fund's investors? s) ONo (provided to no in	nvestors)	
		(f)	·				ount statements to the (re te fund's investors, respon		
27.			our last fiscal year, what p red person?	percentage of the <i>priva</i>	ate fund's assets	(by value) was va	alued by a <i>person</i> , such as	an administrator, that is	not
	rele	ude o vant o		tion used for purposes	of investor subs		lished for that asset, if any otions or distributions, and		
Maı	rkete	<u>rs</u>						_	,

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund*

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28. (a) Does the *private fund* use the services of someone other than you or your *employees* for marketing purposes?

	No Information Filed	
ΡI	RIVATE FUND	
fo	ormation About the <i>Private Fund</i>	
	(a) Name of the <i>private fund</i> :	
	LIDO CAP AND CUSHION FUND, LP	
	(b) Private fund identification number:	
	(include the "805-" prefix also)	
	805-6662645673	
·.	Under the laws of what state or country is the <i>private fund</i> organized:	
	State: Country:	
	Delaware United States	
	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):	
	Name of General Partner, Manager, Trustee, or Director	
	LIDO PRIVATE FUNDS, LLC	
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> .	
	No Information Filed	
	The private fund (check all that apply; you must check at least one):	
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of	
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of	1940
	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
	No Information Filed	
).	(a) Is this a "master fund" in a master-feeder arrangement?	Yes N
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	
	No Information Filed	
	(a) Leathire a life and an found life a greatent feed an appropriate (a)	Yes N
	(c) Is this a "feeder fund" in a master-feeder arrangement? (d) If was what is the name and private fund identification number (if any) of the master fund in which this private fund investe?	0
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :	
	Private fund identification number:	
	(include the "805-" prefix also)	
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, S	ection 7.B.(1)
	for the master-feeder arrangement or reporting on the funds separately.	
	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B the feeder funds answer the following questions:	(1), for each
	No Information Filed	
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substant	ally all of

multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

		Yes	No
8.	(a) Is this private fund a "fund of funds"?	0	•
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	ent	
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the private fund?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private of the	ivate fui	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 25,562,480		
<u>Ow</u>	<u>vnership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in organizational documents of the fund).	the	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 87		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
		Yes	No.
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	•	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	ur Advisory Services		
		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	\odot
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answ question 17.(a) is "no," leave this question blank.	er to	
	No Information Filed		
		Yes	No.
18.		0	⊙
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	ne answ	ver
	No Information Filed		
10	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	_	No -
ı 7.	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	•	0
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 2%		

	provide the <i>private fund's</i> Form D file number (if any):		
	file number		
021-27	4102		
DVICE DI	ROVIDERS		
KVICLFI	COVIDENS		
<u>tors</u>			_
(a) (1) <i>i</i>	Are the <i>private fund's</i> financial statements subject to an annual audit?		es M
(2)	If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	(•
	ne answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audit must complete questions (b) through (f) separately for each auditing firm.	ing fi	rm,
Add	ditional Auditor Information : 1 Record(s) Filed.		
11	the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one uditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
(b	n) Name of the auditing firm:		
	WEAVER AND TIDWELL, LLP		
(c)	The location of the auditing firm's office responsible for the private fund's audit (city, state and country):		
	City: State: Country: MANHATTAN BEACH California United States		
	damerina Cinted etates	Yes	No
(d	I) Is the auditing firm an independent public accountant?	•	0
(e	e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 410		
(f)	If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		V	
	the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's		es N
	estors? all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified.	onini	one?
		орин	JI 15 (
	Yes No Report Not Yet Received	,	., ,
IT yo	ou check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is ava	шарі
e Broke	<u>sr</u>		
(a) Doo	es the <i>private fund</i> use one or more prime brokers?		es N
If th	ne answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the discussion of the di	_	•ivate
Add	ditional Prime Broker Information : 1 Record(s) Filed.		
	the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. I rivate fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	f the	
(b	Name of the prime broker: APEX CLEARING CORPORATION		
(c)) If the prime broker is registered with the SEC, its registration number: 8 - 23522		

	13071				
(d)	Location of prime broker	's office used principally by	the <i>private fund</i> (city, state	and country):	
	City:	State:	Country:		
	DALLAS	Texas	United State	es .	
(e)	Does this prime broker :	act as custodian for some or	all of the private fund's ass	sets?	Y
	<u>'</u>		<u> </u>		<u> </u>
<u>n</u>					
Does	the <i>private fund</i> use any	custodians (including the pri	ime brokers listed above) t	o hold some or all of its assets?	
	·	a) is "yes," respond to ques odian, you must complete qu		v for each custodian the <i>private f</i> or parately for each custodian.	und uses. If the pr
Addit	tional Custodian Inform	nation: 2 Record(s) Filed.			
	·			ow for each custodian the <i>private</i> separately for each custodian.	<i>fund</i> uses. If the p
(b)	Legal name of custodiar APEX CLEARING CORPOR				
(c)	Primary business name APEX CLEARING CORPOR				
(d)	The location of the custo	odian's office responsible for	custody of the private fund	d's assets (city, state and country	١.
	City:	State:	Country:	is assets (city, state and country).
	DALLAS	Texas	United State	es .	
(e)	Is the custodian a relate	ed person of your firm?			Y
(f)	8 - 23522	ker-dealer, provide its SEC r	egistration number (if any)	:	
	6 - 23522 CRD Number (if any): 13071				
(g)	If the custodian is not a <i>identifier</i> (if any)	broker-dealer, or is a broke	r-dealer but does not have	e an SEC registration number, pro	ovide its <i>legal entit</i>
				ow for each custodian the <i>private</i> separately for each custodian.	fund uses. If the μ
(b)	Legal name of custodian MIDFIRST BANK	1:			
(c)	Primary business name	of custodian:			
(d)	The location of the custo	odian's office responsible for	custody of the private fund	d's assets (city, state and country):
	City: LOS ANGELES	State Califo		Country: United States	
	LUS ANGELES	Califo	םוו ו <i>ו</i> כ	onited States	Υ
	Is the custodian a relate	ed person of your firm?			
(e)					•
(e)					
	If the custodian is a brol	ker-dealer, provide its SEC r	egistration number (if any)	:	

Adminis	<u>trator</u>			
26 (a)	Does the <i>private fund</i> use an administrator other th	ian vour firm?	`	res No
20. (a)	If the answer to question 26.(a) is "yes," respond t	to questions (b) through (f) below	v. If the <i>private fund</i> uses more than one administrato	or, you
	must complete questions (b) through (f) separately	y for each administrator.		
	Additional Administrator Information : 1 Record	(s) Filed.		
	If the answer to question 26.(a) is "yes," respond administrator, you must complete questions (b) to		·	
	(b) Name of administrator:			
	PANOPTIC FUND ADMINISTRATION			
	(c) Location of administrator (city, state and cou	ntry):		
	City:	State:	Country:	
	LOS ANGELES	California	United States Yes	No
	(d) Is the administrator a related person of your f	firm?	o	•
		rovided to some but not all investor according to some," who sends the investor according to the		
you 100 Incl rele	related person? % ude only those assets where (i) such <i>person</i> carried	d out the valuation procedure est	valued by a <i>person</i> , such as an administrator, that is ablished for that asset, if any, including obtaining any mptions or distributions, and fee calculations (including	,
Markete	e <u>rs</u>			
28 (a)	Does the <i>private fund</i> use the services of someone	other than you or your amployed		res No
	You must answer "yes" whether the <i>person</i> acts as	s a placement agent, consultant, yes," respond to questions (b) th	finder, introducer, municipal advisor or other solicitor, nrough (g) below for each such marketer the <i>private fu</i>	
		No Information Filed		
A. PRIVA	TE FUND			
Informat	ion About the <i>Private Fund</i>			

(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity

identifier (if any)

1. (a) Name of the *private fund*:

805-3513996739

LIDO CAP AND GAP FUND, LP

(b) *Private fund* identification number: (include the "805-" prefix also)

337KMNHEWWWR6B7Q7W10

2.	Under the laws of what state or country is the <i>private fund</i> organized:		
	State: Country:		
	Delaware United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	LIDO CAP AND GAP GP, LLC		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	The private fund (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?		• •
0.	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	О	•
	No Information Filed		
	No milornation riled		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	•
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?		٠
	Name of <i>private fund</i> :		
	Private fund identification number:		
	(include the "805-" prefix also)		
7.	for the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	r eac	h of
	the reeder funds answer the following questions.		
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	\circ	\odot
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	t	
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	\circ	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	⊙
10.	. What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private of the	te fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	. Current gross asset value of the <i>private fund</i> :		

	\$ 23,340,883		
<u>Ow</u>	<u>nership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :		
	\$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).	ė	
13.	Approximate number of the <i>private fund's</i> beneficial owners:		
	74		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	•	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
<u> Υοι</u>	ur Advisory Services		
47		Yes	No
17.	(a) Are you a subadviser to this <i>private fund?</i>(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer	o to	⊙
	question 17.(a) is "no," leave this question blank.		
	No Information Filed		
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	Yes	
10.	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the	_	⊙ er
	to question 18.(a) is "no," leave this question blank. No Information Filed		
	No miornation rica	Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	_	0
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
20.	2%		
Driv	vate Offering		
		Yes	No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	•	0
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number		
	021-414290		
B. S	ERVICE PROVIDERS		
Aud	<u>ditors</u>	Voc	No
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Yes	NO
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	o
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.		
	Additional Auditor Information : 1 Record(s) Filed.		

	(b) Name of the auditing firm: WEAVER AND TIDWELL, LLP					
	(c) The location of the auditing t	irm's office responsible f	or the <i>private fund's</i> audi	t (city, state and country):		
	City: MANHATTAN BEACH		State: California	Country: United States		
	MANIATIAN BLACIT		Camorna	Office States	Yes	s I
	(d) Is the auditing firm an <i>indep</i>	endent public accountant?			•	
((e) Is the auditing firm registere	ed with the Public Compa	ny Accounting Oversight	Board?	•	
	If yes, Public Company Accord	unting Oversight Board-A	ssigned Number:			
((f) If "yes" to (e) above, is the accordance with its rules?	auditing firm subject to r	egular inspection by the	Public Company Accounting Oversight Board in	•	
\	o the private fund's audited finan	aial stataments for the m	and recently completed t	Figual year distributed to the private fund's		'es
		ciai statements for the fi	iost recently completed i	fiscal year distributed to the <i>private fund's</i>		⊙
	estors?					
inv) Do	vestors? all of the reports prepared by the second control of the reports prepared by the second control of t		rivate fund since your las	t <i>annual updating amendment</i> contain unqualific	d opini	
inv) Do If y Brok) Do	vestors? If all of the reports prepared by the Yes No No Report Not Yet Reverse and the report Not Yet Received the Not Yet Received the Not Yet Received the Not Yet Received the Private fund use one or make the Private fund use one or make the Not Yet Received the Not Yet Receive	eceived ed," you must promptly file ore prime brokers?	e an amendment to your i	Form ADV to update your response when the repo	ort is av Y	ioi raii 'e: ⊙
inv) Do If y Brok If t fun	vestors? If all of the reports prepared by the Yes O No O Report Not Yet Reverse and the American Company (Not Yet Received) Were the private fund use one or mathe answer to question 24.(a) is	eceived ed," you must promptly fill nore prime brokers? "yes," respond to question	e an amendment to your in the second		ort is av Y	ioi rai
inv Do If y Brok If t fun Ac	vestors? If the answer to question 24. (a) private fund uses more than one prime browning the answer to question 24. (b) Name of the prime broker: APEX CLEARING CORPORATI	eceived ed, " you must promptly fill fore prime brokers? "yes," respond to question bker, you must complete ention: 1 Record(s) Filed is "yes," respond to que prime broker, you must	e an amendment to your and amendment to your	Form ADV to update your response when the repo	ort is av	ioi ′e: •ri\
inv Do If y Brok If t fun (yestors? If the answer to question 24.(a) private fund uses more than one	eceived ed, " you must promptly fill fore prime brokers? "yes," respond to question bker, you must complete ention: 1 Record(s) Filed is "yes," respond to que prime broker, you must	e an amendment to your and amendment to your	Form ADV to update your response when the reponse when the private fund uses also with the private fund uses the private fund us	ort is av	'e: •••riv
inv Do If y Brok If t fun Ac ((yestors? If the answer to question 24.(a) brivate fund uses more than one prime broker: APEX CLEARING CORPORATI (c) If the prime broker is registed 8 - 23522 CRD Number (if any): 13071 (d) Location of prime broker's of	eceived ed," you must promptly fill fore prime brokers? "yes," respond to questioner, you must complete etion: 1 Record(s) Filed is "yes," respond to que prime broker, you must ON ered with the SEC, its reg fice used principally by the	e an amendment to your and amendment to your and amendment to your and all and a second to your and	Form ADV to update your response when the reponse when th	ort is av	esail'
inv Do If y Brok If t fun Ac ((yestors? If the answer to question 24. (a) private fund uses more than one prime broker: APEX CLEARING CORPORATI (c) If the prime broker is registed 8 - 23522 CRD Number (if any): 13071	eceived ed," you must promptly fill fore prime brokers? "yes," respond to questive prime in the second sec	e an amendment to your an amendment (e) because the complete questions (b) the complete questions (c) the	Form ADV to update your response when the report ow for each prime broker the private fund uses. It be) separately for each prime broker. elow for each prime broker the private fund uses hrough (e) separately for each prime broker.	ort is av	ior 'es
inv Do If y Brok If t fun ((((vestors? If the answer to question 24. (a) orivate fund uses more than one prime broker: APEX CLEARING CORPORATI (c) If the prime broker is registed 8 - 23522 CRD Number (if any): 13071 (d) Location of prime broker's of City:	eceived ed," you must promptly fill fore prime brokers? "yes," respond to questive prime in the second of the sec	e an amendment to your and amendment to your	Form ADV to update your response when the reportation of the prime broker the private fund uses. It is separately for each prime broker. Below for each prime broker the private fund uses through (e) separately for each prime broker. Be and country): The each prime broker the private fund uses through (e) separately for each prime broker.	ort is av	aili i i i i i i i i i i i i i i i i i i

If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private

fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

Additional Custodian Information: 2 Record(s) Filed.

	ne answer to question 25.(a) is duses more than one custodian			for each custodian the <i>private fund</i> operately for each custodian.	uses. If the <i>private</i>
(b)	Legal name of custodian: APEX CLEARING CORPORATION	N			
(c)	Primary business name of cust APEX CLEARING CORPORATION				
(d)	The location of the custodian's	office responsible for custo	dy of the <i>private fund's</i>	assets (city, state and country):	
	City: DALLAS	State: Texas	Country: United States		
	D, IEE, IO	roxus	Critical Citates		Yes No
(e)	Is the custodian a related pers	on of your firm?			0 0
(f)	If the custodian is a broker-de 8 - 23522	aler, provide its SEC registra	ation number (if any):		
	CRD Number (if any): 13071				
(g)	If the custodian is not a broke identifier (if any)	r-dealer, or is a broker-deal	er but does not have a	n SEC registration number, provide	its <i>legal entity</i>
fun	ne answer to question 25.(a) is d uses more than one custodian Legal name of custodian:	•		for each custodian the <i>private fund</i> or each custodian.	uses. If the <i>private</i>
(c)	Primary business name of cust 1ST CENTURY BANK	rodian:			
(d)	The location of the custodian's	office responsible for <i>custo</i>	dy of the <i>private fund's</i>	assets (city, state and country):	
	City:	State:		Country:	
	LOS ANGELES	California		United States	Yes No
(e)	Is the custodian a related pers	on of your firm?			0 0
(f)	If the custodian is a broker-de	aler, provide its SEC registra	ation number (if any):		
	CRD Number (if any):				
(g)	If the custodian is not a broke identifier (if any)	r-dealer, or is a broker-deal	er but does not have a	n SEC registration number, provide	its <i>legal entity</i>
	337KMNHEWWWR6B7Q7W10				
rator					

Administrator

Yes No

26. (a) Does the $\ensuremath{\textit{private fund}}$ use an administrator other than your firm?

 \circ \circ

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Additional Administrator Information : 1 Record(s) Filed.

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

		(b) Name of administrator:			
		PANOPTIC FUND ADMINISTRATIC	N		
		(c) Location of administrator (city, s	tato and country):		
		City:	State:	Country:	
		LOS ANGELES	California	United States	
					Yes No
		(d) Is the administrator a related per	rson of your firm?		○ ●
		(e) Does the administrator prepare	and send investor account stateme	nts to the <i>private fund's</i> investors?	
				all investors) ${f C}$ No (provided to no inve	estors)
		II		nvestor account statements to the (rest of the) private fund's investors, respond	· •
21.	your	related person? %		alue) was valued by a <i>person</i> , such as ar	
	rele		d for purposes of investor subscript	edure established for that asset, if any, i ons, redemptions or distributions, and fe	
Maı	<u>kete</u>	<u>rs</u>			Yes No
28.	(a)	Does the <i>private fund</i> use the services	of someone other than you or your	employees for marketing purposes?	0 0
		similar person. If the answer to question	n 28.(a) is "yes," respond to questi	nsultant, finder, introducer, municipal ad ons (b) through (g) below for each such	visor or other solicitor, or marketer the <i>private fund</i>
		uses. If the <i>private fund</i> uses more tha	n one marketer you must complete	questions (b) through (g) separately for	each marketer.
			No Informat	ion Filed	
4. PF	RIVAT	E FUND			
nfo	rmat	ion About the <i>Private Fund</i>			
1.		Name of the <i>private fund</i> :			
		LIDO POWERLIFT FUND, LP			
		Private fund identification number: (include the "805-" prefix also)			
		805-2096682732			
2.	Und	er the laws of what state or country is	the private fund organized		
۷.		State:	Country:		
		Delaware	United States		
3.	. ,	Name(s) of General Partner, Manager,	· ,	rving in a similar capacity):	
		<mark>me of General Partner, Manager, Trus</mark> O POWERLIFT GP, LLC	tee, or Director		
	(b)	If filing an <i>umbrella registration</i> , identify		iser(s) that sponsor(s) or manage(s) this	private fund.
			No Information	n Filed	
4.	The	private fund (check all that apply; you	must check at least one):		
	~	(1) qualifies for the exclusion from the	definition of investment company ur	nder section 3(c)(1) of the Investment Co ader section 3(c)(7) of the Investment Co	
_					
5.	List	the name and country, in English, of ea	acn <i>toreign financial regulatory autho</i>	rity with which the <i>private fund</i> is register	ed.

	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	\odot
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	•
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	n 7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	or eac	h of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially a assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if i multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
0	(-) La this make the found a 116 mad a 6 6 mad a 110	Yes	
8.	(a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment.	nt C	•
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private of the private of the private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private of the private of the private equity fund • other private of the private equity fund • other private of the private of the private equity fund • other private of the	⁄ate fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> :		
	\$ 6,714,907		
<u>Ow</u>	<u>vnership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in thorganizational documents of the fund).	ne	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 30		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		

			163	NO
		If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	•	0
16.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
YOL	ir Ad	Ivisory Services	Yes	No
17.	(a)	Are you a subadviser to this <i>private fund</i> ?	0	•
		If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
		No Information Filed		
			Yes	No
18.		Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	⊙
		If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	ansv	/er
		No Information Filed		
			Yes	No
19.	Are	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	\odot	0
	NO7	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App	roximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Priv		Offering		
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ©	No O
22.	If y	es, provide the <i>private fund's</i> Form D file number (if any):		
	For	m D file number		
	021	1-459747		
B. SI	ERVI	CE PROVIDERS		
Auc	litors			
7 1010			Yes	No.
23.	(a)	(1) Are the private fund's financial statements subject to an annual audit?	•	0
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	firn	٦,
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
		(b) Name of the auditing firm:		
		WEAVER AND TIDWELL, LLP		
		(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
		City: State: Country:		
		MANHATTAN BEACH California United States	es	
				_
		(d) Is the auditing firm an independent public accountant?	,	О
		(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	9	0
		If yes, Public Company Accounting Oversight Board-Assigned Number: 410		

				١
Are the investor	•	statements for the most recently con	npleted fiscal year distributed to the <i>private fund's</i>	
Do all	of the reports prepared by the a	uditing firm for the private fund since	your last <i>annual updating amendment</i> contain unqual	lified opin
⊙ Yes	s O No O Report Not Yet Recei	ved		
If you	check "Report Not Yet Received,"	you must promptly file an amendment	to your Form ADV to update your response when the re	eport is av
<u>roker</u>				
Does t	he private fund use one or more	nrime hrokers?		Υ
If the a	answer to question 24.(a) is "ye	es," respond to questions (b) through	(e) below for each prime broker the <i>private fund</i> uses rough (e) separately for each prime broker.	s. If the $ ho$
Additi	ional Prime Broker Information	n : 1 Record(s) Filed.		
	•	•	gh (e) below for each prime broker the <i>private fund</i> usons (b) through (e) separately for each prime broker.	
	Name of the prime broker: FIDELITY BROKERAGE SERVICES	LLC		
(c)	If the prime broker is registered	with the SEC, its registration number	;	
	8 - 23292			
	CRD Number (if any): 7784			
(d) I	Location of prime broker's office	used principally by the private fund (d	ity, state and country):	
	City:	State:	Country:	
	•			Ye
	City: ALBUQUERQUE	State:	Country: United States	Ye ⊙
(e) I	City: ALBUQUERQUE Does this prime broker act as cu the private fund use any custodia answer to question 25.(a) is "ye	State: New Mexico stodian for some or all of the private sins (including the prime brokers listed es," respond to questions (b) throughou must complete questions (b) through	Country: United States	• • • • • • • • • • • • • • • • • • •
(e) Does t If the a fund us Additi	City: ALBUQUERQUE Does this prime broker act as cu the private fund use any custodia answer to question 25.(a) is "ye ses more than one custodian, yo ional Custodian Information: 2 e answer to question 25.(a) is "	State: New Mexico Istodian for some or all of the private Ins (including the prime brokers listed es," respond to questions (b) through ou must complete questions (b) through 2 Record(s) Filed. Yes," respond to questions (b) through	Country: United States fund's assets? above) to hold some or all of its assets? (g) below for each custodian the private fund uses. I	f the priva
(e) I lead to the fund use the fund (b) I lead to the fund (b) I lead to the fund (c) I lead to the fund (d) I lead to the fund (e) I lead to the fund (find fund fund fund (find fund fund fund fund fund fund fund fu	City: ALBUQUERQUE Does this prime broker act as cu the private fund use any custodia answer to question 25.(a) is "ye ses more than one custodian, yo ional Custodian Information: 2 e answer to question 25.(a) is "	State: New Mexico Istodian for some or all of the private Ins (including the prime brokers listed es," respond to questions (b) through ou must complete questions (b) through the private questions (b) through you must complete questions (c) thr	Country: United States fund's assets? above) to hold some or all of its assets? (g) below for each custodian the private fund uses. I gh (g) separately for each custodian.	f the priva
(e) If the a fund us Additi If the fund (b)	City: ALBUQUERQUE Does this prime broker act as cut the private fund use any custodian answer to question 25.(a) is "yeses more than one custodian, you ional Custodian Information: 2 e answer to question 25.(a) is " uses more than one custodian, Legal name of custodian:	State: New Mexico Istodian for some or all of the private Ins (including the prime brokers listed es," respond to questions (b) through ou must complete questions (b) through 2 Record(s) Filed. Yes," respond to questions (b) through you must complete questions (b) through you must you what you want	Country: United States fund's assets? above) to hold some or all of its assets? (g) below for each custodian the private fund uses. I gh (g) separately for each custodian.	f the priva
(e) If the a fund us Additi If the fund (b) (c) (d)	City: ALBUQUERQUE Does this prime broker act as cue the private fund use any custodia answer to question 25.(a) is "ye ses more than one custodian, yo ional Custodian Information: 2 e answer to question 25.(a) is " uses more than one custodian, Legal name of custodian: FIDELITY BROKERAGE SERVICES Primary business name of custod FIDELITY BROKERAGE SERVICES The location of the custodian's of	State: New Mexico Istodian for some or all of the private Ins (including the prime brokers listed as," respond to questions (b) through ou must complete questions (b) through a private and the private are specified. Provided to the prime brokers listed as a private and the private are specified as a private are specifie	Country: United States fund's assets? above) to hold some or all of its assets? (g) below for each custodian the private fund uses. I gh (g) separately for each custodian. gh g) below for each custodian the private fund uses. Dugh (g) separately for each custodian.	f the priva
(e) (e) (f) (f	City: ALBUQUERQUE Does this prime broker act as cu the private fund use any custodia answer to question 25.(a) is "ye ses more than one custodian, yo ional Custodian Information: 2 e answer to question 25.(a) is " uses more than one custodian, Legal name of custodian: FIDELITY BROKERAGE SERVICES Primary business name of custod FIDELITY BROKERAGE SERVICES The location of the custodian's of City:	State: New Mexico Istodian for some or all of the private Ins (including the prime brokers listed iss," respond to questions (b) through the prime brokers listed iss," respond to questions (b) through the prime brokers listed iss," respond to questions (b) through you must complete questions (b) through you must complete questions (b) through LLC dian: LLC	Country: United States fund's assets? above) to hold some or all of its assets? (g) below for each custodian the private fund uses. I gh (g) separately for each custodian. gh g) below for each custodian the private fund uses. Dugh (g) separately for each custodian.	f the priva
(e) (e) (f) (f	City: ALBUQUERQUE Does this prime broker act as cue the private fund use any custodia answer to question 25.(a) is "ye ses more than one custodian, yo ional Custodian Information: 2 e answer to question 25.(a) is " uses more than one custodian, Legal name of custodian: FIDELITY BROKERAGE SERVICES Primary business name of custod FIDELITY BROKERAGE SERVICES The location of the custodian's of	State: New Mexico Instodian for some or all of the private Instead of the private	Country: United States fund's assets? above) to hold some or all of its assets? (g) below for each custodian the private fund uses. I gh (g) separately for each custodian. gh g) below for each custodian the private fund uses. Dugh (g) separately for each custodian.	f the priva

identifier (if any)	r-dealer, or is a broker-dealer but de	oes not have an SEC registration number, μ	orovide its <i>legal enti</i>
· · · · · · · · · · · · · · · · · · ·		ough g) below for each custodian the <i>priva</i> through (g) separately for each custodian.	te fund uses. If the
(b) Legal name of custodian: MIDFIRST BANK			
(c) Primary business name of cust LIDO ADVISORS, LLC	todian:		
		e private fund's assets (city, state and count	rry):
City: LOS ANGELES	State: California	Country: United States	
(e) Is the custodian a related person	on of your firm?		
(f) If the custodian is a broker-dea	aler, provide its SEC registration nur	mber (if any):	
- CRD Number (if any):			
CRD Number (if any).			
(g) If the custodian is not a broken identifier (if any) 337KMNHEWWWR6B7Q7W10	r-dealer, or is a broker-dealer but de	oes not have an SEC registration number, μ	orovide its <i>legal enti</i>
identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an adminis	strator other than your firm?	gh (f) below. If the <i>private fund</i> uses more	
identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an adminis f the answer to question 26.(a) is "y must complete questions (b) through	strator other than your firm? yes," respond to questions (b) throu n (f) separately for each administrato	gh (f) below. If the <i>private fund</i> uses more	
identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administ the answer to question 26.(a) is "y	strator other than your firm? yes," respond to questions (b) throu n (f) separately for each administrato	gh (f) below. If the <i>private fund</i> uses more	
identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an adminis f the answer to question 26.(a) is "y must complete questions (b) through Additional Administrator Informat If the answer to question 26.(a) is	strator other than your firm? yes," respond to questions (b) throun (f) separately for each administrate ion: 1 Record(s) Filed.	gh (f) below. If the <i>private fund</i> uses more or.	than one administra
identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an adminis f the answer to question 26.(a) is "y must complete questions (b) through Additional Administrator Informat If the answer to question 26.(a) is	strator other than your firm? yes," respond to questions (b) thround (f) separately for each administrate ion: 1 Record(s) Filed.	gh (f) below. If the <i>private fund</i> uses more or.	than one administra
identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an adminis f the answer to question 26.(a) is "y must complete questions (b) through Additional Administrator Informat If the answer to question 26.(a) is administrator, you must complete (b) Name of administrator:	strator other than your firm? yes," respond to questions (b) thround (f) separately for each administrate ion: 1 Record(s) Filed. s "yes," respond to questions (b) through (f) separately	gh (f) below. If the <i>private fund</i> uses more or.	than one administra
identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administ f the answer to question 26.(a) is "young to complete questions (b) through the answer to question 26.(a) is administrator, you must complete the administrator, you must complete the particular of the answer to question 26.(a) is administrator, you must complete the particular of the	strator other than your firm? yes," respond to questions (b) thround (f) separately for each administrate ion: 1 Record(s) Filed. s "yes," respond to questions (b) through (f) separately	gh (f) below. If the <i>private fund</i> uses more or.	than one administra
identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administ f the answer to question 26. (a) is "young to complete questions (b) through the answer to questions (b) through the answer to question 26. (a) is administrator, you must complete to (b) Name of administrator: PANOPTIC (c) Location of administrator (city, City: LOS ANGELES	strator other than your firm? yes," respond to questions (b) through (f) separately for each administrate ion: 1 Record(s) Filed. "yes," respond to questions (b) through (f) separately questions (b) through (f) separately state and country): State: California	gh (f) below. If the <i>private fund</i> uses more or. Dough (f) below. If the <i>private fund</i> uses more for each administrator. Country:	than one administra
identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administ f the answer to question 26.(a) is "younged and administrator Informational Administrator Informational Administrator Informational Administrator, you must complete to administrator, you must complete to (b) Name of administrator: PANOPTIC (c) Location of administrator (city, City:	strator other than your firm? yes," respond to questions (b) through (f) separately for each administrate ion: 1 Record(s) Filed. "yes," respond to questions (b) through (f) separately questions (b) through (f) separately state and country): State: California	gh (f) below. If the <i>private fund</i> uses more or. Dough (f) below. If the <i>private fund</i> uses more for each administrator. Country:	than one administra

	100	70		
	rele	ude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining an evant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (includications) was the valuation determined by such <i>person</i> .	-	
Ma	rkete	ers_		
			Yes	No
28.	(a)	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	\circ	•
		You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private t</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.		
		No Information Filed		
A. P	RIVA	TE FUND		
<u>Infc</u>	rmat	tion About the <i>Private Fund</i>		
1.	(a)	Name of the <i>private fund</i> :		
•	(4)	LIDO UNCAPPED DIVERSIFIED FUND, LP		
	(b)	Private fund identification number:		
		(include the "805-" prefix also)		
		805-8606750083		
2.	Und	ler the laws of what state or country is the <i>private fund</i> organized:		
		State: Country:		
		Delaware United States		
3.	(a)	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Na	me of General Partner, Manager, Trustee, or Director		
	LID	OO UNCAPPED DIVERSIFIED GP, LLC		
	(b)	If filling on umbrolla registration, identify the filing advisor and/or relying advisor(s) that engage(s) or manage(s) this private fund		
	(0)	If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed		
		No miornation med		
4.	The	private fund (check all that apply; you must check at least one):		
	V	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List	the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
		No Information Filed		
			Yes	No
6.		Is this a "master fund" in a master-feeder arrangement?	\circ	⊙
	(b)	If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		
		No Information Filed		
			Yes	No
		Is this a "feeder fund" in a master-feeder arrangement?	0	⊙
	(a)	If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
		Private fund identification number:		
		(include the "805-" prefix also)		

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1)

for the master-feeder arrangement or reporting on the funds separately.

your related person?

	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or su assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single m	question if it issu	
			s No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	0	•
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pool vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	ed investment	
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Ye	s No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Inve Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	stment O	•
10.	D. What type of fund is the <i>private fund</i> ?		
	$oldsymbol{\circ}$ hedge fund $oldsymbol{\circ}$ liquidity fund $oldsymbol{\circ}$ private equity fund $oldsymbol{\circ}$ real estate fund $oldsymbol{\circ}$ securitized asset fund $oldsymbol{\circ}$ venture capital fund $oldsymbol{\circ}$	Other <i>private fu</i>	und:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	1. Current gross asset value of the <i>private fund</i> : \$ 28,848,238		
<u>Ow</u>	<u>wnership</u>		
12.	 Minimum investment commitment required of an investor in the private fund: \$ 50,000 		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount sorganizational documents of the fund).	et forth in the	
13.	3. Approximate number of the <i>private fund's</i> beneficial owners: 89		
14.	 What is the approximate percentage of the private fund beneficially owned by you and your related persons: 		
15.	5. (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	0%	Ye	s No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Invest Company Act of 1940, are sales of the fund limited to qualified clients?	ment 💿	0
16.	6. What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	our Advisory Services		
17	7. (a) Are you a subadviser to this <i>private fund</i> ?	_	s No
. , .	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . question 17.(a) is "no," leave this question blank.	O If the answer to) ⊙
	No Information Filed		
		Ye	s No
18.	B. (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	•

10	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	_	s No
17.	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	•	О
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 2%		
<u>Priv</u>	vate Offering		
21.	Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ©	s No
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number 021-414303		
B. SI	ERVICE PROVIDERS		
Aud	<u>litors</u>		
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Ye:	s No
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	_
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi you must complete questions (b) through (f) separately for each auditing firm.	ting firr	n,
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm: WEAVER AND TIDWELL, LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country: MANHATTAN BEACH California United States		
	MANHATTAN BEACH California United States	Yes	No
	(d) Is the auditing firm an independent public accountant?	•	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 410		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
	(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	Ye:	s No
	(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	opinior	ns?
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is avail	lable.
<u>Prir</u>	ne Broker	Ve	s No
24.	(a) Does the <i>private fund</i> use one or more prime brokers?	·	_
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	the <i>pri</i> v	⁄ate

No Information Filed

		estions (b) through (e) below for each prime broker the $ ho$ complete questions (b) through (e) separately for each $ ho$	
(b) Name of the prime bro APEX CLEARING CORP			
(c) If the prime broker is 8 - 23522	registered with the SEC, its reg	gistration number:	
CRD Number (if any): 13071			
(d) Location of prime brok	ker's office used principally by t	he <i>private fund</i> (city, state and country):	
City: DALLAS	State: Texas	Country: United States	
DALLAS	Texas	officed States	Yes N
(e) Does this prime broke	er act as custodian for some or a	all of the <i>private fund's</i> assets?	•
			Yes
•		me brokers listed above) to hold some or all of its assets	•
•		ions (b) through (g) below for each custodian the <i>private</i> estions (b) through (g) separately for each custodian.	e fund uses. If the private
additional Custodian Infor	rmation : 2 Record(s) Filed.		
(b) Legal name of custodi APEX CLEARING CORP(c) Primary business name	PORATION		
APEX CLEARING CORP			
	stodian's office responsible for	custody of the private fund's assets (city, state and count	rry):
(d) The location of the cu			
City:	State:	Country:	
	State: Texas	Country: United States	Yes N
City: DALLAS	Texas	· · · · · · · · · · · · · · · · · · ·	Yes N
City: DALLAS (e) Is the custodian a relation	Texas	United States	_
City: DALLAS (e) Is the custodian a relation (f) If the custodian is a boundary of the custo	Texas ated person of your firm?	United States	_
City: DALLAS (e) Is the custodian a relation of the custodian is a big 8 - 23522 CRD Number (if any): 13071	Texas ated person of your firm? roker-dealer, provide its SEC re	United States	0
City: DALLAS (e) Is the custodian a relative (f) If the custodian is a b 8 - 23522 CRD Number (if any): 13071 (g) If the custodian is not	Texas ated person of your firm? roker-dealer, provide its SEC re	United States egistration number (if any):	0 (
City: DALLAS (e) Is the custodian a relation (f) If the custodian is a bear a second a seco	Texas ated person of your firm? roker-dealer, provide its SEC re a broker-dealer, or is a broker 25.(a) is "yes," respond to que	United States egistration number (if any):	orovide its legal entity
City: DALLAS (e) Is the custodian a relation (f) If the custodian is a book a - 23522 CRD Number (if any): 13071 (g) If the custodian is not identifier (if any)	Texas ated person of your firm? roker-dealer, provide its SEC re a broker-dealer, or is a broker 25.(a) is "yes," respond to que custodian, you must complete of	United States egistration number (if any): r-dealer but does not have an SEC registration number, processions (b) through g) below for each custodian the priva	orovide its legal entity
City: DALLAS (e) Is the custodian a relative for the custodian is a bound as a constant	Texas ated person of your firm? roker-dealer, provide its SEC re a broker-dealer, or is a broker 25.(a) is "yes," respond to que custodian, you must complete of the compl	United States egistration number (if any): r-dealer but does not have an SEC registration number, processions (b) through g) below for each custodian the priva	provide its legal entity

	(d) The location of the custodian's c	office responsible for <i>custody</i> of the <i>p</i> State:	rivate fund's assets (city, state and country Country:	/):
	LOS ANGELES	California	United States	
				Yes No
	(e) Is the custodian a related person	of your firm?		○ ●
	(f) If the custodian is a broker-deal	er, provide its SEC registration numb	er (if any):	
	- CRD Number (if any):			
	(g) If the custodian is not a broker- identifier (if any) 337KMNHEWWWR6B7Q7W10	dealer, or is a broker-dealer but does	s not have an SEC registration number, pro	ovide its <i>legal entity</i>
A alma in				
Admir	<u>nistrator</u>			Yes No
26. (a	a) Does the <i>private fund</i> use an administr	-		⊙ ○
	If the answer to question 26.(a) is "ye must complete questions (b) through		(f) below. If the <i>private fund</i> uses more th	nan one administrator, you
	Additional Administrator Information	n : 1 Record(s) Filed.		
		yes," respond to questions (b) througuestions (b) through (f) separately fo	gh (f) below. If the <i>private fund</i> uses more reach administrator.	than one
	(b) Name of administrator:			
	PANOPTIC FUND ADMINISTRATION)N		
	(c) Location of administrator (city, s	state and country):		
	City:	State:	Country:	
	LOS ANGELES	California	United States	Yes No
	(d) Is the administrator a related pe	rson of your firm?		O •
	(e) Does the administrator prepare	and send investor account statemer	its to the <i>private fund's</i> investors?	
			all investors) O No (provided to no invest	ors)
			vestor account statements to the (rest of f the) private fund's investors, respond "no	· '
	ouring your last fiscal year, what percenta our <i>related person</i> ?	ge of the <i>private fund's</i> assets (by va	llue) was valued by a <i>person</i> , such as an a	dministrator, that is not
			alum actablished for that accet if any inc	ludina abtainina anu
re		ed for purposes of investor subscription	edure established for that asset, if any, incomes, redemptions or distributions, and fee	
<u>Marke</u>	eter <u>s</u>			
28. (a	a) Does the <i>private fund</i> use the services	of someone other than you or your	employees for marketing purposes?	Yes No
20. (3	You must answer "yes" whether the p similar person. If the answer to question	person acts as a placement agent, cor on 28.(a) is "yes," respond to questic	nsultant, finder, introducer, municipal advisons (b) through (g) below for each such manageriately for each such m	sor or other solicitor, or arketer the <i>private fund</i>
		No Informati		
		No Informati	on Filed	

Into	ormation About the <i>Private Fund</i>		
1.	 (a) Name of the private fund: LIDO UNCAPPED FIRST QUARTERLY FUND, LP (b) Private fund identification number: (include the "805-" prefix also) 805-9161478405 		
2.	Under the laws of what state or country is the <i>private fund</i> organized: State: Delaware Country: United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director LIDO UNCAPPED QUARTERLY GP, LLC		
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed		
4.	The <i>private fund</i> (check all that apply; you must check at least one): ☑ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
6.	(a) Is this a "master fund" in a master-feeder arrangement?	Yes	No
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		•
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?Name of private fund:	0	•
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	r eacl	n of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issue	ed
Q	(a) Is this <i>private fund</i> a "fund of funds"?	Yes	_
8.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment	r O	•
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies. (b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		****	-

		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private	ite fui	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 27,331,022		
Owi	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :		
	\$ 50,000 NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	Э	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 70		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes ©	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	r Advisory Services		
		Yes	No
7.	(a) Are you a subadviser to this <i>private fund</i> ?	\circ	\odot
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
	No Information Filed		
		Yes	No
8.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	\odot
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	answ	/er
	No Information Filed		
		Yes	No
9.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	\odot	0
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 1%		
Priv	vate Offering		
21.	Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ©	No O
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number		
	021-466729		

SERVICE	PROVIDERS					
<u>ditors</u>					v	
(a) (1)) Are the <i>private fund's</i> final	ncial statements subject to	o an annual audit?		re	es l
	•	•		prepared in accordance with U.S. GAAP?	6	,
If t	the answer to question 23.	(a)(1) is "yes," respond to	questions (b) throug	h (h) below. If the <i>private fund</i> uses more than one audi	ting fir	m,
you	u must complete questions	(b) through (f) separately	for each auditing firn	n.		
Ac	dditional Auditor Informa	tion : 1 Record(s) Filed.				
	If the answer to question 2 auditing firm, you must con	•		ugh (h) below. If the <i>private fund</i> uses more than one ach auditing firm.		
	(b) Name of the auditing fir WEAVER AND TIDWELL					
	(c) The location of the aud	iting firm's office responsib	ole for the <i>private fund</i>	d's audit (city, state and country):		
	City:		State:	Country:		
	MANHATTAN BEACH		California	United States	Yes	Nc
	(d) Is the auditing firm an	independent public accounta	ant?		• •	0
	(e) Is the auditing firm reg	istered with the Public Cor	mpany Accounting Ov	ersight Board?	•	0
	If yes, Public Company	Accounting Oversight Boar	rd-Assigned Number:			
	410					
((f) If "yes" to (e) above, is accordance with its rule		to regular inspection	by the Public Company Accounting Oversight Board in	•	0
inv	vestors?			pleted fiscal year distributed to the <i>private fund's</i> your last <i>annual updating amendment</i> contain unqualified	C	
•	Yes O No O Report Not	Yet Received				
If y	you check "Report Not Yet R	eceived," you must promptl	ly file an amendment t	o your Form ADV to update your response when the report	is ava	ilabi
me Brok	ker				V	_
(a) Do	pes the <i>private fund</i> use one	e or more prime brokers?			۲e	es I
lf t	the answer to question 24.	(a) is "yes," respond to qu	· · · · · · · ·	(e) below for each prime broker the <i>private fund</i> uses. If bough (e) separately for each prime broker.	_	,
Ac	dditional Prime Broker In	formation : 1 Record(s) F	iled.			
	·	•		n (e) below for each prime broker the <i>private fund</i> uses. In (b) through (e) separately for each prime broker.	If the	
((b) Name of the prime brok APEX CLEARING CORPC					
	(c) If the prime broker is r 8 - 23522 CRD Number (if any): 13071	egistered with the SEC, its	registration number:			
	(d) Location of prime broke		,			
	City: DALLAS	State: Texas	Cour Unit	ntry: ed States		
		1 CAUS	Office		Voc	N L

<u>n</u>				
Door the private for 1	uso any quatedians (is-1	Ning the prime backers.	tod abovo) to hold some an all of the arm to	
f the answer to ques	tion 25.(a) is "yes," respo	nd to questions (b) throu	ted above) to hold some or all of its assets? gh (g) below for each custodian the <i>private fo</i> rough (g) separately for each custodian.	<i>und</i> uses. If the <i>pr</i>
Additional Custodiar	n Information : 2 Record((s) Filed.		
		· · · · · · · · · · · · · · · · · · ·	ough g) below for each custodian the <i>private</i> through (g) separately for each custodian.	fund uses. If the μ
(b) Legal name of c				
(c) Primary busines APEX CLEARING	ss name of custodian: G CORPORATION			
(d) The location of	the custodian's office resp	onsible for <i>custody</i> of the	private fund's assets (city, state and country):
City: DALLAS	State: Texas		Country: Jnited States	
				١
(e) Is the custodiar	n a <i>related person</i> of your fi	irm?		
(f) If the custodian 8 - 23522	is a broker-dealer, provid	e its SEC registration nun	nber (if any):	
CRD Number (if 13071	any):			
(g) If the custodian identifier (if any)		is a broker-dealer but do	pes not have an SEC registration number, pro	ovide its <i>legal entit</i>
•	n one custodian, you must	· · · · · · · · · · · · · · · · · · ·	ough g) below for each custodian the <i>private</i> through (g) separately for each custodian.	fund uses. If the p
(c) Primary busines	ss name of custodian: ANK			
(d) The location of	the custodian's office resp	onsible for <i>custody</i> of the	private fund's assets (city, state and country):
City: LOS ANGELES		State: California	Country: United States	
233711132223		Gamornia	omtod otatos	١
(e) Is the custodiar	n a <i>related person</i> of your fi	irm?		1
(f) If the custodian	is a broker-dealer, provid	e its SEC registration nun	nber (if any):	
- CRD Number (if	any):			
(a) If the custodian	ı is not a broker-dealer, or	is a broker-dealer but do	pes not have an SEC registration number, pro	ovide its <i>legal entit</i>

26. (a) Does the <i>private fund</i> use an administrator other than	n your firm?		• c
	If the answer to question 26.(a) is "yes," respond to must complete questions (b) through (f) separately f		•	n one administrator, you
	Additional Administrator Information : 1 Record(s			
	If the answer to question 26.(a) is "yes," respond administrator, you must complete questions (b) the		,	han one
	(b) Name of administrator: PANOPTIC			
	(c) Location of administrator (city, state and count City:	try): State:	Country:	
	LOS ANGELES	California	United States	Yes No
	(d) Is the administrator a <i>related person</i> of your fir	rm?		O O
	(e) Does the administrator prepare and send inve		·	
	Yes (provided to all investors) Some (pro	ovided to some but not	all investors) No (provided to no investor	⁻ S)
	(f) If the answer to question 26.(e) is "no" or "so investors? If investor account statements are			
l r	00% Include only those assets where (i) such <i>person</i> carried of elevant quotes, and (ii) the valuation used for purposes llocations) was the valuation determined by such <i>person</i>	s of investor subscription	•	
Mark	<u>eters</u>			
28. (a) Does the <i>private fund</i> use the services of someone of	other than you or your	employees for marketing purposes?	Yes No
	You must answer "yes" whether the <i>person</i> acts as a similar <i>person</i> . If the answer to question 28.(a) is "ye uses. If the <i>private fund</i> uses more than one marketer	es," respond to question	ons (b) through (g) below for each such mar	or or other solicitor, or rketer the <i>private fund</i>
		No Informati	ion Filed	
A. PRI'	VATE FUND			
Inforn	nation About the <i>Private Fund</i>			
1. (a) Name of the <i>private fund</i> :			
1. (LIDO UNCAPPED FUND, LP			
(o) <i>Private fund</i> identification number: (include the "805-" prefix also) 805-2819895520			
2. L	Inder the laws of what state or country is the <i>private fur</i>	<i>ınd</i> organized:		
	State: Delaware	Country: United States		
3. (a) Name(s) of General Partner, Manager, Trustee, or Di	irectors (or nersons sor	rying in a similar canacity).	
ì	Name of General Partner, Manager, Trustee, or Direct	. ,	ving in a similar capacity).	

LIDO UNCAPPED GP LLC

Yes No

	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
,	(a) La thia a "magatar fi yad" in a magatar facedar arranganant?	Yes	s No
6.	(a) Is this a "master fund" in a master-feeder arrangement?(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?	0	•
	No Information Filed		
		Yes	s No
	(c) Is this a "feeder fund" in a master-feeder arrangement?(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	0	⊙
	Name of private fund:		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Sectio for the master-feeder arrangement or reporting on the funds separately.	n 7.B.	(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for	or eac	ch of
	the feeder funds answer the following questions:		
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially a assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	it issue	ed
8.	(a) Is this <i>private fund</i> a "fund of funds"?		s No
0.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investme vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		•
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	s No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?		•
10.	What type of fund is the private fund?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private of the	⁄ate fu	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 35,276,669		
<u>Ow</u>	<u>vnership</u>		
12	Minimum investment commitment required of an investor in the <i>private fund</i> :		
	\$ 50,000		

NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the

13.	App 97	proximate number of the <i>private fund's</i> beneficial owners:		
14.	Wh	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.		What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	0% (b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes •	No O
16.	Wh.	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
You	ır Ac	dvisory Services		
17	(-)		Yes	No
17.		Are you a subadviser to this <i>private fund</i> ? If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	•
		No Information Filed		
			Yes	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	•
	(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	answ	ver
		No Information Filed		
			Yes	No
19.	Are	your clients solicited to invest in the private fund?	\odot	\circ
	NO	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App 2%	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Priv	<u>/ate</u>	Offering		
21.	Has	s the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ©	No O
22.	If y	ves, provide the <i>private fund's</i> Form D file number (if any):		
	Foi	rm D file number		
	02	1-344486		
B. SI	ERVI	CE PROVIDERS		
Auc	litor			
23	(2)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	_	s No
20.	(a)	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	⊙ ⊙	0
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.		_
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
		(b) Name of the auditing firm: WEAVER AND TIDWELL, LLP		
		WEAVER AND HOWELL, LEF		

organizational documents of the fund).

	(c)	The location of the auditing	g firm's office responsible	e for the <i>private fund's</i> aud	lit (city, state and country):		
		City: MANHATTAN BEACH		State: California	Country: United States		
	(a)	lo the qualities figure on the				Yes N	
	(a)	Is the auditing firm an ina	ependent public accountan	ue		0 ()
	(e)	Is the auditing firm regist	ered with the Public Comp	pany Accounting Oversigh	t Board?	© (5
		If yes, Public Company Ac	counting Oversight Board	-Assigned Number:			
	(f)	If "yes" to (e) above, is the accordance with its rules?	•	regular inspection by the	Public Company Accounting Oversight Board in	© (>
						Yes	No
(g)	Are the inves	•	nancial statements for the	most recently completed	fiscal year distributed to the private fund's	0	•
(h)	Do all	of the reports prepared by	y the auditing firm for the	private fund since your la	st annual updating amendment contain unqualified	d opinions	?
	⊙ Y∈	es O No O Report Not Yet	Received				
	If you	ı check "Report Not Yet Rece	eived," you must promptly	file an amendment to your	Form ADV to update your response when the repor	t is availa	ble.
Prime	<u>Broker</u>					.,	
24 (a)	Does	the <i>private fund</i> use one or	r more prime brokers?			Yes	_
24. (a)		•	·	stions (b) through (e) bel	ow for each prime broker the <i>private fund</i> uses. If		C te
		•			(e) separately for each prime broker.		
	Addi	tional Prime Broker Infor	mation : 1 Record(s) File	ed.			
	priv	•	ne prime broker, you mus		pelow for each prime broker the <i>private fund</i> uses. through (e) separately for each prime broker.	If the	
	(c)	If the prime broker is regi	stered with the SEC, its r	egistration number:			
		8 - 23522 CRD Number (if any): 13071					
	(d)	Location of prime broker's City:	s office used principally by State:	the <i>private fund</i> (city, sta Country:	te and country):		
		DALLAS	Texas	United Sta	ntes		
	(e)	Does this prime broker ac	t as custodian for some o	r all of the <i>private fund</i> 's a	assets?	Yes N	
	(6)	Does this prime broker de	t us custodian for some o	and the private rand se		0 ()
Custod	<u>ian</u>					V	N.I.
25. (a)	Does	the private fund use any cu	ustodians (including the p	rime brokers listed above) to hold some or all of its assets?	Yes	N
()	If the	answer to question 25.(a)	is "yes," respond to que:	stions (b) through (g) bel	ow for each custodian the <i>private fund</i> uses. If th separately for each custodian.		
		tional Custodian Informa		5 .0.			
		·			elow for each custodian the <i>private fund</i> uses. If t	he <i>private</i>	

(b) Legal name of custodian:

APEX CLEARING CORPORATION

	(c) Primary business name of customated APEX CLEARING CORPORATION			
	(d) The location of the custodian's	s office responsible for <i>custody</i> of	the <i>private fund's</i> assets (city, state and country):	
	City:	State:	Country:	
	DALLAS	Texas	United States	Yes No
	(e) Is the custodian a related pers	on of your firm?		0 0
	(f) If the custodian is a broker-de 8 - 23522	aler, provide its SEC registration	number (if any):	
	CRD Number (if any): 13071			
	(g) If the custodian is not a broke identifier (if any)	r-dealer, or is a broker-dealer bu	t does not have an SEC registration number, provid	e its <i>legal entity</i>
			through g) below for each custodian the <i>private fun</i> b) through (g) separately for each custodian.	d uses. If the <i>private</i>
	(b) Legal name of custodian: MIDFIRST BANK			
	(c) Primary business name of cust 1ST CENTURY BANK	todian:		
	(d) The location of the custodian's City: LOS ANGELES	s office responsible for <i>custody</i> of State: California	the <i>private fund's</i> assets (city, state and country): Country: United States	
	LOS ANGELES	California	United States	Yes No
	(e) Is the custodian a related pers	on of your firm?		○ ●
	(f) If the custodian is a broker-de	aler, provide its SEC registration	number (if any):	
	CRD Number (if any):			
	(g) If the custodian is not a broke identifier (if any) 337KMNHEWWWR6B7Q7W10	r-dealer, or is a broker-dealer bu	t does not have an SEC registration number, provid	e its <i>legal entity</i>
<u>Admin</u>	<u>istrator</u>			Yes No
26. (a)) Does the <i>private fund</i> use an adminis	strator other than your firm?		• C
	If the answer to question 26.(a) is "must complete questions (b) through	•	rough (f) below. If the <i>private fund</i> uses more than crator.	one administrator, you
	Additional Administrator Informat	ion : 1 Record(s) Filed.		
	If the answer to question 26.(a) is administrator, you must complete		through (f) below. If the <i>private fund</i> uses more tha ely for each administrator.	n one
	(b) Name of administrator: PANOPTIC FUND ADMINISTRAT	TION		
	(c) Location of administrator (city	, state and country):		
	City: LOS ANGELES	State: California	Country: United States	

		(d) Is the administrator a <i>related person</i> of your firm?	•	
		(a) Does the administrator propers and condinuector account statements to the private fund's investors?		
		(e) Does the administrator prepare and send investor account statements to the <i>private fund's</i> investors? • Yes (provided to all investors) • Some (provided to some but not all investors) • No (provided to no investors)		
		(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."	6	
27.	-	g your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that related person?	is not	
	Includ releva	de only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining ant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (inclutions) was the valuation determined by such <i>person</i> .	•	
Mar	keters			
20	(a) D		Yes N	10
28.		oes the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	0 (3
	sir	ou must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicit milar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private</i> ses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.		
		No Information Filed		
				=
A. PF	RIVATE	FUND		
Info	rmatio	on About the <i>Private Fund</i>		
1.	(a) Na	ame of the <i>private fund</i> :		
		DO UNCAPPED PLATINUM FUND (INSTITUTIONAL), LP		
	` '	rivate fund identification number: nclude the "805-" prefix also)		
		D5-9568618532		
2	Undor	the laws of what state or country is the private fund ergonized.		
2.		the laws of what state or country is the <i>private fund</i> organized: tate: Country:		
		elaware United States		
3.		ame(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): e of General Partner, Manager, Trustee, or Director		
		UNCAPPED PLATINUM GP LLC		
				_
	(b) If	filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
		No Information Filed		_
4.	The pr	rivate fund (check all that apply; you must check at least one):		
) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	2 (2	2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List th	ne name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.		
J.	LISC III	No Information Filed		_
			Yes N	_ Jr
6.	(a) Is	s this a "master fund" in a master-feeder arrangement?	_	9
		yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		ان
		No Information Filed		_

	(c) Is this a "feeder fund" in a master-feeder arrangement?	\circ	\odot
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	· eacl	n of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issue	ed
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	_	•
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Yes O	No ©
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private	te fur	ıd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 65,956,611		
<u>Ow</u>	<u>vnership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 159		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		

0%

Yes No

our A	lvisory Services		
7. (a)	Are you a subadviser to this <i>private fund</i> ?		s N
	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the arguestion 17.(a) is "no," leave this question blank.	swer to) (
	No Information Filed		
		Ye	s N
8. (a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	
(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . I to question 18.(a) is "no," leave this question blank.	~	
	No Information Filed		
		Ye	s N
9. Are	your clients solicited to invest in the private fund?	•	(
NC	E: For purposes of this question, do not consider feeder funds of the private fund.		
Э. Ар 3%	roximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
rivate	<u>Offering</u>	Ye	s N
1. Ha	the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	•	
		~	
2. If y	es, provide the <i>private fund's</i> Form D file number (if any):		
	m D file number		
02 SERV uditor	CE PROVIDERS (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Ye	es N
02 SERV uditor	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	6	5 (
02 SERV uditor	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	6	5 (
02 SERV uditor	(1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one au	6	5 (
02 SERV uditor	(1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auyou must complete questions (b) through (f) separately for each auditing firm.	6	5 (
02 SERV uditor	(1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auyou must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one	6	5 (
02 SERV uditor	(1) Are the <i>private fund</i> 's financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auguou must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm:	6) (
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02 SERV uditor	(1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auguous must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: WEAVER AND TIDWELL, LLP (c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country):	diting fir) (
02 SERV uditor	(1) Are the <i>private fund</i> 's financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one augumust complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: WEAVER AND TIDWELL, LLP (c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country): City: State: Country:	6) (
02 SERV uditor	(1) Are the <i>private fund</i> 's financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auguous must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: WEAVER AND TIDWELL, LLP (c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country): City: State: Country: MANHATTAN BEACH California United States	diting fir	No
02 SERV uditor	(1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditional auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: WEAVER AND TIDWELL, LLP (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: MANHATTAN BEACH California United States (d) Is the auditing firm an <i>Independent public accountant?</i>	diting fir	No C

(g) Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's

investors?

 \circ

• Yes • No • Rep			
II YOU CHECK KEDOIT		file an amendment to your Form ADV to update your response when the	ne renort is avail
J	ot ret necewea, you must promptly t	The art amenament to your Form Nov to apaate your response when the	ic report is avail
<u>Broker</u>			Yes
Does the private func	use one or more prime brokers?		· · · · · · · · · · · · · · · · · · ·
•		estions (b) through (e) below for each prime broker the private fund of	uses. If the <i>prive</i>
		te questions (b) through (e) separately for each prime broker.	
Additional Prime Br	oker Information : 1 Record(s) File	ed.	
		uestions (b) through (e) below for each prime broker the <i>private fun</i> st complete questions (b) through (e) separately for each prime brok	
(b) Name of the pr	me broker: G CORPORATION		
8 - 23522	oker is registered with the SEC, its re	registration number:	
CRD Number (iii	any):		
'	ne broker's office used principally by	the private fund (city, state and country):	
City: DALLAS	State: Texas	Country: United States	
			Yes
(e) Does this prime	e broker act as custodian for some or	or all of the <i>private fund's</i> assets?	•
	use any custodians (including the pr	prime brokers listed above) to hold some or all of its assets?	Yes
Doos the private func	use any custodians (including the pi	in the brokers listed above) to hold some or all of its assets:	•
If the answer to ques		estions (b) through (g) below for each custodian the <i>private fund</i> use questions (b) through (g) separately for each custodian.	
If the answer to ques fund uses more than		,	
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If the answer to questund uses more than Additional Custodia If the answer to questund uses more than (b) Legal name of APEX CLEARING (c) Primary busine	estion 25.(a) is "yes," respond to que none custodian, you must complete que none custodian, you must complete custodian:	questions (b) through (g) separately for each custodian. uestions (b) through g) below for each custodian the <i>private fund</i> us	es. If the <i>private</i>
If the answer to questund uses more than Additional Custodia If the answer to questund uses more than (b) Legal name of APEX CLEARING (c) Primary busine APEX CLEARING (d) The location of	estion 25.(a) is "yes," respond to que none custodian, you must complete question 25.(a) is "yes," respond to que none custodian, you must complete custodian: 6 CORPORATION 6 CORPORATION the custodian's office responsible for	questions (b) through (g) separately for each custodian. uestions (b) through g) below for each custodian the <i>private fund</i> use questions (b) through (g) separately for each custodian. or <i>custody</i> of the <i>private fund's</i> assets (city, state and country):	es. If the <i>private</i>
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If the answer to questund uses more than Additional Custodia If the answer to questund uses more than (b) Legal name of APEX CLEARING (c) Primary busine APEX CLEARING (d) The location of City: DALLAS	estion 25.(a) is "yes," respond to que none custodian, you must complete question 25.(a) is "yes," respond to que none custodian, you must complete custodian: G CORPORATION the custodian's office responsible for State:	questions (b) through (g) separately for each custodian. uestions (b) through g) below for each custodian the <i>private fund</i> use questions (b) through (g) separately for each custodian. or <i>custody</i> of the <i>private fund's</i> assets (city, state and country): Country:	es. If the <i>private</i> ses. If the <i>privat</i>
If the answer to questund uses more than Additional Custodia If the answer to questund uses more than (b) Legal name of APEX CLEARING (c) Primary busine APEX CLEARING (d) The location of City: DALLAS (e) Is the custodian	estion 25.(a) is "yes," respond to quent one custodian, you must complete quent one custodian, you must complete custodian: CORPORATION the custodian's office responsible for State: Texas n a related person of your firm?	questions (b) through (g) separately for each custodian. uestions (b) through g) below for each custodian the <i>private fund</i> use questions (b) through (g) separately for each custodian. or <i>custody</i> of the <i>private fund's</i> assets (city, state and country): Country: United States	es. If the <i>private</i> ses. If the <i>privat</i>
If the answer to questund uses more than Additional Custodia If the answer to questund uses more than (b) Legal name of APEX CLEARING (c) Primary busine APEX CLEARING (d) The location of City: DALLAS (e) Is the custodian	estion 25.(a) is "yes," respond to que none custodian, you must complete question 25.(a) is "yes," respond to que none custodian, you must complete custodian: 6 CORPORATION the custodian's office responsible for State: Texas	questions (b) through (g) separately for each custodian. uestions (b) through g) below for each custodian the <i>private fund</i> use questions (b) through (g) separately for each custodian. or <i>custody</i> of the <i>private fund's</i> assets (city, state and country): Country: United States	es. If the <i>private</i> ses. If the <i>privat</i>
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If the answer to questund uses more than Additional Custodia If the answer to questund uses more than (b) Legal name of APEX CLEARING (c) Primary busine APEX CLEARING (d) The location of City: DALLAS (e) Is the custodian 8 - 23522	estion 25. (a) is "yes," respond to que none custodian, you must complete question 25. (a) is "yes," respond to quent one custodian, you must complete custodian: 6 CORPORATION ss name of custodian: 6 CORPORATION the custodian's office responsible for State:	questions (b) through (g) separately for each custodian. uestions (b) through g) below for each custodian the <i>private fund</i> use questions (b) through (g) separately for each custodian. or <i>custody</i> of the <i>private fund's</i> assets (city, state and country): Country: United States	es. If the private
If the answer to questund uses more than Additional Custodia If the answer to questund uses more than (b) Legal name of APEX CLEARING (c) Primary busine APEX CLEARING (d) The location of City: DALLAS (e) Is the custodian 8 - 23522 CRD Number (in 13071)	estion 25.(a) is "yes," respond to quent one custodian; you must complete quent one custodian, you must complete custodian: CORPORATION ss name of custodian: CORPORATION the custodian's office responsible for State: Texas In a related person of your firm? It is a broker-dealer, provide its SEC in any):	questions (b) through (g) separately for each custodian. uestions (b) through g) below for each custodian the <i>private fund</i> use questions (b) through (g) separately for each custodian. or <i>custody</i> of the <i>private fund's</i> assets (city, state and country): Country: United States	es. If the <i>private</i> ses. If the <i>priva</i>

(b) Legal name of custodian: MIDFIRST BANK			
(c) Primary business name of custo 1ST CENTURY BANK	odian:		
(d) The location of the custodian's	office responsible for <i>custody</i> of the	e private fund's assets (city, state and country)	:
City: LOS ANGELES	State: California	Country: United States	
20071102220	Camornia	Cinted States	,
(e) Is the custodian a related perso	<i>n</i> of your firm?		
(f) If the custodian is a broker-dea	ler, provide its SEC registration nur	mber (if any):	
- CRD Number (if any):			
identifier (if any)	-dealer, or is a broker-dealer but d	oes not have an SEC registration number, pro	vide its <i>legal enti</i>
337KMNHEWWWR6B7Q7W10			
crator Does the <i>private fund</i> use an administ If the answer to question 26.(a) is "ye	· ·	gh (f) below. If the <i>private fund</i> uses more tha	an one administra
Does the <i>private fund</i> use an administ	es," respond to questions (b) throu (f) separately for each administrate		an one administra
Does the <i>private fund</i> use an administ of the answer to question 26.(a) is "you must complete questions (b) through the Additional Administrator Information of the private of the privat	es," respond to questions (b) through (f) separately for each administrate on: 1 Record(s) Filed. "yes," respond to questions (b) through (f) separately	or. ough (f) below. If the <i>private fund</i> uses more t	
Does the <i>private fund</i> use an administ of the answer to question 26.(a) is "you must complete questions (b) through the Additional Administrator Information of the answer to question 26.(a) is administrator, you must complete question to the complete question of the parameters of	es," respond to questions (b) through (f) separately for each administrate on: 1 Record(s) Filed. "yes," respond to questions (b) through (f) separately on the control of	or. ough (f) below. If the <i>private fund</i> uses more t	
Does the <i>private fund</i> use an administ of the answer to question 26. (a) is "ye must complete questions (b) through the Additional Administrator Information of administrator: [PANOPTIC FUND ADMINISTRATION of administrator of	es," respond to questions (b) through (f) separately for each administrate on: 1 Record(s) Filed. "yes," respond to questions (b) through (f) separately on the country of	ough (f) below. If the <i>private fund</i> uses more to for each administrator.	
Does the <i>private fund</i> use an administ of the answer to question 26.(a) is "you must complete questions (b) through the Additional Administrator Information of the answer to question 26.(a) is administrator, you must complete question to the complete question of the parameters of	es," respond to questions (b) through (f) separately for each administrate on: 1 Record(s) Filed. "yes," respond to questions (b) through (f) separately on the control of	or. ough (f) below. If the <i>private fund</i> uses more t	
Does the <i>private fund</i> use an administ of the answer to question 26. (a) is "ye must complete questions (b) through the Additional Administrator Information of the answer to question 26. (a) is administrator, you must complete question of administrator: PANOPTIC FUND ADMINISTRATION (c) Location of administrator (city, City:	es," respond to questions (b) through (f) separately for each administrate on: 1 Record(s) Filed. "yes," respond to questions (b) through (f) separately on the country of	ough (f) below. If the <i>private fund</i> uses more to for each administrator. Country:	
Does the <i>private fund</i> use an administ of the answer to question 26. (a) is "ye must complete questions (b) through the Additional Administrator Information of the answer to question 26. (a) is administrator, you must complete question of administrator: PANOPTIC FUND ADMINISTRATION (c) Location of administrator (city, City:	es," respond to questions (b) through (f) separately for each administrate on: 1 Record(s) Filed. Tyes," respond to questions (b) through (f) separately on the separately on the separately on the separately of the separately on the separately of the separately on the separately of	ough (f) below. If the <i>private fund</i> uses more to for each administrator. Country:	than one Υε
Does the <i>private fund</i> use an administ of the answer to question 26. (a) is "ye must complete questions (b) through Additional Administrator Information If the answer to question 26. (a) is administrator, you must complete question 26. (b) Name of administrator: PANOPTIC FUND ADMINISTRATION (c) Location of administrator (city, City: LOS ANGELES (d) Is the administrator a <i>related period</i> (e) Does the administrator prepared	es," respond to questions (b) through (f) separately for each administrate on: 1 Record(s) Filed. "yes," respond to questions (b) through (f) separately destions (b) through (f) separately on state and country): State: California erson of your firm?	ough (f) below. If the <i>private fund</i> uses more to for each administrator. Country:	rhan one Ye

27. During your last fiscal year, what percentage of the private fund's assets (by value) was valued by a person, such as an administrator, that is not your related person?

100%

Include only those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such person.

		Yes	No
28.	(a) Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	0	\odot
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicito similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.		
	No Information Filed		
. PF	RIVATE FUND		
nfo	rmation About the <i>Private Fund</i>		
1.	(a) Name of the <i>private fund</i> :		
	LIDO UNCAPPED PLATINUM FUND, LP		
	(b) Private fund identification number: (include the "805-" prefix also) 805-1648035431		
2.	Under the laws of what state or country is the <i>private fund</i> organized:		
	State: Country:		
	Delaware United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	No Information Filed		
1.	The <i>private fund</i> (check all that apply; you must check at least one): ☑ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
<u>.</u>	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	No
).	(a) Is this a "master fund" in a master-feeder arrangement?	0	•
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
		v	
	(c) Is this a "feeder fund" in a master-feeder arrangement?	Yes	_
	(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?Name of private fund:	0	•
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	- each	n of
	No Information Filed		
	NO THIOHIBATION FILEG		

	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantiall Issets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question Inultiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund	if it issue	
	multiple classes (or series) or shares or interests, and each class (or series) invests substantially an or its assets in a single master rank		. No
8.	a) Is this <i>private fund</i> a "fund of funds"?	0	•
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investred investment companies.	ment	
	b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	$ullet$ hedge fund $ullet$ liquidity fund $ullet$ private equity fund $ullet$ real estate fund $ullet$ securitized asset fund $ullet$ venture capital fund $ullet$ Other μ	orivate fu	nd:
	, and a second of the second o		
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : 5 20,796,474		
0.4			
OW	<u>ership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :		
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in organizational documents of the fund).	n the	
13.	Approximate number of the <i>private fund's</i> beneficial owners:		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
		Yes	No.
	b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	•	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
You	Advisory Services	Vas	. No
17.	a) Are you a subadviser to this <i>private fund</i> ?	O	• 140
	b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the ans question 17.(a) is "no," leave this question blank.		٠
	No Information Filed		
		Yes	No
18.	a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	•
	b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If to question 18.(a) is "no," leave this question blank.	the ansv	ver
	No Information Filed		
		Yes	No
19.	are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	⊙	\circ
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		

20. Approximately what percentage of your *clients* has invested in the *private fund*?

	e Offering			Ye	es No
1. Ha	s the <i>private fund</i> ever relied on an exemption from registration	of its securities und	der Regulation D of the Securities Act of 1933?	•	0
2. If	yes, provide the <i>private fund's</i> Form D file number (if any):				
	orm D file number				
02	21-367367				
SERV	ICE PROVIDERS				
<u>udito</u>	<u>rs</u>			V	- N-
3 (a)	(1) Are the <i>private fund's</i> financial statements subject to an an	nual audit?			es No
, (u)	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements subject to an arrangement of the subject to a subject		pared in accordance with U.S. GAAP?		90
	If the answer to question 23.(a)(1) is "yes," respond to questi you must complete questions (b) through (f) separately for each	ons (b) through (h			
	Additional Auditor Information : 1 Record(s) Filed.				
	If the answer to question 23.(a)(1) is "yes," respond to questions firm, you must complete questions (b) through (f) so	•	•		
	(b) Name of the auditing firm:				
	WEAVER AND TIDWELL, LLP				
	(c) The location of the auditing firm's office responsible for t	he <i>private fund's</i> au	udit (city, state and country):		
	City:	State:	Country:		
	MANHATTAN BEACH	California	United States	Yes	No
	(d) Is the auditing firm an independent public accountant?			· ·	0
	(e) Is the auditing firm registered with the Public Company	Accounting Oversig	ht Board?	•	O
	If yes, Public Company Accounting Oversight Board-Assig	gned Number:			
	(f) If "yes" to (e) above, is the auditing firm subject to regu accordance with its rules?	lar inspection by th	ne Public Company Accounting Oversight Board in	•	0
				V	es No
	Are the <i>private fund's</i> audited financial statements for the most	recently complete	d fiscal year distributed to the private fund's) (O
(a)					2 12

Prime Broker

Yes No

24. (a) Does the *private fund* use one or more prime brokers?

0 0

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the *private fund* uses. If the *private fund* uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

Additional Prime Broker Information : 1 Record(s) Filed.

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the *private fund* uses. If the *private fund* uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

(b) Name of the prime broker:

(c) If the prime broker is registered with the SEC, its registration number: 8 - 23522 CRD Number (if any): 13071 (d) Location of prime broker's office used principally by the <i>private fund</i> (city, state and country): City: City: DALLAS Texas: United States (e) Does this prime broker act as custodian for some or all of the <i>private fund</i> 's assets? cost the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? cost the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25 (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> not uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. dditional Custodian Information: 2 Record(s) Filed. If the answer to question 25 (a) is "yes," respond to questions (b) through (g) separately for each custodian. And custodian information: 2 Record(s) Filed. (b) Legal name of custodian: APEX CIFARING CORPORATION (c) Primary business name of custodian: APEX CIFARING CORPORATION (d) The location of the custodian a related person of your firm? (d) If the custodian is a broker-dealer, provide its SEC registration number (if any): 8 - 23522 CRD Number (if any): 13071 (if the custodian is not a broker-dealer, provide its SEC registration number (if any): 14 - 23522 CRD Number (if any): 15 - 23522 CRD Number (if any): 16 - 23522 On the custodian is not a broker-dealer, provide its SEC registration number (if any): 17 - 23522 On the custodian is not a broker-dealer, provide its SEC registration number (if any): 18 - 23522 On the custodian is not a broker-dealer, provide its SEC registration number (if any): 18 - 23522 On the custodian is not a broker-dealer, provide its SEC registration (b) through (g) separately for each custodian: On the custodian is not a broker-dealer of the private fund funds is not a broker-dealer (b) through (g) separa	
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	nd uses. If the <i>pri</i>
(c) Primary business name of custodian: 1ST CENTURY BANK	
(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):	
City: State: Country:	
LOS ANGELES California United States	
(e) Is the custodian a <i>related person</i> of your firm?	Ye

- CRD Number (if any):
end reamber (ii arry).
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) 337KMNHEWWWR6B7Q7W10
<u>Administrator</u> Yes No
26. (a) Does the <i>private fund</i> use an administrator other than your firm?
If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.
Additional Administrator Information : 1 Record(s) Filed.
If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.
(b) Name of administrator: PANOPTIC FUND ADMINISTRATION
(c) Location of administrator (city, state and country):
City: State: Country: LOS ANGELES California United States
Yes No
(d) Is the administrator a <i>related person</i> of your firm?
(e) Does the administrator prepare and send investor account statements to the private fund's investors?
(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."
 27. During your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i>, such as an administrator, that is not your <i>related person</i>? 100% Include only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such <i>person</i>.
<u>Marketers</u>
Yes No
28. (a) Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes? O
You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.
No Information Filed
A. PRIVATE FUND
Information About the <i>Private Fund</i>

LIDO UNCAPPED SECOND QUARTERLY FUND, LP (b) *Private fund* identification number:

1. (a) Name of the private fund:

	805-9496419746		
2.	Under the laws of what state or country is the <i>private fund</i> organized:		
۷.	State: Country:		
	Delaware United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director LIDO UNCAPPED QUARTERLY GP, LLC		
	EIDO GNOATTED GOARTERET SIT, EEG		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	The private fund (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	\Box (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	⊙
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
		Voc	. No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	• INO
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?		٠
	Name of <i>private fund</i> :		
	Private fund identification number:		
	(include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section	n 7.B.	(1)
	for the master-feeder arrangement or reporting on the funds separately.		
7		r	
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), the feeder funds answer the following questions:	for eac	ch of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially	all of t	heir
	assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if	it issu	ed
	multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	Vos	. No
8.	(a) Is this private fund a "fund of funds"?	0	• IVO
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment		٠
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	\odot
	Company Act or 1740 (other than infoney market funds, to the extent provided in Instruction 6.6.)?		
10.	. What type of fund is the <i>private fund</i> ?		
	• hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other <i>pri</i>	vate fu	nd:
	entrance in the control of the contr		

(include the "805-" prefix also)

	NO	TE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.		rrent gross asset value of the <i>private fund</i> : 0,028,088		
<u>Ow</u>	ners	<u>ship</u>		
12.		nimum investment commitment required of an investor in the <i>private fund</i> :		
		TE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the janizational documents of the fund).		
13.	App 99	proximate number of the <i>private fund's</i> beneficial owners:		
14.	Wh.	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 0%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
			'es	No
	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	Wh.	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
Υοι	ır Ac	dvisory Services		
17	(0)		'es	_
1/.		Are you a subadviser to this <i>private fund</i> ? If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer is	0	•
		question 17.(a) is "no," leave this question blank. No Information Filed		
			'es	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?		⊙
		If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the atto question 18.(a) is "no," leave this question blank.		
		No Information Filed		
			'es	No
19.	Are	e your <i>clients</i> solicited to invest in the <i>private fund</i> ?	•	\circ
	NO	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App 2%	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Priv	<u>rate</u>	• Offering		
21.	Has	s the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	es ©	No C
22.	If y	ves, provide the <i>private fund's</i> Form D file number (if any):		
	Foi	rm D file number		
	02	1-456709		
3. SI	ERVI	CE PROVIDERS		
Auc	litor			
ე ე	(2)	(1) Are the private fund's financial statements subject to an annual audit?	es.	No

(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?

O

Additional Auditor Info	rmation : 1 Record(s) Filed.	
· ·	ion 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more that t complete questions (b) through (f) separately for each auditing firm.	an one
(b) Name of the auditing		
	auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):	
City: MANHATTAN BEACH	State: Country: H California United States	
(d) Is the auditing firm	n an independent public accountant?	Yes No ⊙ C
(e) Is the auditing firn	n registered with the Public Company Accounting Oversight Board?	⊙ (
If yes, Public Comp 410	pany Accounting Oversight Board-Assigned Number:	
(f) If "yes" to (e) above accordance with its	ve, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Bostrules?	pard in 👩 🔿
		Yes
·	dited financial statements for the most recently completed fiscal year distributed to the private fund	o o
investors?		
investors? Do all of the reports prep	pared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain un	
investors? Do all of the reports prep Yes O No O Report	pared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain un	nqualified opinions
investors? Do all of the reports prep Yes O No O Report	pared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain un	nqualified opinions
investors? Do all of the reports preport Yes No Report If you check "Report Not"	pared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain un	nqualified opinions
investors? Do all of the reports preport Yes No Report If you check "Report Not Yes	pared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain un	nqualified opinions the report is availab Yes
investors? Do all of the reports preport Yes O No O Report If you check "Report Not You check" Toker Does the private fund use If the answer to question	pared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain un Not Yet Received Yet Received," you must promptly file an amendment to your Form ADV to update your response when t	nqualified opinions the report is availab Yes
investors? Do all of the reports preport Yes No Report If you check "Report Not Yes Toker Does the private fund use If the answer to question fund uses more than one	pared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain un. Not Yet Received Yet Received, " you must promptly file an amendment to your Form ADV to update your response when the one or more prime brokers? The 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> .	nqualified opinions the report is availab Yes
Do all of the reports preport of Yes O No O Report of Yes O No O Report of Yes O No O Report Not Yes O No O N	pared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain un. Not Yet Received Yet Received," you must promptly file an amendment to your Form ADV to update your response when the one or more prime brokers? In 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> as prime broker, you must complete questions (b) through (e) separately for each prime broker.	rndualified opinions the report is available to available the report is avai
Do all of the reports preport of Yes O No O Report of Yes O No O Report of Yes O No O Report Not Yes O No O Report Not Yes O No O N	pared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain un. Not Yet Received Yet Received, "you must promptly file an amendment to your Form ADV to update your response when the ending of the prime brokers? In 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> of prime broker, you must complete questions (b) through (e) separately for each prime broker. For Information: 1 Record(s) Filed. Identity of the private fund that one prime broker, you must complete questions (b) through (e) below for each prime broker the <i>private fund</i> than one prime broker, you must complete questions (b) through (e) separately for each prime broker:	rndualified opinions the report is available to available the report is avai
Do all of the reports preports Yes O No O Report If you check "Report Not Yes Toker Does the private fund use If the answer to question fund uses more than one Additional Prime Broke If the answer to question fund uses more than one Additional Prime Broke If the answer to question fund uses more (b) Name of the prime APEX CLEARING CO	pared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain un Not Yet Received Yet Received," you must promptly file an amendment to your Form ADV to update your response when the one or more prime brokers? In 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> or prime broker, you must complete questions (b) through (e) separately for each prime broker. For Information: 1 Record(s) Filed. Iden 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> than one prime broker, you must complete questions (b) through (e) separately for each prime broker: DRPORATION It is registered with the SEC, its registration number:	rndualified opinions the report is available to available the report is avai
Do all of the reports preports Yes O No O Report If you check "Report Not Yes Toker Does the private fund use If the answer to question fund uses more than one Additional Prime Broke If the answer to question fund uses more than one Additional Prime Broke (b) Name of the prime APEX CLEARING CO (c) If the prime broker 8 - 23522	pared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain un Not Yet Received Yet Received," you must promptly file an amendment to your Form ADV to update your response when the one or more prime brokers? In 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> or prime broker, you must complete questions (b) through (e) separately for each prime broker. For Information: 1 Record(s) Filed. Iden 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> than one prime broker, you must complete questions (b) through (e) separately for each prime broker: DRPORATION It is registered with the SEC, its registration number:	rndualified opinions the report is available to available the report is avai
Do all of the reports preports Yes O No O Report If you check "Report Not to the content of the answer to question fund uses more than one Additional Prime Broke If the answer to question fund uses more than one Additional Prime Broke If the answer to question private fund uses more (b) Name of the prime APEX CLEARING CO (c) If the prime broker 8 - 23522 CRD Number (if any 13071	pared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain un Not Yet Received Yet Received," you must promptly file an amendment to your Form ADV to update your response when the one or more prime brokers? In 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> or prime broker, you must complete questions (b) through (e) separately for each prime broker. For Information: 1 Record(s) Filed. Iden 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> than one prime broker, you must complete questions (b) through (e) separately for each prime broker: DRPORATION It is registered with the SEC, its registration number:	rndualified opinions the report is available to available the report is avai
Do all of the reports preports of the report	pared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain un. Not Yet Received Yet Received," you must promptly file an amendment to your Form ADV to update your response when to ge one or more prime brokers? In 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> are prime broker, you must complete questions (b) through (e) separately for each prime broker. Information: 1 Record(s) Filed. In 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> than one prime broker, you must complete questions (b) through (e) separately for each prime broker: DRPORATION In is registered with the SEC, its registration number: State: Country:	rndualified opinions the report is available to available the report is avai
Do all of the reports preports Yes O No O Report If you check "Report Not Yes Toker Does the private fund use If the answer to question fund uses more than one Additional Prime Broke If the answer to question private fund uses more (b) Name of the prime APEX CLEARING CO (c) If the prime broker 8 - 23522 CRD Number (if any 13071 (d) Location of prime be	pared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain un Not Yet Received Yet Received, "you must promptly file an amendment to your Form ADV to update your response when the endergone of the private fund to the private fund to prime broker, you must complete questions (b) through (e) below for each prime broker the private fund to prime broker, you must complete questions (b) through (e) separately for each prime broker. For Information: 1 Record(s) Filed. For Information: 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund than one prime broker, you must complete questions (b) through (e) separately for each prime broker: For Information: 25. (b) Through (e) below for each prime broker the private fund (c) through (e) separately for each prime broker: For Information: 26. (c) Through (e) below for each prime broker the private fund (c) through (e) separately for each prime broker: For Information: 27. (c) Through (e) below for each prime broker the private fund (c) through (e) separately for each prime broker: For Information: 28. (c) Through (e) below for each prime broker the private fund (c) through (e) separately for each prime broker: For Information: 28. (c) Through (e) below for each prime broker the private fund (c) through (e) below for each prime broker.	rndualified opinions the report is available to available the report is avai

25. (a) Does the *private fund* use any custodians (including the prime brokers listed above) to hold some or all of its assets?

Yes No

 \odot \circ

-	25.(a) is "yes," respond to que custodian, you must complete c		•	
(b) Legal name of custod APEX CLEARING CORI				
(c) Primary business nan APEX CLEARING CORI				
(d) The location of the cu	ustodian's office responsible for	custody of the private fund	's assets (city, state and cou	untry):
City:	State:	Country:		
DALLAS	Texas	United States	5	
(e) Is the custodian a rea	lated person of your firm?			
(6) 10 1110 0401041411 4 701	atou percer er gour min			
(f) If the custodian is a b	proker-dealer, provide its SEC re	gistration number (if any):		
8 - 23522				
CRD Number (if any):				
13071				
· · ·	t a broker-dealer, or is a broker	-dealer but does not have	an SEC registration number	r, provide its <i>legal en</i>
identifier (if any)				
identiner (ii any)				
If the answer to question	25.(a) is "yes," respond to que		,	
If the answer to question	25.(a) is "yes," respond to que custodian, you must complete c		,	
If the answer to question	custodian, you must complete o		,	
If the answer to question fund uses more than one (b) Legal name of custod	custodian, you must complete o		,	
If the answer to question fund uses more than one (b) Legal name of custod MIDFIRST BANK (c) Primary business nam 1ST CENTURY BANK	custodian, you must complete o	questions (b) through (g) s	separately for each custodia	n.
If the answer to question fund uses more than one (b) Legal name of custod MIDFIRST BANK (c) Primary business name 1ST CENTURY BANK (d) The location of the custof City:	custodian, you must complete of dian: ne of custodian: ustodian's office responsible for State:	questions (b) through (g) s custody of the private fund	separately for each custodia 's assets (city, state and cou	n.
If the answer to question fund uses more than one (b) Legal name of custod MIDFIRST BANK (c) Primary business name 1ST CENTURY BANK (d) The location of the custom function of the custom function fun	custodian, you must complete of dian: ne of custodian: ustodian's office responsible for	questions (b) through (g) s custody of the private fund	separately for each custodia 's assets (city, state and cou	n.
If the answer to question fund uses more than one (b) Legal name of custod MIDFIRST BANK (c) Primary business name 1ST CENTURY BANK (d) The location of the custof City:	custodian, you must complete of dian: ne of custodian: ustodian's office responsible for State: Califor	questions (b) through (g) s custody of the private fund	separately for each custodia 's assets (city, state and cou	n.
If the answer to question fund uses more than one (b) Legal name of custod MIDFIRST BANK (c) Primary business name 1ST CENTURY BANK (d) The location of the custodian a real state of the custodian and the custodian a real state of the custodian a real state of the custodian a real state of the custodian area.	custodian, you must complete of dian: ne of custodian: ustodian's office responsible for State: Califor	questions (b) through (g) s custody of the private fund	's assets (city, state and cou Country: United States	n.
If the answer to question fund uses more than one (b) Legal name of custod MIDFIRST BANK (c) Primary business name 1ST CENTURY BANK (d) The location of the custodian a real state of the custodian and the custodian a real state of the custodian a real state of the custodian a real state of the custodian area.	custodian, you must complete of dian: me of custodian: ustodian's office responsible for State: Califordiated person of your firm? proker-dealer, provide its SEC responsible to the second of the	questions (b) through (g) s custody of the private fund	's assets (city, state and cou Country: United States	n.
If the answer to question fund uses more than one (b) Legal name of custod MIDFIRST BANK (c) Primary business name 1ST CENTURY BANK (d) The location of the custodian of the custodian a real custodian is a kingle custo	custodian, you must complete of dian: me of custodian: ustodian's office responsible for State: Califordiated person of your firm? proker-dealer, provide its SEC responsible to the secondary of the secondary	questions (b) through (g) s custody of the private fund rnia gistration number (if any):	separately for each custodia 's assets (city, state and cou Country: United States	untry):

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you

 \circ

26. (a) Does the *private fund* use an administrator other than your firm?

Additional Administrator Information : 1 Record(s) Filed.

must complete questions (b) through (f) separately for each administrator.

If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the *private fund* uses. If the *private*

(0)	Name of administrator:			
	PANOPTIC FUND ADMINISTRAT	ION		
(0)	Location of administrator (city)	state and sountmy).		
(C)		State and country): State:	Country:	
	LOS ANGELES	California	United States	
(d)	Is the administrator a <i>related</i> p	person of your firm?		Yes No ○ ⊙
	,			0 0
(e)	• •		·	ors)
(f)	•		•	• •
r <i>relate</i>)% lude or	ed person? nly those assets where (i) such	person carried out the valuation pro	cedure established for that asset, if any, inc	luding obtaining any
		·	tions, redemptions or distributions, and fee	calculations (including
<u>ers</u>				Yes No
Does	the private fund use the service	es of someone other than you or you	r employees for marketing purposes?	0 6
simila	r <i>person</i> . If the answer to quest	ion 28.(a) is "yes," respond to ques	tions (b) through (g) below for each such m	arketer the <i>private fund</i>
		No Informa	ition Filed	
TE FUN	ND			
tion Al	bout the <i>Private Fund</i>			
	•			
		UND, LP		
805-8	3501901605			
lor the	laws of what state or country	is the private fund organized		
	•	,		
Delav	vare	United States		
Name	e(s) of General Partner, Manage	r, Trustee, or Directors (or <i>persons</i> s	erving in a similar capacity):	
	-	·		
OO UNG	CAPPED QUARTERLY GP, LLC			
If filin	ng an umhrella registration ident	ify the filing adviser and/or relying an	viser(s) that snonsor(s) or manage(s) this p	rivate fund
11 111111	ig an <i>ambrella registration</i> , luetti	No Information		Trate falla.
	(d) (e) (f) (f) (ing your relations) (include of evant of examinations) (include of examinations) (inc	City: LOS ANGELES (d) Is the administrator a related p (e) Does the administrator prepar	City: State: LOS ANGELES California (d) Is the administrator a related person of your firm? (e) Does the administrator prepare and send investor account statem (a) Yes (provided to all investors) Some (provided to some but not investors? If investor account statements are not sent to the (rest investors? If investor account statements are not sent to the (rest investors? If investor account statements are not sent to the (rest investors? If investor account statements are not sent to the (rest investors?) (b) If the answer to question 26. (e) is "no" or "some," who sends the investors? If investor account statements are not sent to the (rest investors?) (c) If the answer to question 26. (e) is "no" or "some," who sends the investors? If investor account statements are not sent to the (rest investors?) (d) If the answer to question 26. (e) is "valuation provided to some only send to the private fund use the services of someone other than you or you wast answer "yes" whether the person acts as a placement agent, c similar person. If the answer to question 28. (a) is "yes," respond to questions? If the private fund uses more than one marketer you must complete to private fund dentification number: (include the "805-" prefix also) 805-8501901605 (c) It is the private fund organized: Country:	City: State: Country: United States (d) Its the administrator a rebited person of your firm? (e) Does the administrator prepare and send investor account statements to the private fund's investors? @ Yes (provided to all investors) @ Some (provided to some but not all investors) @ No (provided to no investor (provided to all investors) @ Some (provided to some but not all investors) @ No (provided to no investor) (provided to all investors) @ Some (provided to some but not all investors) @ No (provided to no investor) (provided to all investors) @ No (provided to no investor) (provided to all investors) @ No (provided to no investor) (provided to no investors) @ No (provided to no investor) @ No (provided to no (pr

5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
Ο.	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	\circ	\odot
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	•
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	· eacl	า of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it i multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		ed
8.	(a) Is this private fund a "fund of funds"?		•
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	. What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private	te fur	ıd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	. Current gross asset value of the <i>private fund</i> :		
	\$ 26,911,531		
Ow	vnership		
12.	. Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).		
13.	. Approximate number of the <i>private fund's</i> beneficial owners:		
1/	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		

2%

 \Box (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940

15.		What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	0%		Yes	s No
	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	•	0
16.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
You	ur Ad	dvisory Services		
47			Yes	s No
17.		Are you a subadviser to this <i>private fund?</i> If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	r to	•
		No Information Filed		
			Yes	s No
18.		Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ? If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	c ansv	⊙ wer
		No Information Filed		
			Yes	s No
19.		your <i>clients</i> solicited to invest in the <i>private fund</i> ?	•	0
	NO	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App 2%	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Pri	vate	<u>Offering</u>		
			Yes	s No
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	•	0
22.	If y	es, provide the <i>private fund's</i> Form D file number (if any):		
		rm D file number		
	021	1-456709		
B. S	ERVI	CE PROVIDERS		
Διι	ditors			
7101	<u> </u>		Ye	s No
23.	(a)	(1) Are the private fund's financial statements subject to an annual audit?	•	0
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	g firr	n,
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
		(b) Name of the auditing firm: MARCUM LLP		
		(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
		City: State: Country:		
		LOS ANGELES California United States	.,	_
			Yes ©	No O
		(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0

	If yes, Public Company Accounting 688	g Oversight Board-Assigned Number:		
	(f) If "yes" to (e) above, is the auditi accordance with its rules?	ng firm subject to regular inspection	by the Public Company Accounting Oversight Board in	⊙ ○
(g)	Are the <i>private fund's</i> audited financial s investors?	tatements for the most recently com	pleted fiscal year distributed to the private fund's	Yes No
(h)	Do all of the reports prepared by the au	diting firm for the private fund since	your last annual updating amendment contain unqualified	opinions?
	⊙ Yes O No O Report Not Yet Receive	ed		
	If you check "Report Not Yet Received," y	ou must promptly file an amendment	to your Form ADV to update your response when the report	t is available.
Prime E	<u>Broker</u>			
				Yes No
24. (a)	Does the <i>private fund</i> use one or more p			0 0
			(e) below for each prime broker the <i>private fund</i> uses. If rough (e) separately for each prime broker.	the <i>private</i>
	Additional Prime Broker Information	: 1 Record(s) Filed.		
			h (e) below for each prime broker the <i>private fund</i> uses. ns (b) through (e) separately for each prime broker.	If the
	(b) Name of the prime broker: FIDELITY BROKERAGE SERVICES L	LC		
	(c) If the prime broker is registered v 8 - 23292	vith the SEC, its registration number		
	CRD Number (if any): 7784			
	(d) Location of prime broker's office u	sed principally by the <i>private fund</i> (c	ity, state and country):	
	City: ALBUQUERQUE	State: New Mexico	Country: United States	
	ALBOQUERQUE	ivew wexico	United States	Yes No
	(e) Does this prime broker act as cus	todian for some or all of the private	fund's assets?	O
Custodi	ian			
25. (a)	Does the <i>private fund</i> use any custodian	s (including the prime brokers listed	above) to hold some or all of its assets?	Yes No
20. (u)		" respond to questions (b) through	(g) below for each custodian the <i>private fund</i> uses. If the	~ ~
	Additional Custodian Information : 2	Record(s) Filed.		
			h g) below for each custodian the <i>private fund</i> uses. If though (g) separately for each custodian.	ne <i>private</i>
	(b) Legal name of custodian: FIDELITY BROKERAGE SERVICES L	.LC		
	(c) Primary business name of custodi FIDELITY BROKERAGE SERVICES L			
	(d) The location of the custodian's off	ice responsible for <i>custody</i> of the <i>pr</i>	vate fund's assets (city, state and country):	
	City: ALBUQUERQUE	State: New Mexico	Country: United States	

(f) If the custodian is a broker-dea	aler, provide its SEC registration nur	nber (if any):	
8 - 23292			
CRD Number (if any):			
7784			
(g) If the custodian is not a broker identifier (if any)	r-dealer, or is a broker-dealer but d	oes not have an SEC registration number, p	rovide its <i>legal ent</i>
•		ough g) below for each custodian the <i>privat</i> through (g) separately for each custodian.	e fund uses. If the
(b) Legal name of custodian: MIDFIRST BANK			
(c) Primary business name of customates 1ST CENTURY BANK	odian:		
(d) The location of the custodian's	office responsible for <i>custody</i> of the	e private fund's assets (city, state and countr	-y):
City: LOS ANGELES	State: California	Country: United States	
LOS ANGLES	Camornia	officed States	
(e) Is the custodian a related person	on of your firm?		
(f) If the custodian is a broker-dea	aler, provide its SEC registration nur	nber (if any):	
-			
CDD Number (if any)			
CRD Number (if any):			
	r-dealer, or is a broker-dealer but d	pes not have an SEC registration number, p	rovide its <i>legal en</i> i
(g) If the custodian is not a broker identifier (if any)	r-dealer, or is a broker-dealer but d	oes not have an SEC registration number, p	rovide its <i>legal en</i> i
(g) If the custodian is not a broker identifier (if any) 337KMNHEWWWR6B7Q7W10	r-dealer, or is a broker-dealer but d	pes not have an SEC registration number, p	rovide its <i>legal en</i> i
(g) If the custodian is not a broker identifier (if any)	r-dealer, or is a broker-dealer but d	pes not have an SEC registration number, p	rovide its <i>legal ent</i>
(g) If the custodian is not a broker identifier (if any) 337KMNHEWWWR6B7Q7W10		pes not have an SEC registration number, p	rovide its <i>legal ent</i>
(g) If the custodian is not a broker identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administ the answer to question 26.(a) is "y	trator other than your firm? ves," respond to questions (b) throu	gh (f) below. If the <i>private fund</i> uses more t	
(g) If the custodian is not a broker identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an adminis f the answer to question 26.(a) is "y must complete questions (b) through	trator other than your firm? ves," respond to questions (b) throu (f) separately for each administrate	gh (f) below. If the <i>private fund</i> uses more t	
(g) If the custodian is not a broker identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administ the answer to question 26.(a) is "y	trator other than your firm? ves," respond to questions (b) throu (f) separately for each administrate	gh (f) below. If the <i>private fund</i> uses more t	
(g) If the custodian is not a broker identifier (if any) 337KMNHEWWWR6B7Q7W10 Tator Does the private fund use an administ f the answer to question 26.(a) is "ynust complete questions (b) through Additional Administrator Information	trator other than your firm? yes," respond to questions (b) throu (f) separately for each administrate ion: 1 Record(s) Filed.	gh (f) below. If the <i>private fund</i> uses more tor.	han one administr
(g) If the custodian is not a broker identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administ f the answer to question 26.(a) is "y must complete questions (b) through Additional Administrator Informati	trator other than your firm? yes," respond to questions (b) throu (f) separately for each administrate ion: 1 Record(s) Filed.	gh (f) below. If the <i>private fund</i> uses more tor. bugh (f) below. If the <i>private fund</i> uses more	han one administr
(g) If the custodian is not a broker identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administ f the answer to question 26.(a) is "y must complete questions (b) through Additional Administrator Information of the answer to question 26.(a) is administrator, you must complete of the administrator.	trator other than your firm? yes," respond to questions (b) throu if (f) separately for each administrate ion: 1 Record(s) Filed. "yes," respond to questions (b) throu	gh (f) below. If the <i>private fund</i> uses more tor. bugh (f) below. If the <i>private fund</i> uses more	han one administr
(g) If the custodian is not a broker identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administ f the answer to question 26.(a) is "youst complete questions (b) through Additional Administrator Informati If the answer to question 26.(a) is administrator, you must complete (b) Name of administrator:	trator other than your firm? yes," respond to questions (b) throu of (f) separately for each administrate on: 1 Record(s) Filed. "yes," respond to questions (b) through (f) separately	gh (f) below. If the <i>private fund</i> uses more tor. bugh (f) below. If the <i>private fund</i> uses more	han one administr
(g) If the custodian is not a broker identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administ f the answer to question 26.(a) is "y must complete questions (b) through Additional Administrator Information of the answer to question 26.(a) is administrator, you must complete of the administrator.	trator other than your firm? yes," respond to questions (b) throu of (f) separately for each administrate on: 1 Record(s) Filed. "yes," respond to questions (b) through (f) separately	gh (f) below. If the <i>private fund</i> uses more tor. bugh (f) below. If the <i>private fund</i> uses more	han one administr
(g) If the custodian is not a broker identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administ f the answer to question 26.(a) is "youst complete questions (b) through Additional Administrator Informati If the answer to question 26.(a) is administrator, you must complete (b) Name of administrator:	trator other than your firm? yes," respond to questions (b) through (f) separately for each administrate fion: 1 Record(s) Filed. "yes," respond to questions (b) through (f) separately ION	gh (f) below. If the <i>private fund</i> uses more tor. bugh (f) below. If the <i>private fund</i> uses more	han one administr
(g) If the custodian is not a broker identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administ of the answer to question 26.(a) is "younged complete questions (b) through the answer to question 26.(a) is administrator Information of the answer to question 26.(a) is administrator, you must complete to (b) Name of administrator: PANOPTIC FUND ADMINISTRATION (c) Location of administrator (city, City:	trator other than your firm? yes," respond to questions (b) through (f) separately for each administrate ion: 1 Record(s) Filed. "yes," respond to questions (b) through (f) separately ION state and country): State:	gh (f) below. If the <i>private fund</i> uses more tor. ough (f) below. If the <i>private fund</i> uses more for each administrator. Country:	han one administr
(g) If the custodian is not a broker identifier (if any) 337KMNHEWWWR6B7Q7W10 Tator Does the private fund use an administ of the answer to question 26.(a) is "young complete questions (b) through the answer to question 26.(a) is administrator, you must complete of the answer to question 26.(a) is administrator, you must complete of the complete of the private fund administrator. (b) Name of administrator: PANOPTIC FUND ADMINISTRATION.	trator other than your firm? yes," respond to questions (b) through (f) separately for each administrate ion: 1 Record(s) Filed. "yes," respond to questions (b) through (f) separately questions (b) through (f) separately ION state and country):	gh (f) below. If the <i>private fund</i> uses more tor. Dough (f) below. If the <i>private fund</i> uses more for each administrator.	han one administr
(g) If the custodian is not a broker identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administ of the answer to question 26.(a) is "younged complete questions (b) through the answer to question 26.(a) is administrator Information of the answer to question 26.(a) is administrator, you must complete to (b) Name of administrator: PANOPTIC FUND ADMINISTRATION (c) Location of administrator (city, City:	trator other than your firm? yes," respond to questions (b) through (f) separately for each administrate fion: 1 Record(s) Filed. "yes," respond to questions (b) through separately ION State and country): State: California	gh (f) below. If the <i>private fund</i> uses more tor. ough (f) below. If the <i>private fund</i> uses more for each administrator. Country:	han one administr
(g) If the custodian is not a broker identifier (if any) 337KMNHEWWWR6B7Q7W10 Poes the private fund use an administ f the answer to question 26.(a) is "y must complete questions (b) through Additional Administrator Informati If the answer to question 26.(a) is administrator, you must complete of the complete of the private fund administrator: PANOPTIC FUND ADMINISTRATION (c) Location of administrator (city, City: LOS ANGELES	trator other than your firm? yes," respond to questions (b) through (f) separately for each administrate fion: 1 Record(s) Filed. "yes," respond to questions (b) through separately ION State and country): State: California	gh (f) below. If the <i>private fund</i> uses more tor. ough (f) below. If the <i>private fund</i> uses more for each administrator. Country:	han one administre
(g) If the custodian is not a broker identifier (if any) 337KMNHEWWWR6B7Q7W10 rator Does the private fund use an administ of the answer to question 26. (a) is "youst complete questions (b) through the answer to question 26. (a) is administrator Information If the answer to question 26. (a) is administrator, you must complete of the complete of the particle of the complete of t	trator other than your firm? yes," respond to questions (b) through (f) separately for each administrate from: 1 Record(s) Filed. "yes," respond to questions (b) through (f) separately for each administrate from: 1 Record(s) Filed. "yes," respond to questions (b) through (f) separately for each administrate from: State: California for each administrate from: California from: California for each administrate from: California f	gh (f) below. If the <i>private fund</i> uses more tor. ough (f) below. If the <i>private fund</i> uses more for each administrator. Country:	han one administree than one

investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."

2	7. During your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is	s not	
	your related person?	3 1101	
	100%		
	Include only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining an relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (includi allocations) was the valuation determined by such <i>person</i> .	-	
<u>IV</u>	<u>Marketers</u>		
		Yes N	10
2	8. (a) Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?		⊙
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private i</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.		
	No Information Filed		
	No Information Filed		_
	Funds per Page: 15 💌 Total Funds: 15		
SEC	TION 7.B.(2) Private Fund Reporting		
	No Information Filed		
ten	n 8 Participation or Interest in <i>Client</i> Transactions		
con	his Item, we request information about your participation and interest in your <i>clients</i> ' transactions. This information identifies additional areas in flicts of interest may occur between you and your <i>clients</i> . Newly-formed advisers should base responses to these questions on the types of par I interest that you expect to engage in during the next year.		
Like	e Item 7, Item 8 requires you to provide information about you and your related persons, including foreign affiliates.		
Pro	oprietary Interest in <i>Client</i> Transactions		
A.	Do you or any related person:	Yes	No
	(1) buy securities for yourself from advisory <i>clients</i> , or sell securities you own to advisory <i>clients</i> (principal transactions)?	0	⊙
	(2) buy or sell for yourself securities (other than shares of mutual funds) that you also recommend to advisory clients?	⊙	0
	(3) recommend securities (or other investment products) to advisory <i>clients</i> in which you or any <i>related person</i> has some other proprietary (ownership) interest (other than those mentioned in Items 8.A.(1) or (2))?	•	0
Sal	es Interest in <i>Client</i> Transactions		
B.	Do you or any related person:	Yes	No
	(1) as a broker-dealer or registered representative of a broker-dealer, execute securities trades for brokerage customers in which advisory <i>client</i> securities are sold to or bought from the brokerage customer (agency cross transactions)?	0	•
	(2) recommend to advisory <i>clients</i> , or act as a purchaser representative for advisory <i>clients</i> with respect to, the purchase of securities for which you or any <i>related person</i> serves as underwriter or general or managing partner?	•	0
	(3) recommend purchase or sale of securities to advisory <i>clients</i> for which you or any <i>related person</i> has any other sales interest (other than the receipt of sales commissions as a broker or registered representative of a broker-dealer)?	•	0
Inv	vestment or Brokerage Discretion		
C.	Do you or any related person have discretionary authority to determine the:	Yes	No
	(1) securities to be bought or sold for a <i>client's</i> account?	⊙	0
	(2) amount of securities to be bought or sold for a <i>client's</i> account?	⊙	0
	(3) broker or dealer to be used for a purchase or sale of securities for a <i>client's</i> account?	⊙	0
	(4) commission rates to be paid to a broker or dealer for a <i>client's</i> securities transactions?	0	•
D.	If you answer "yes" to C.(3) above, are any of the brokers or dealers related persons?	0	•
E.	Do you or any related person recommend brokers or dealers to clients?	•	0
F.	If you answer "yes" to E. above, are any of the brokers or dealers related persons?	0	•

G.	(1)	·	eive research or other products or services other than execution from a broker-dealer or a third party ction with <i>client</i> securities transactions?	•	0
	(2)	·	he "soft dollar benefits" you or any <i>related persons</i> receive eligible "research or brokerage services" under	•	0
		section 28(e) of the Securities E	xchange Act of 1934?	_	
H.	(1)	Do you or any related person, dir	rectly or indirectly, compensate any person that is not an employee for client referrals?	\odot	0
	(2)		rectly or indirectly, provide any <i>employee</i> compensation that is specifically related to obtaining <i>clients</i> for pensation in addition to the <i>employee's</i> regular salary)?	•	0
1.	•	you or any <i>related person</i> , includin son) for <i>client</i> referrals?	ng any employee, directly or indirectly, receive compensation from any person (other than you or any related	0	•
	In y	our response to Item 8.I., do not in	nclude the regular salary you pay to an employee.		
	fron	, -	consider all cash and non-cash compensation that you or a related person gave to (in answering Item 8.H.) or re son in exchange for client referrals, including any bonus that is based, at least in part, on the number or amount		
Itei	n 9 C	ustody			
			a related person has custody of client (other than clients that are investment companies registered under th	e	
			and about your custodial practices.	C	
A.	(1)	Do you have <i>custody</i> of any advi	isory <i>clients'</i> :	Yes	Nc
		(a) cash or bank accounts?		•	0
		(b) securities?		\odot	0
	dire	ctly from your clients' accounts, or	h the SEC, answer "No" to Item 9.A.(1)(a) and (b) if you have custody solely because (i) you deduct your adviso (ii) a related person has custody of client assets in connection with advisory services you provide to clients, but you are not operationally independent (pursuant to Advisers Act rule 206(4)-2(d)(5)) from the related person.	-	5
	(2)	If you checked "yes" to Item 9.A you have <i>custody</i> :	a.(1)(a) or (b), what is the approximate amount of <i>client</i> funds and securities and total number of <i>clients</i> for	which	ı
		U.S. Dollar Amount	Total Number of Clients		
		(a) \$ 440,221,145	(b) 22		
	incli coni	ude the amount of those assets an	th the SEC and you have custody solely because you deduct your advisory fees directly from your clients' accoun and the number of those clients in your response to Item 9.A.(2). If your related person has custody of client asso provide to clients, do not include the amount of those assets and number of those clients in your response to 9 our response to Item 9.B.(2).	ets in	
B.	(1)	In connection with advisory serv	vices you provide to clients, do any of your related persons have custody of any of your advisory clients':	Yes	Nc
		(a) cash or bank accounts?		\odot	0
		(b) securities?		\odot	0
	You	are required to answer this item re	egardless of how you answered Item 9.A.(1)(a) or (b).		
	704	are required to driewer trie item re			
	(2)	If you checked "yes" to Item 9.B your <i>related persons</i> have <i>custod</i>	3.(1)(a) or (b), what is the approximate amount of <i>client</i> funds and securities and total number of <i>clients</i> for dy:	which	1
		U.S. Dollar Amount	Total Number of <i>Clients</i>		
		(a) \$ 440,221,145	(b) 22		
C.	_	ou or your <i>related persons</i> have <i>cu</i> apply:	ustody of client funds or securities in connection with advisory services you provide to clients, check all the f	ollowi	ng
			ccount statements at least quarterly to the investors in the pooled investment vehicle(s) you manage.	V	
	(2)	An <i>independent public accountant</i> are distributed to the investors	t audits annually the pooled investment vehicle(s) that you manage and the audited financial statements in the pools.	▽	
	(3)	An independent public accountant	t conducts an annual surprise examination of client funds and securities.	~	
	(4)	An independent public accountant are qualified custodians for clien	t prepares an internal control report with respect to custodial services when you or your related persons of funds and securities.		
	an i	nternal control report. (If you checi	C.(4), list in Section 9.C. of Schedule D the accountants that are engaged to perform the audit or examination or ked Item 9.C.(2), you do not have to list auditor information in Section 9.C. of Schedule D if you already provided funds you advise in Section 7.B.(1) of Schedule D).		~e

⊙ ⊙

	(1) you act as a qualified cus	stodian			_ ⊙
	(2) your related person(s) act	t as qualified custodian(s)			0 0
	•	ified in Section 7.A. of Schedu	•	er than any mutual fund transfer agent pursuan ave determined the related person to be operati	
E.	If you are filing your annual up fiscal year, provide the date (I 09/2022			nination by an <i>independent public accountant</i> d	uring your last
F.			s or securities, how many <i>persons</i> advisory services you provide to	s, including, but not limited to, you and your reports of clients?	elated persons, act
SEC	TION 9.C. Independent Publi	ic Accountant			
ро		•		o perform a surprise examination, perform ar complete a separate Schedule D Section 9.C. 1	
(1	I) Name of the <i>independent pub</i> EISNERAMPER, LLP	olic accountant:			
(2	2) The location of the <i>independe</i>	ent public accountant's office	e responsible for the services pro	vided:	
	Number and Street 1:		Number and Street 2:		
	ONE CALIFORNIA		SUITE 1700		
	City:	State:	Country:	ZIP+4/Postal Code:	
	SAN FRANCISCO	California	United States	94111	
	SANTRANCISCO	Camornia	officed States	74111	Yes No
(3	B) Is the <i>independent public acc</i> If "yes," Public Company Acc	•	Public Company Accounting Over	rsight Board?	⊙ ○
	274				
(4	4) If "yes" to (3) above, is the accordance with its rules?	independent public accountai	nt subject to regular inspection by	y the Public Company Accounting Oversight Bo	oard in 👩 🔿
(5	5) The independent public accou				
	 A. □ audit a pooled investme B. ☑ perform a surprise exar C. □ prepare an internal cor 	mination of <i>clients'</i> assets			
(6	5) Since your last <i>annual updati</i> vehicle or that examined into	•		ndent public accountant that audited the poole	ed investment
	C Yes				
	O No				
	C Report Not Yet Received				
	·	osolvod" vou must promptly	file an amondment to your Form /	NDV to update your response when the accounta	ant's roport is
	available.	ecerved , you must promptly	nie an amendinent to your ronn A	DV to apaate your response when the accounta	ті з герогі із
(1	Name of the <i>independent pul</i> MARCUM LLP	olic accountant:			
(2	2) The location of the <i>independe</i>	ent public accountant's office	e responsible for the services pro	vided:	
	Number and Street 1:		Number and Street 2:		
	777 S FIGUEROA ST		29TH FLOOR		
	City:	State:	Country:	ZIP+4/Postal Code:	
	LOS ANGELES	California	United States	90017	
					Yes No

(3) Is the *independent public accountant* registered with the Public Company Accounting Oversight Board?

D. Do you or your *related person(s)* act as qualified custodians for your *clients* in connection with advisory services you provide to *clients*?

Yes No

O

	If "yes," Public Company Accounting 688	Oversight Board-Assigned N	Number:		
(4)	If "yes" to (3) above, is the <i>independ</i> accordance with its rules?	dent public accountant subjec	et to regular inspection by the	Public Company Accounting Oversight Board	ⁱⁿ ⊙ O
(5)	The independent public accountant is	engaged to:			
	 A. ☐ audit a pooled investment vehing. B. ☐ perform a surprise examination C. ☐ prepare an internal control representation 	of <i>clients</i> ' assets			
(6)	Since your last annual updating ame vehicle or that examined internal co			t public accountant that audited the pooled in	vestment
	• Yes				
	C No				
	C Report Not Yet Received				
	If you check "Report Not Yet Received", available.	, you must promptly file an a	mendment to your Form ADV to	o update your response when the accountant's a	report is
(1)	Name of the <i>independent public acco</i> WEAVER AND TIDWELL, LLP	untant:			
(2)	The location of the independent publ	ic accountant's office respons	sible for the services provided	:	
	Number and Street 1:		Number and Street 2:		
	1230 ROSECRANS AVENUE		SUITE 510		
	City: MANHATTAN BEACH	State: California	Country: United States	ZIP+4/Postal Code: 90266	
(3)	Is the independent public accountant	registered with the Public C	ompany Accounting Oversight	Board?	Yes No ⊙ ○
	If "yes," Public Company Accounting 410	Oversight Board-Assigned N	Number:		
(4)	If "yes" to (3) above, is the <i>independ</i> accordance with its rules?	dent public accountant subjec	t to regular inspection by the	Public Company Accounting Oversight Board	ⁱⁿ ⊙ ○
(5)	The independent public accountant is	engaged to:			
	 A.	of <i>clients'</i> assets			
(6)	Since your last annual updating ame vehicle or that examined internal co			t public accountant that audited the pooled in	vestment
	• Yes				
	O No				
	C Report Not Yet Received				
	If you check "Report Not Yet Received", available.	, you must promptly file an a	mendment to your Form ADV to	o update your response when the accountant's a	report is
	10 Control Persons				
	is Item, we ask you to identify every ld be provided for the <i>filing adviser</i> or		ectly, <i>controls</i> you. If you are f	iling an <i>umbrella registration</i> , the information i	in Item 10
-				Schedule A asks for information about your	

on either Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C.

A. Does any *person* not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, *control* your management or policies?

Yes No

 \circ

В.	If any <i>person</i> named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Se Exchange Act of 1934, please complete Section 10.B. of Schedule D.	ecuriti	es
SEC	TION 10.A. Control Persons		
	No Information Filed		
SEC	TION 10.B. <i>Control Person</i> Public Reporting Companies		
	No Information Filed		
l ter	n 11 Disclosure Information		
det inv one	his Item, we ask for information about your disciplinary history and the disciplinary history of all your advisory affiliates. We use this information the ermine whether to grant your application for registration, to decide whether to revoke your registration or to place limitations on your activities estment adviser, and to identify potential problem areas to focus on during our on-site examinations. One event may result in "yes" answers to of the questions below. In accordance with General Instruction 5 to Form ADV, "you" and "your" include the filing adviser and all relying advisers brella registration.	as an more	than
of y	r advisory affiliates are: (1) all of your current employees (other than employees performing only clerical, administrative, support or similar function our officers, partners, or directors (or any person performing similar functions); and (3) all persons directly or indirectly controlling you or controlled ou are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your advisory affiliates are.	d by y	
follo disc calc	ou are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to to be wing the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit you closure to ten years following the date of an event only in responding to Items 11.A.(1), 11.A.(2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1)(a). For pur culating this ten-year period, the date of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from prefers, judgments, or decrees lapsed.	ur poses	of
Υοι	must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11.		
		Yes	s No
Do	any of the events below involve you or any of your supervised persons?	0	•
_	"yes" answers to the following questions, complete a Criminal Action DRP:		
Α.	In the past ten years, have you or any advisory affiliate: (1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony?	_	S No
	(2) been <i>charged</i> with any <i>felony</i> ?	0	• •
	If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.A.(2) charges that are currently pending.	to	
В.	In the past ten years, have you or any advisory affiliate:		
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a <i>misdemeanor</i> involving: investments or an <i>investment-related</i> business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses?	0	•
	(2) been charged with a misdemeanor listed in Item 11.B.(1)?	0	•
	If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.B.(2) charges that are currently pending.	to	
For	"yes" answers to the following questions, complete a Regulatory Action DRP:		
C.	Has the SEC or the Commodity Futures Trading Commission (CFTC) ever:	Yes	No
	(1) found you or any advisory affiliate to have made a false statement or omission?	\circ	•
	(2) found you or any advisory affiliate to have been involved in a violation of SEC or CFTC regulations or statutes?	0	•
	(3) found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	•
	(4) entered an order against you or any advisory affiliate in connection with investment-related activity?	0	⊙
	(5) imposed a civil money penalty on you or any advisory affiliate, or ordered you or any advisory affiliate to cease and desist from any activity?	0	•
D.	Has any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority:		
	(1) ever found you or any advisory affiliate to have made a false statement or omission, or been dishonest, unfair, or unethical?	0	•
	(2) ever found you or any advisory affiliate to have been involved in a violation of investment-related regulations or statutes?	0	•
	(3) ever found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business	0	•

	denied, suspended, revoked, or restricted?			
	(4) in the past ten years, entered an order against you or any advisory affiliate in connection with an investment-related activity?	(0	\odot
	(5) ever denied, suspended, or revoked your or any advisory affiliate's registration or license, or otherwise prevented you or any advisory affiliate, by order, from associating with an investment-related business or restricted your or any advisory affiliate's activity?	iory (0	•
E.	Has any self-regulatory organization or commodities exchange ever:			
	(1) found you or any advisory affiliate to have made a false statement or omission?	,	0	•
	(2) found you or any advisory affiliate to have been involved in a violation of its rules (other than a violation designated as a "minor rul violation" under a plan approved by the SEC)?	e (0	0
	(3) found you or any advisory affiliate to have been the cause of an investment-related business having its authorization to do busines suspended, revoked, or restricted?	s denied, 🕻	0	•
	(4) disciplined you or any advisory affiliate by expelling or suspending you or the advisory affiliate from membership, barring or suspending or the advisory affiliate from association with other members, or otherwise restricting your or the advisory affiliate's activities?	ling you 🕻	0	•
F.	Has an authorization to act as an attorney, accountant, or federal contractor granted to you or any advisory affiliate ever been revoked suspended?	or (0	•
G.	Are you or any <i>advisory affiliate</i> now the subject of any regulatory <i>proceeding</i> that could result in a "yes" answer to any part of Item 11 11.D., or 11.E.?	.C., (0	•
For	"yes" answers to the following questions, complete a Civil Judicial Action DRP:			
H.		Y	/es	No
	(a) in the past ten years, enjoined you or any advisory affiliate in connection with any investment-related activity?	(0	\odot
	(b) ever found that you or any advisory affiliate were involved in a violation of investment-related statutes or regulations?	(0	\odot
	(c) ever dismissed, pursuant to a settlement agreement, an investment-related civil action brought against you or any advisory aft a state or foreign financial regulatory authority?	<i>îliate</i> by	0	•
	(2) Are you or any advisory affiliate now the subject of any civil proceeding that could result in a "yes" answer to any part of Item 11.H.	(1)?	0	•
Iten	m 12 Small Businesses			
whe	ESEC is required by the Regulatory Flexibility Act to consider the effect of its regulations on small entities. In order to do this, we need to ether you meet the definition of "small business" or "small organization" under rule 0-7. Sower this Item 12 only if you are registered or registering with the SEC and you indicated in response to Item 5.F.(2)(c) that you have refler management of less than \$25 million. You are not required to answer this Item 12 if you are filing for initial registration as a state according to the state of the state	egulatory as	sset	
	rent state registration, or switching from SEC to state registration.	viser, arrier	ilulii	y a
For	purposes of this Item 12 only:			
	• Total Assets refers to the total assets of a firm, rather than the assets managed on behalf of <i>clients</i> . In determining your or another assets, you may use the total assets shown on a current balance sheet (but use total assets reported on a consolidated balance sh subsidiaries included, if that amount is larger).	•	tal	
	• Control means the power to direct or cause the direction of the management or policies of a person, whether through ownership of so contract, or otherwise. Any person that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entering or more of the profits, of another person is presumed to control the other person.	-	-	ent
		Y	⁄es	No
A.	Did you have total assets of \$5 million or more on the last day of your most recent fiscal year?		0	0
If "y	yes," you do not need to answer Items 12.B. and 12.C.			
B.	Do you:			
Σ.	(1) control another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of For of \$25 million or more on the last day of its most recent fiscal year?	m ADV)	0	0
	(2) control another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent f year?	iscal	0	0
C.	Are you:			
0.	(1) controlled by or under common control with another investment adviser that had regulatory assets under management (calculated response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	in	0	0
	(2) controlled by or under common control with another person (other than a natural person) that had total assets of \$5 million or mor last day of its most recent fiscal year?	e on the	0	0
. .				
Sche	edule A			

Direct Owners and Executive Officers

1. Complete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive

- officers. Use Schedule C to amend this information.
- 2. Direct Owners and Executive Officers. List below the names of: (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer (Chief Compliance Officer is required if you are registered or applying for registration and cannot be more than one individual), director, and any other individuals with similar
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act); Direct owners include any person that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Schedule, a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-inlaw, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to
 - (c) if you are organized as a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
 - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
 - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- 3. Do you have any indirect owners to be reported on Schedule B? OYes No
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- 5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: NA - less than 5%
- B 10% but less than 25% D 50% but less than 75%

status or functions;

purchase the security.

- A 5% but less than 10% C 25% but less than 50% E 75% or more
- 7. (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Title or Status	Date Title or Status Acquired MM/YYYY	Ownership Code	Control Person		CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
OZUR, JASON, JAY	I	SENIOR MANAGING DIRECTOR & CHIEF EXECUTIVE OFFICER	06/2021	A	Y	N	5734834
LEE, JASON, PANG FE	I	SENIOR MANAGING DIRECTOR, CHIEF COMPLIANCE OFFICER, GENERAL COUNSEL	08/2017	NA	Y	N	6905728
STERN, KENNETH, AARON	I	SENIOR MANAGING DIRECTOR & PRESIDENT	06/2021	A	Y	N	1754133
CHARLESBANK EQUITY FUND X, LIMITED PARTNERSHIP	DE	MEMBER	06/2021	С	Y	N	
CB LIDO OFFSHORE X, LP	DE	MEMBER	06/2021	В	N	N	
BROWNE, ALEXANDRA, MARIE	I	SENIOR MANAGING DIRECTOR & CHIEF DEVELOPMENT OFFICER	06/2021	NA	Y	N	6397152
BEATTIE, STACY, LYNN	1	CHIEF OPERATING OFFICER	10/2021	NA	Υ	N	5401758
KUSHNER, GREGORY, PAUL	I	SENIOR MANAGING DIRECTOR & CHAIRMAN	07/2015	NA	Y	N	2649890

Schedule B

Indirect Owners

- 1. Complete Schedule B only if you are submitting an initial application or report. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- 2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;
 - For purposes of this Schedule, a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
 - (b) in the case of an owner that is a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
 - (c) in the case of an owner that is a trust, the trust and each trustee; and
 - (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- 3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.

- 5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: C 25% but less than 50% E 75% or more

 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- 7. (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

No Information Filed

Schedule D - Miscellaneous

You may use the space below to explain a response to an Item or to provide any other information.

Information used for Section 7.B(2) of Schedule D is based on information in year-end 2022 unaudited financial statements available as of the filing date. Information for Item 5(A), 5(B), Section 1.F. of Schedule D, and Section 7.A of Schedule D are as of March 1, 2023.

Schedule R

No Information Filed

DRP Pages

CRIMINAL DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

REGULATORY ACTION DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

CIVIL JUDICIAL ACTION DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

Part 2

Exemption from brochure delivery requirements for SEC-registered advisers

SEC rules exempt SEC-registered advisers from delivering a firm brochure to some kinds of clients. If these exemptions excuse you from delivering a brochure to all of your advisory clients, you do not have to prepare a brochure.

Yes No

Are you exempt from delivering a brochure to all of your clients under these rules?

If no, complete the ADV Part 2 filing below.

Amend, retire or file new brochures:

Brochure ID	Brochure Name	Brochure Type(s)
382033	LIDO ADV PART 2A 3.30.2023	Individuals, High net worth individuals, Pension
		plans/profit sharing plans, Foundations/charities,
		Other institutional, Private funds or pools, Financial
		Planning Services, Selection of Other
		Advisers/Solicitors
391255	LIDO ADV PART 2A 1.23.2024	Individuals, High net worth individuals, Pension
		plans/profit sharing plans, Foundations/charities,
		Other institutional, Private funds or pools, Financial
		Planning Services, Selection of Other
		Advisers/Solicitors



Execution Pages

DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: Date: MM/DD/YYYY

JASON P LEE 01/24/2024

Printed Name: Title:

JASON P LEE CHIEF LEGAL AND COMPLIANCE OFFICER

Adviser *CRD* Number:

269866

NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

3. Non-Resident Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Title:

Signature: Date: MM/DD/YYYY

Printed Name:

Adviser CRD Number:

269866